

Housekeeping Tasks for New, Reconfigured or Retiring Balancing Authorities

Introduction

This document outlines the “housekeeping” tasks that need to be done if there is a change in Balancing Authority footprints or names. These are not reliability tasks, but rather things that need to be done to enable the proper functioning of tools and the provision of accurate information.

There are four basic types of events that require action:

- **New BA – did not exist previously**
- **Merge – at least two BAs involved with one successor remaining**
- **Decertified – a BA goes out of operation for any reason.**
- **Successor-BA changes name or turns over responsibility to another entity.**

Process Steps

Register new BA

- **Letter to REGION to start NERC certification process**
- **Obtain BA id – 4 character maximum label**
- **Add new BA to Bubble diagram**
- **Model Revision – IDC power flow representation**
- **Register Tagging** thru central function (**TSIN**) – check NERC website
- **Develop BA Bias** and obtain an L10 consider modification for Interconnection L10 from NERC
- **Notify CERTS** of new BA provide Bias and L10 values and RC provider
- **Support the ACE** reporting application with real time ACE on ICCP link
- **Obtain accounts** for Certs tools and Inadvertent application add interfaces for adjacent BAs
- **Coordination of Adjacent BAs and RC – update Reliability Plan**

Merge – at least two BAs involved with one successor remaining

- **Letter to REGION to start NERC certification process**
- **Revise Bubble diagram**
- **Develop BA Bias** and obtain an L10 from NERC
- **Notify CERTS** of new BA provide Bias and L10 values and RC provider
- **Support the ACE** reporting application with real time ACE on ICCP link
- **Inadvertent** – revise interface BAs for monthly tie out with succeeding BA if necessary
- **Coordination of Adjacent BAs and RC – update Reliability Plan**

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Decertify BA

- **Revise Bubble diagram**
- **Inadvertent** - Zero Balance or transfer Balance of Inadvertent to the successor BA – lock account at settlement
- Lock out on NERC BA applications – NERC data transfer ?
- **Develop BA Bias** and obtain an L10 from NERC due to removal

Successor-BA changes name or turns over responsibility to another entity

- **Entity and REGION determine if there is a need to start NERC certification process**
- **Obtain BA id** – 4 character maximum label
- **Rename BA on Bubble diagram**
- IDC updated
- **Determine disposition of Inadvertent Interchange** (transfer to another BA or carry forward to new BA)
- **Register Tagging** thru central function (**TSIN**) – check NERC website
- **Repost CPS Bounds report with new entity name**
- **Notify CERTS** of new BA name
- **Support the ACE** reporting application with real time ACE on ICCP link (verify data transfer still works)
- **Obtain accounts** for Certs tools and Inadvertent application add interfaces for adjacent BAs