

## Draft Minutes Critical Infrastructure Protection Committee

Marriott Vancouver Pinnacle Downtown Hotel  
June 16-17, 2010  
Vancouver, British Columbia

Critical Infrastructure Protection Committee Chair Barry Lawson called to order a duly noticed, regular meeting of the Critical Infrastructure Protection Committee (CIPC) on June 16, 2010 at 1:02 p.m., local time, and a quorum was declared present. The meeting announcement, agenda, and list of attendees are attached as **Exhibits A, B, and C**, respectively.

Chairman Lawson presided.

Secretary Scott Mix announced a quorum of 26 members and the following proxies:

1. Clark Liu for Eric Solberg
2. Frank Dessuit for Larry Bugh
3. Ross Johnson for Dave Baumken (Thursday)
4. Brad Hyland for Robert Richhart
5. Darren Nielson for Tom Glock

### **NERC Antitrust Compliance Guidelines**

Mr. Scott Mix, NERC CIP Technical Manager, called attention to the NERC Antitrust Compliance Guidelines distributed with the agenda.

### **Introductions of Members, Alternates, Associates, and Others**

Mr. Mix called for introductions of members of the CIPC and other attendees.

### **Approval of Agenda**

Mr. Lawson presented the agenda for approval, and proposed adding a report from the Smart Grid Task Force following item 3d, and moving item 4b to before the NERC Report to accommodate Mr. Baumken's schedule. Upon **motion** by Greg Fraser, seconded by Jim Brenton, the agenda was approved as modified. Mr. Lawson also announced that he would be unable to attend the meeting on Thursday; Mr. Robert McClanahan, CIPC Vice-Chair will preside.

**Note:** Slides from the presentations from this meeting are available at:  
<http://www.nerc.com/filez/cipmin.html>.

## **Minutes**

Mr. Lawson presented the March 17, 2010 minutes for approval, and asked if there were any changes noted by the membership. No changes were requested to the minutes by the committee. Upon **motion** by Marc Child, seconded by Carl Eng, the minutes were approved.

## **CIPC Executive Committee Report**

Mr. Lawson presented his report consisting of an overview of the highlights of the meeting, a recap of recent CIPC Executive Committee meetings, and changes to the Electric Sector Steering Group (ESSG), and its folding into the recently-approved Electricity Sub-Sector Coordinating Council (ESCC). He also reported on his CIPC report at the recent NERC Board of Trustees meeting, and provided an overview of recent U.S. Federal legislative and regulatory activities. **(Presentation 1)**.

## **Business Continuity Guideline Task Force**

Mr. Dave Baumken, HydroOne Networks, and chair of the Business Continuity Guideline Task Force, presented a report of the task force's recent activities. The task force has met to organize and expects to have conference calls at approximately six-week intervals. An initial version of the guideline will be ready for review by year's end. **(Presentation 2)**

## **NERC / ES-ISAC Updates**

Mr. Mix; Mr. Tim Roxey, NERC Manager of Critical Infrastructure Protection; and Mr. Kevin Gronberg, NERC CIP Policy Analyst presented the NERC / ES-ISAC update report. **(Presentation 3)**

- Mr. Roxey presented the status of recent FERC Order 706B issues as they relate to compliance of Nuclear Plants with the NERC CIP Standards.
- Mr. Gronberg presented the status of the Technical Feasibility Exception process, including coordination activities being undertaken by NERC and the Regions.
- Mr. Roxey presented on the recent ESSG and ESCC activities, including the ESCC Charter Update.
- Mr. Roxey provided an overview of the High-Impact / Low Frequency (HILF) activities.
- Mr. Paul McCurley, NRECA, and Aaron Bennett, NERC staff, provided an overview of the Smart Grid Task Force (STF) activities, and requested the CIPC to review the current draft report and provide input by the end of the month.
- Mr. Mix gave an overview of the activities and status of the FERC Order No. 706 Standards Drafting Team, including the potential and tentative schedule for completing its activities.
- Mr. Mix also presented an overview of the Results Based Standards Development process.
- Mr. Roxey presented an update on the revisions to the Aurora advisory, including the proposed schedule for the release of the revised alert.
- Mr. Roxey also provided an update on the recent VPN advisory.
- Mr. Mix gave an update on the activities concerning an Urgent Actions standards revision being undertaken to address remote support and maintenance computers when interacting with Critical Cyber Assets.
- Mr. Mix also gave an update on changes to the Standards Development process recently approved by the NERC Board.

### **Standards Drafting Team CIP-001 Report**

Mr. Robert Canada, CIPC Vice-Chair, provided an update on recent activities in the Disturbance and Sabotage Reporting Standards Drafting Team. Mr. Canada expressed his and the team's thanks for the input provided by industry during the recent concept paper posting. The team recently met in Salt Lake City and is continuing to make progress. He also noted that several other activities are occurring in parallel with the drafting team, including a NERC *tiger team* to quickly address unresolved FERC Order No. 693 directives. Mr. Canada stated the drafting team provided comments to the tiger team. Mr. Canada attended the recent NERC training in the results-based standards development methodology. Mr. Canada also attended a coordination meeting with the Events Analysis Working Group (EAWG), a working group of the Operating Committee and Planning Committee. The focus of the drafting team remains CIP-001, EOP-004, and the reporting requirements of CIP-008.

The CIPC recessed for the day.

On June 17, Mr. Robert McClanahan, CIPC Vice-Chair, called the CIPC to order at 8:03 a.m. and resumed the meeting.

### **Risk Assessment Working Group**

Mr. Greg Fraser provided CIPC with a final update on the Critical Asset Identification Guideline, and a summary of issues considered by the working group resulting in the final guideline that was developed to support CIP-002. His presentation was included in the CIPC agenda package. Following the presentation, a **motion** was made by Bob Canada, seconded by Chuck Abell, to approve the final guideline as a CIPC guideline, and to forward it to the Standards Committee for their acceptance as a "Guideline in support of a Standard." The motion passed by vote of 20 to 3. **(Presentation 4)**

### **CIPC Charter Update**

Mr. Bob Canada provided an overview of the changes made to the CIPC Charter, including those in support of the recent ESCC-related activities by the NERC Board, and the removal of that obligation from the duties of the CIPC Executive Committee. The charter revisions and a summary of changes were distributed with the CIPC agenda package. During the discussion, several other issues were raised concerning language in the approved charter. They were captured, and will be considered in future charter revisions. Upon **motion** by Jim Brenton, seconded by Ross Johnson, the motion passed.

### **Control System Security Working Group**

Mr. Mark Engels, chair of the CSSWG presented an overview of the guideline work assigned to the working group by the executive committee. **(Presentation 5)**

### **Securing Substations Guideline Working Group Report**

No report was provided from this working group.

### **Protecting Sensitive Information Working Group Report**

Mr. Nathan Mitchell, APPA, and chair of the working group presented on the activities and status of the working group. **(Presentation 6)**

## Recent BPS Incidents

Mr. Mix provided an update on the recent BPS and security incidents since the last CIPC meeting. Several members noted that they had noticed an increase in picture taking of BPS facilities.

## Agency Reports:

### Department of Homeland Security

No report

### Department of Energy

Mr. Matthew Light, U.S. Department of Energy (DOE), provided an overview of recent DOE activities and initiatives. (**Presentation 7**)

Following the report, Mr. Roxey made a plea for volunteer participation in CyberStorm III.

### Public Safety of Canada / Royal Canadian Mounted Police

No report

### Federal Energy Regulatory Commission

Mr. Ted Franks, FERC Staff, provided a brief report on recent and upcoming FERC activities, highlighting the recently announced FERC Technical Conference on July 6 focusing on policy, ERO, and standards development.

## Follow-up Items and Future Actions

Mr. McClanahan provided a recap of the meeting, and reminded the CIPC of the following items:

- CyberStorm III

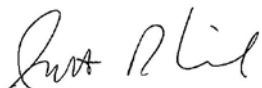
Mr. Mix confirmed the following future meetings of the CIPC:

- September 15–16, 2010 (Denver, CO)
- December 8–9, 2010 (Tampa, FL)

## Adjournment

There being no further business, and upon **motion** by Bob Canada and seconded by Carl Eng, Vice Chair McClanahan adjourned the meeting at 10:43 a.m. on June 17, 2010.

Submitted by,



Scott Mix  
Secretary

## Antitrust Compliance Guidelines

### I. General

It is NERC's policy and practice to obey the antitrust laws and to avoid all conduct that unreasonably restrains competition. This policy requires the avoidance of any conduct that violates, or that might appear to violate, the antitrust laws. Among other things, the antitrust laws forbid any agreement between or among competitors regarding prices, availability of service, product design, terms of sale, division of markets, allocation of customers or any other activity that unreasonably restrains competition.

It is the responsibility of every NERC participant and employee who may in any way affect NERC's compliance with the antitrust laws to carry out this commitment.

Antitrust laws are complex and subject to court interpretation that can vary over time and from one court to another. The purpose of these guidelines is to alert NERC participants and employees to potential antitrust problems and to set forth policies to be followed with respect to activities that may involve antitrust considerations. In some instances, the NERC policy contained in these guidelines is stricter than the applicable antitrust laws. Any NERC participant or employee who is uncertain about the legal ramifications of a particular course of conduct or who has doubts or concerns about whether NERC's antitrust compliance policy is implicated in any situation should consult NERC's General Counsel immediately.

### II. Prohibited Activities

Participants in NERC activities (including those of its committees and subgroups) should refrain from the following when acting in their capacity as participants in NERC activities (e.g., at NERC meetings, conference calls and in informal discussions):

- Discussions involving pricing information, especially margin (profit) and internal cost information and participants' expectations as to their future prices or internal costs.
- Discussions of a participant's marketing strategies.
- Discussions regarding how customers and geographical areas are to be divided among competitors.









## NERC Committee Meetings Attendance Form

**Committee Name:** Critical Infrastructure Protection Committee • **Site Contact:** Scott Mix • **Meeting Dates:** June, 2010

Name of Participant	Organization/Sector
Barry Lawson	NRECA
Bob Canada	Southern Co.
Robert McClanahan	AECC
Dave Baumken	CEA
Frank Dessuit	NIPSCO
Dan Brotzman	ComEd
Kent Kujala	DTE Energy
Darren Nielsen	Arizona Public service
Jim Brenton	ERCOT
Scott Rosenberger	Luminant/ERCOT
David Grubbs	City of Garland/ERCOT
Ross Johnson	CEA
Chris McColm	CEA
David Godfrey	TMPA/APPA
Nathan Mitchell	APPA
Don McMullin	Xcel Energy
Robert Ulmer	ATC
Jerry Murray	Oregon
Dan Powell	Indianapolis Power and Light
Ted Gutierrez	NIPSCO
Carol Hawk	DOE
Matt Light	DOE
Martin Narendorf Jr.	CenterPoint Energy
James McQuiggan	Siemen's Energy
Neil Phinney	GA System Ops
Ray Phillips	Alabama Municipal Electric

Bill Muston	Oncor
Thomas Burgess	FirstEnergy
Thomas Bradish	RRI Energy
Brad Hyland	Hoosier Energy
Darren Myers	Progress Energy
Kevin Gronberg	NERC
Tim Roxey	NERC
John Breckenridge	KCP&L
Carl Eng	Dominion Power
Charles Abell	Ameren
Michael Puscas	Northeast Utilities
Jacques Chevalier	Hydro-Quebec
John Lim	ConEdision
Marc Child	Great River Energy
Clark Liu	MRO
Greg Fraser	Manitoba Hydro
Garill Coles	PNNL
Roger Fradenburgh	Network Security Technologies
Aaron Bennett	NERC
Paul McCurley	NRECA
Rey de Leon	SCE
Peter Nelson	N&ST
Ken Flechler	Pike Electric
Brian Harrell	SERC
Jason Marshall	MISO
Gary Finco	INL
Benoit Tardie	Hydor-Quebec
Ted Franks	FERC
Brian Hogue	NPCC
Ron Blume	DYONYX
Jim Fortune	DYONYX
Ed Davis	Entergy

