

## CIP V5 Revisions - Version X Ballot

The results of the initial CIP V5 Revisions ballot showed industry support for the new communication networks requirements and the removal of the Identify, Assess, and Correct (IAC) language from 17 requirements. These two directive areas have a FERC filing deadline of February 3, 2015.

The CIP-003-6 and CIP-010-2 revisions proposed to address the low impact and transient devices directives did not pass initial ballot. Stakeholder comments have been addressed by the Standard Drafting Team (SDT) and these standards are being posted for this additional comment and ballot period. However, as a prudent approach and in order to meet the FERC filing deadline of February 3, 2015 for the two directives, the SDT is also balloting the IAC revisions in a separate version of the standards (CIP-003-X and CIP-010-X) without the Low Impact and Transient Devices revisions. In the event that CIP-003-6 or CIP-010-2 do not pass, and the IAC revisions in the version X standards pass, these standards can proceed to final ballot along with the communication networks revisions.

The SDT emphasizes that this is NOT an indication that it plans to separate the revision work. Strong progress continues on the low impact and transient devices revisions, and the SDT still hears support from stakeholders to complete all four directive areas of FERC Order No. 791 revisions at the same time. The request for a separate ballot is a practical measure to avoid potential complications with meeting FERC's directive deadlines that, if we were to wait until after the second ballot, time may not allow us to address.

### Posting Plan

The SDT plans to post a single ballot for the standards that need stakeholder approval for the IAC language removal. These proposed standards will be version X for the ballot. The version X ballot will be posted alongside CIP-003-6 and CIP-010 designated with the appropriate version number. This allows for the simultaneous revision of the standards to address the directive issue areas and when both the version X and the numbered version standards pass ballot, the revisions can be combined into the appropriate numbered standard version. The following standards will be posted for ballot of the IAC language removal (proposed redline standards attached):

- CIP-003-X
- CIP-004-X
- CIP-007-X
- CIP-010-X
- CIP-011-X

For CIP-004-X, CIP-007-X, and CIP-011-X, the SDT will not post the version 6 of those standards because they already passed initial ballot. However, since these version 6 standards also contain transient devices revisions, the SDT would like to ballot the version X standards without the revisions addressing transient devices for industry approval on the removal of the IAC language.

The difference between CIP-007-X and CIP-007-6 is the capitalization of "Removable Media" in CIP-007-6. The SDT removed the capitalization in CIP-007-X because should CIP-010-6 fail to pass stakeholder ballot, then the reference to the defined term in CIP-007 would be inaccurate. CIP-007-X also contains the revisions made relative to the

communication networks directive that passed ballot in the initial posting. It is necessary to include both the communication network and IAC revisions in version X of the standard order to have CIP-007 ready for final ballot should the transient device revisions not pass ballot in time.

CIP-011-X removed guidance language references Transient Cyber Assets and Removable Media. CIP-004-X removed language in the requirements that included Transient Cyber Assets and Removable Media.

Stakeholders will be asked to place one vote to approve the IAC language removal from all of the CIP V5 Revision version X standards posted for ballot.

**Timing**

The Version X revisions will be posted concurrently with the second comment and ballot posting of the low impact and transient devices revisions. See below for the planned balloting schedule.

**Ballot Schedule**

|  | <b>Initial Ballot -<br/>June 2-July 16</b> | <b>Initial/Addl<br/>Ballot - Aug<br/>29-Oct 14</b> | <b>Final Ballot -<br/>Oct 31- Nov 10,<br/>if all pass **</b> | <b>Final Ballot - Oct<br/>31- Nov 10, if<br/>separated***</b> |
|--|--|--|--|---|
| Version-X*   |  | post   |  | post  |
| CIP-003-6  | ~ 35%                                      | post   | post   |   |
| CIP-004-6  | passed                                     |  | post   |   |
| CIP-006-6  | passed                                     |  | post   | post  |
| CIP-007-6  | passed                                     |  | post   |   |
| CIP-009-6  | passed                                     |  | post   | post  |
| CIP-010-2  | ~ 49%                                      | post   | post   |   |
| CIP-011-2  | passed                                     |  | post   |   |
| Definitions - Lows   |  | post   | post   |   |
| Definitions - TD   | passed                                     | post   | Post   |   |
| IP-V6  |  | post   | post   |   |
| * Version-X ballot includes: CIP-003, CIP-004, CIP-007, CIP-010, CIP-011, and an implementation plan. CIP-004-6, CIP-007-6 and CIP-011-2 contain reference to the new definitions associated with transient devices. |  |  |  |   |
| ** if all revisions pass, the IAC removal will be reflected in the fully revised version posted for final ballot.  |  |  |  |   |
| *** If separated, the IAC-X standards will adopt the appropriate version number.   |  |  |  |   |
| Schedule is subject to change.   |  |  |  |   |

**Implementation Plan**

The Implementation Plan deadlines for the IAC requirements will remain effectively unchanged from Version 5 (see posted Version X implementation plan).