

Supplemental Violation Severity Level Modifications

Standard Number	Requirement	Text of Requirement	Lower VSL	Moderate VSL	High VSL	Severe VSL
BAL-003-0.1b	R1	Each Balancing Authority shall review its Frequency Bias Settings by January 1 of each year and recalculate its setting to reflect any change in the Frequency Response of the Balancing Authority Area.	The Balancing Authority failed to report the method for determining its Frequency Bias Setting to the NERC Operating Committee. (R1.2)	The Balancing Authority failed to report its Frequency Bias Setting to the NERC Operating Committee. (R1.2)	The Balancing Authority failed to report its Frequency Bias Settings and the method for determining that Frequency Bias Setting to the NERC Operating Committee. (R1.2)	The Balancing Authority failed to review its Frequency Bias Settings by January 1 of each year and recalculate its setting to reflect any change in the Frequency Response of the Balancing Authority Area.
COM-001-1.1	R2	Each Reliability Coordinator, Transmission Operator, and Balancing Authority shall manage, alarm, test and/or actively monitor vital telecommunications facilities. Special attention shall be given to emergency telecommunications facilities and equipment not used for routine communications.	N/A	The responsible entity failed to give special attention to emergency telecommunications facilities and equipment not used for routine communications.	N/A	The responsible entity failed to manage, alarm, test and/or actively monitor its vital telecommunications facilities.
COM-002-2	R1.1	Each Balancing Authority and Transmission Operator shall notify its Reliability	N/A	N/A	The responsible entity failed to notify all other potentially affected Balancing Authorities and	The responsible entity failed to notify its Reliability Coordinator through predetermined

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		Coordinator, and all other potentially affected Balancing Authorities and Transmission Operators through predetermined communication paths of any condition that could threaten the reliability of its area or when firm load shedding is anticipated.			Transmission Operators through predetermined communication paths of any condition that could threaten the reliability of its area or when firm load shedding was anticipated.	communication paths of any condition that could threaten the reliability of its area or when firm load shedding was anticipated.
FAC-003-1	R1.2.2.1	Where transmission system transient overvoltage factors are not known, clearances shall be derived from Table 5, IEEE 516-2003, phase-to-ground distances, with appropriate altitude correction factors applied.	N/A	N/A	N/A	Where transmission system transient overvoltage factors were not known, clearances were not derived from Table 5, IEEE 516-2003, phase-to-ground distances, with appropriate altitude correction factors applied.
IRO-004-1	R1	Each Reliability Coordinator shall conduct next-day reliability analyses for its Reliability Coordinator Area to ensure that the Bulk Electric System can be operated reliably	N/A	N/A	The Reliability Coordinator failed to conduct next-day reliability analyses to ensure that the Bulk Electric System can be operated reliably in anticipated normal and Contingency event	The Reliability Coordinator failed to conduct next-day reliability analyses to ensure that the Bulk Electric System can be operated reliably in anticipated normal and Contingency

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		in anticipated normal and Contingency event conditions. The Reliability Coordinator shall conduct Contingency analysis studies to identify potential interface and other SOL and IROL violations, including overloaded transmission lines and transformers, voltage and stability limits, etc.			conditions. OR The Reliability Coordinator failed to conduct Contingency analysis studies to identify potential interface and other SOL and IROL violations, including overloaded transmission lines and transformers, voltage and stability limits, etc. for its Reliability Coordinator Area.	event conditions. AND The Reliability Coordinator failed to conduct Contingency analysis studies to identify potential interface and other SOL and IROL violations, including overloaded transmission lines and transformers, voltage and stability limits, etc. for its Reliability Coordinator Area.
IRO-004-1	R3	Each Reliability Coordinator shall, in conjunction with its Transmission Operators and Balancing Authorities, develop action plans that may be required, including reconfiguration of the transmission system, re-dispatching of generation, reduction or	N/A	N/A	N/A	The Reliability Coordinator, in conjunction with its Transmission Operators and Balancing Authorities, failed to develop action plans that may have been required, including reconfiguration of the transmission system, re-dispatching of generation, reduction or curtailment of Interchange

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		curtailment of Interchange Transactions, or reducing load to return transmission loading to within acceptable SOLs or IROLs.				Transactions, or reducing load to return transmission loading to within acceptable SOLs or IROLs.
IRO-004-1	R4	Each Transmission Operator, Balancing Authority, Transmission Owner, Generator Owner, Generator Operator, and Load-Serving Entity in the Reliability Coordinator Area shall provide information required for system studies, such as critical facility status, Load, generation, operating reserve projections, and known Interchange Transactions. This information shall be available by 1200 Central Standard Time for the Eastern Interconnection and 1200 Pacific	The responsible entity in the Reliability Coordinator Area provided the information required for system studies, such as critical facility status, Load, generation, operating reserve projections, and known Interchange Transactions, but said information was provided up to (and including) one hour after the required time.	The responsible entity in the Reliability Coordinator Area provided the information required for system studies, such as critical facility status, Load, generation, operating reserve projections, and known Interchange Transactions, but said information was provided more than one hour up to (and including) two hours after the required time.	The responsible entity in the Reliability Coordinator Area provided the information required for system studies, such as critical facility status, Load, generation, operating reserve projections, and known Interchange Transactions, but said information was provided more than two hours up to (and including) three hours after the required time.	The responsible entity in the Reliability Coordinator Area provided the information required for system studies, such as critical facility status, Load, generation, operating reserve projections, and known Interchange Transactions, but said information was provided more than three hours after the required time. OR The responsible entity in the Reliability Coordinator Area did not provide the information required for system studies, such as critical facility

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		Standard Time for the Western Interconnection.				status, Load, generation, operating reserve projections, and known Interchange Transactions.
IRO-004-1	R5	Each Reliability Coordinator shall share the results of its system studies, when conditions warrant or upon request, with other Reliability Coordinators and with Transmission Operators, Balancing Authorities, and Transmission Service Providers within its Reliability Coordinator Area. The Reliability Coordinator shall make study results available no later than 1500 Central Standard Time for the Eastern Interconnection and 1500 Pacific Standard Time for the Western Interconnection,	The Reliability Coordinator shared the results of its system studies, when conditions warranted or when they were requested, with other Reliability Coordinators and with Transmission Operators, Balancing Authorities, and Transmission Service Providers within its Reliability Coordinator Area, but said results were provided up to (and including) one hour after the required time.	The Reliability Coordinator shared the results of its system studies, when conditions warranted or when they were requested, with other Reliability Coordinators and with Transmission Operators, Balancing Authorities, and Transmission Service Providers within its Reliability Coordinator Area, but said results were provided more than one hour up to (and including) two hours after the required time.	The Reliability Coordinator shared the results of its system studies, when conditions warranted or when they were requested, with other Reliability Coordinators and with Transmission Operators, Balancing Authorities, and Transmission Service Providers within its Reliability Coordinator Area, but said results were provided more than two hours up to (and including) three hours after the required time.	The Reliability Coordinator shared the results of its system studies, when conditions warranted or when they were requested, with other Reliability Coordinators and with Transmission Operators, Balancing Authorities, and Transmission Service Providers within its Reliability Coordinator Area, but said results were provided more than three hours after the required time. OR The Reliability Coordinator failed to share the results of its system studies, when conditions warranted or when they were

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		unless circumstances warrant otherwise.				requested, with other Reliability Coordinators, Transmission Operators, Balancing Authorities, or Transmission Service Providers within its Reliability Coordinator Area.
IRO-006-4.1	R3	Each Reliability Coordinator with a relief obligation from an Interconnection-wide procedure shall follow the curtailments as directed by the Interconnection-wide procedure. A Reliability Coordinator desiring to use a local procedure as a substitute for curtailments as directed by the Interconnection-wide procedure shall obtain prior approval of the local procedure from the ERO.	N/A	N/A	N/A	<p>A Reliability Coordinator implemented a local transmission loading relief or congestion management procedure as a substitute for curtailment as directed by the Interconnection-wide procedure, but the local procedure had not received prior approval from the ERO.</p> <p>OR</p> <p>A Reliability Coordinator with a relief obligation from an Interconnection-wide procedure did not follow the</p>

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						curtailments as directed by the Interconnection-wide procedure and did not use a substitute procedure previously approved by the ERO.
PRC-001-1	R1	Each Transmission Operator, Balancing Authority, and Generator Operator shall be familiar with the purpose and limitations of protection system schemes applied in its area.	N/A	N/A	The responsible entity failed to be familiar with the limitations of protection system schemes applied in its area.	The responsible entity failed to be familiar with the purpose of protection system schemes applied in its area.
PRC-001-1	R3.1	Each Generator Operator shall coordinate all new protective systems and all protective system changes with its Transmission Operator and Host Balancing Authority.	The Generator Operator failed to coordinate one new protective system or protective system change with either its Transmission Operator or its Host Balancing Authority or both.	The Generator Operator failed to coordinate two new protective systems or protective system changes with either its Transmission Operator or its Host Balancing Authority, or both.	The Generator Operator failed to coordinate three new protective systems or protective system changes with either its Transmission Operator or its Host Balancing Authority, or both.	The Generator Operator failed to coordinate more than three new protective systems or protective system changes with its Transmission Operator or its Host Balancing Authority, or both.
PRC-001-1	R3.2	Each Transmission Operator shall coordinate all new protective systems and all protective system changes with neighboring	The Transmission Operator failed to coordinate one new protective system or protective system	The Transmission Operator failed to coordinate two new protective systems or protective system changes with	The Transmission Operator failed to coordinate three new protective systems or protective system changes with	The Transmission Operator failed to coordinate more than three new protective systems or protective system changes with

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		Transmission Operators and Balancing Authorities.	change with neighboring Transmission Operators or Balancing Authorities or both.	neighboring Transmission Operators or Balancing Authorities or both.	neighboring Transmission Operators or Balancing Authorities or both.	neighboring Transmission Operators or Balancing Authorities or both.
PRC-005-1	R1	Each Transmission Owner and any Distribution Provider that owns a transmission Protection System and each Generator Owner that owns a generation Protection System shall have a Protection System maintenance and testing program for Protection Systems that affect the reliability of the BES. The program shall include:	N/A	The responsible entity had a Protection System maintenance and testing program for Protection Systems that affect the reliability of the BES, but the summary of maintenance and testing procedures was missing or incomplete. (R1.2)	The responsible entity had a Protection System maintenance and testing program for Protection Systems that affect the reliability of the BES, but the maintenance and testing intervals and their basis were missing or incomplete. (R1.1)	The responsible entity failed to have Protection System maintenance and testing program for Protection Systems that affect the reliability of the BES.
PRC-010-0	R1	The Load-Serving Entity, Transmission Owner, Transmission Operator, and Distribution Provider that owns or operates a UVLS program shall	The responsible entity conducted an assessment of the effectiveness of its UVLS system within 5 years or as required by changes in system conditions but did	The responsible entity did not conduct an assessment of the effectiveness of its UVLS system for more than 5 years but did in less than or equal to 6 years.	The responsible entity did not conduct an assessment of the effectiveness of its UVLS system for more than 6 years but did in less than or equal to 7years.	The responsible entity did not conduct an assessment of the effectiveness of its UVLS system for more than 7 years. OR

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		<p>periodically (at least every five years or as required by changes in system conditions) conduct and document an assessment of the effectiveness of the UVLS program. This assessment shall be conducted with the associated Transmission Planner(s) and Planning Authority(ies).</p>	<p>not include the associated Transmission Planner(s) and Planning Authority(ies).</p>	<p>OR</p> <p>The assessment of the effectiveness of the responsible entity's UVLS system did not address one of the elements in R1 (R1.1.1 through R1.1.3).</p>	<p>OR</p> <p>The assessment of the effectiveness of the responsible entity's UVLS system did not address two of the elements in R1 (R1.1.1 through R1.1.3).</p>	<p>The assessment of the effectiveness of the responsible entity's UVLS system did not address any of the elements in R1 (R1.1.1 through R1.1.3).</p>