

The following errata changes were made following the initial ballot:

- FAC-001-0, R1 — the “Lower” was modified to add the words, “failed to”
- FAC-008, R1 — the word, “assessment” was changed to “methodology” in all VSLs
- FAC-013, R1 — removed the erroneous phrase, “but one or more Transfer Capabilities” from the Lower VSL

Proposed Violation Severity Levels for the FAC and MOD Series of Standards:

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Standard Number FAC-001-0 Facility Connection Requirements				
R#	Lower VSL	Moderate VSL	High VSL	Severe VSL
R1.	N/A	<p>The Transmission Owner failed to do one of the following: Document or maintain or publish its facility connection requirements as specified in the Requirement.</p> <p>OR</p> <p>Failed to include one (1) of the components as specified in R1.1, R1.2 or R1.3.</p>	<p>The Transmission Owner failed to do two of the following: Document or maintain or publish its facility connection requirements as specified in the Requirement.</p> <p>OR</p> <p>Failed to include two (2) of the components as specified in R1.1, R1.2 or R1.3.</p> <p>OR</p> <p>Failed to document or maintain or publish its facility connection requirements as specified in the Requirement and failed to include one (1) of the components as specified in R1.1, R1.2 or R1.3.</p>	<p>The Transmission Owner did not develop facility connection requirements</p>

Standard Number FAC-001-0 Facility Connection Requirements

R#	Lower VSL	Moderate VSL	High VSL	Severe VSL
R2.	The responsible entity's facility connection requirements do not address one to four of the sub-components. (R2.1.1 to R2.1.16)	The responsible entity's facility connection requirements do not address five to eight of the sub-components. (R2.1.1 to R2.1.16)	The responsible entity's facility connection requirements do not address nine to twelve of the sub-components. (R2.1.1 to R2.1.16)	The responsible entity's facility connection requirements do not address thirteen or more of the sub-components. (R2.1.1 to R2.1.16)
R3.	The responsible entity made the requirements available more than five business days but less than or equal to 10 business days after a request.	The responsible entity made the requirements available more than 10 business days but less than or equal to 20 business days after a request.	The responsible entity made the requirements available more than 20 business days less than or equal to 30 business days after a request.	The responsible entity made the requirements available more than 30 business days after a request.

Standard Number FAC-002-0 Coordination of Plans for New Facilities

R#	Lower VSL	Moderate VSL	High VSL	Severe VSL
R1.	The responsible entity failed to include in its assessment one of the subcomponents (R1.1 to R1.5).	The responsible entity failed to include in its assessment two of the subcomponents (R1.1 to R1.5).	The responsible entity failed to include in its assessment three of the subcomponents (R1.1 to R1.5).	The responsible entity failed to include in its assessment four or more of the subcomponents (R1.1 to R1.5).
R2.	The responsible entity provided the documentation more than 30 calendar days but less than or equal to 40 calendar days after a request.	The responsible entity provided the documentation more than 40 calendar days but less than or equal to 50 calendar days after a request.	The responsible entity provided the documentation more than 50 calendar days but less than or equal to 60 calendar days after a request.	The responsible entity provided the documentation more than 60 calendar days after a request or was unable to provide the documentation for the required three-year period.

Standard Number FAC-003-1 Vegetation Management Program				
R#	Lower VSL	Moderate VSL	High VSL	Severe VSL
R1.	The responsible entity did not include and keep current one of the four required elements of its TVMP, as directed by the requirement.	The responsible entity did not include and keep current two of the four required elements of its TVMP, as directed by the requirement.	The responsible entity did not include and keep current three of the four required elements of its TVMP, as directed by the requirement.	The responsible entity did not include and keep current all required elements of its TVMP, as directed by the requirement.
R1.1.	N/A	N/A	The TVMP includes a schedule for inspections, but it is not flexible enough to adjust for changing conditions.	The responsible entity's TVMP does not include a schedule for inspections.
R1.2.	N/A	N/A	N/A	<p>The responsible entity, in its TVMP, failed to identify and document clearances between vegetation and any overhead, ungrounded supply conductors.</p> <p>OR</p> <p>The responsible entity, in its TVMP, failed to take into consideration transmission line voltage, or the effects of ambient temperature on conductor sag under maximum design loading, or the effects of wind velocities on conductor sway.</p>

Standard Number FAC-003-1 Vegetation Management Program				
R#	Lower VSL	Moderate VSL	High VSL	Severe VSL
				<p>OR</p> <p>The responsible entity, in its TVMP, failed to establish Clearance 1 or Clearance 2 values.</p>
R1.2.1.	N/A	N/A	N/A	<p>The responsible entity failed to determine and document an appropriate clearance distance to be achieved at the time of transmission vegetation management work taking into account local conditions and the expected time frame in which the responsible entity expects to return for future vegetation management work.</p> <p>OR</p> <p>The responsible entity documented a Clearance 1 value that was smaller than its Clearance 2 value.</p>

Standard Number FAC-003-1 Vegetation Management Program				
R#	Lower VSL	Moderate VSL	High VSL	Severe VSL
R1.2.2.	N/A	N/A	N/A	The responsible entity failed to determine and document Clearance 2 values taking into account local conditions and the expected time frame in which the responsible entity expects to return for future vegetation management work.
R1.2.2.1.	N/A	N/A	N/A	Where transmission system transient overvoltage factors were known, clearances were not derived from Table 5, IEEE 516-2003, phase-to-phase voltages, with appropriate altitude correction factors applied.
R1.2.2.2.	N/A	N/A	N/A	Where transmission system transient overvoltage factors were known, clearances were not derived from Table 7, IEEE 516-2003, phase-to-phase voltages, with appropriate altitude correction factors applied.

Standard Number FAC-003-1 Vegetation Management Program				
R#	Lower VSL	Moderate VSL	High VSL	Severe VSL
R1.3.	<p>For responsible entities directly involving fewer than 20 persons in the design and implementation of the TVMP, one of those persons did not hold appropriate qualifications and training to perform their duties.</p> <p>For responsible entities directly involving 20 or more persons in the design and implementation of the TVMP, 5% or less of those persons did not hold appropriate qualifications and training to perform their duties.</p>	<p>For responsible entities directly involving fewer than 20 persons in the design and implementation of the TVMP, two of those persons did not hold appropriate qualifications and training to perform their duties.</p> <p>For responsible entities directly involving 20 or more persons in the design and implementation of the TVMP, more than 5% up to (and including) 10% of those persons did not hold appropriate qualifications and training to perform their duties.</p>	<p>For responsible entities directly involving fewer than 20 persons in the design and implementation of the TVMP, three of those persons did not hold appropriate qualifications and training to perform their duties.</p> <p>For responsible entities directly involving 20 or more persons in the design and implementation of the TVMP, more than 10% up to (and including) 15% of those persons did not hold appropriate qualifications and training to perform their duties.</p>	<p>For responsible entities directly involving fewer than 20 persons in the design and implementation of the TVMP, more than three of those persons did not hold appropriate qualifications and training to perform their duties.</p> <p>For responsible entities directly involving 20 or more persons in the design and implementation of the TVMP, more than 15% of those persons did not hold appropriate qualifications and training to perform their duties.</p>
R1.4.	N/A	N/A	N/A	The responsible entity's TVMP does not include mitigation measures to achieve sufficient clearances where restrictions to the ROW are in effect.

Standard Number FAC-003-1 Vegetation Management Program				
R#	Lower VSL	Moderate VSL	High VSL	Severe VSL
R1.5.	N/A	N/A	N/A	The responsible entity did not establish or did not document a process for the immediate communication of vegetation conditions that present an imminent threat of line outage, as directed by the requirement.
R2.	The responsible entity did not meet one of the three required elements (including in the annual plan a description of methods used for vegetation management, maintaining documentation of adjustments to the annual plan, or having systems and procedures for tracking work performed as part of the annual plan) specified in the requirement.	The responsible entity did not meet two of the three required elements (including in the annual plan a description of methods used for vegetation management, maintaining documentation of adjustments to the annual plan, or having systems and procedures for tracking work performed as part of the annual plan) specified in the requirement.	The responsible entity did not meet the three required elements (including in the annual plan a description of methods used for vegetation management, maintaining documentation of adjustments to the annual plan, or having systems and procedures for tracking work performed as part of the annual plan) specified in the requirement.	The responsible entity does not have an annual plan for vegetation management. OR The responsible entity has not implemented the annual plan for vegetation management.

Standard Number FAC-003-1 Vegetation Management Program				
R#	Lower VSL	Moderate VSL	High VSL	Severe VSL
R3.	<p>The responsible entity failed to provide a quarterly outage report, but did not experience any reportable outages.</p> <p>OR</p> <p>The responsible entity provided a quarterly report, but failed to report in the manner specified by one or more of the following subcomponents of R3: R3.1 or R3.2.</p>	<p>The responsible entity provided a quarterly report, but failed to include information required by R3.3.</p>	<p>The responsible entity provided a quarterly outage report, but failed to include a reportable Category 3 outage as described in R3.4.3.</p>	<p>The responsible entity experienced reportable outages but failed to provide a quarterly report.</p> <p>OR</p> <p>The responsible entity provided a quarterly outage report, but failed to include a reportable Category 1 (as described in R3.4.1) or Category 2 outage (as described in R3.4.2).</p>
R4.	<p>The responsible entity failed to include outage information from 5% or less of Transmission Owners.</p> <p>OR</p> <p>The responsible entity failed to report actions taken as a result of any of the reported outages.</p>	<p>The responsible entity failed to include outage information from more than 5% up to (and including) 10% of Transmission Owners.</p>	<p>The responsible entity failed to include outage information from more than 10% up to (and including) 15% of Transmission Owners.</p>	<p>The responsible entity failed to include outage information from more than 15% of Transmission Owners.</p> <p>OR</p> <p>The responsible entity did not submit a quarterly report to NERC.</p>

Standard Number FAC-008-1 Facility Ratings Methodology				
R#	Lower VSL	Moderate VSL	High VSL	Severe VSL
R1.	The responsible entity failed to include in their methodology one of the subcomponents of R1.3, (R1.3.1 to R1.3.5).	The responsible entity failed to include in their methodology two of the subcomponents of R1.3, (R1.3.1 to R1.3.5).	<p>The responsible entity rating methodology did not address either of the sub-components of R1.2 (R1.2.1 or R1.2.2).</p> <p>OR</p> <p>The responsible entity failed to include in their methodology three of the subcomponents of R1.3, (R1.3.1 to R1.3.5).</p>	<p>The responsible entity's rating methodology failed to recognize a facility's rating based on the most limiting component rating as required in R1.1.</p> <p>OR</p> <p>The responsible entity rating methodology did not address the components of R1.2, (R1.2.1 and R1.2.2).</p> <p>OR</p> <p>The responsible entity failed to include in their methodology four or more of the subcomponents of R1.3, (R1.3.1 to R1.3.5).</p>

Standard Number FAC-008-1 Facility Ratings Methodology				
R#	Lower VSL	Moderate VSL	High VSL	Severe VSL
R2.	The responsible entity made the Facility Ratings Methodology available within more than 15 business days but less than or equal to 25 business days after a request.	The responsible entity made the Facility Ratings Methodology available within more than 25 business days but less than or equal to 35 business days after a request.	The responsible entity made the Facility Ratings Methodology available within more than 35 business days but less than or equal to 45 business days after a request.	The responsible entity failed to make available the Facility Ratings Methodology available in more than 45 business days after a request.
R3.	The responsible entity provided a response in more than 45 calendar days but less than or equal to 60 calendar days after a request.	<p>The responsible entity provided a response in more than 60 calendar days but less than or equal to 70 calendar days after a request.</p> <p>OR</p> <p>The responsible entity provided a response within 45 calendar days, and the response indicated that a change will not be made to the Facility Ratings Methodology but did not indicate why no change will be made.</p>	<p>The responsible entity provided a response in more than 70 calendar days but less than or equal to 80 calendar days after a request.</p> <p>OR</p> <p>The responsible entity provided a response within 45 calendar days, but the response did not indicate whether a change will be made to the Facility Ratings Methodology.</p>	The responsible entity failed to provide a response as required in more than 80 calendar days after a request.

Standard Number FAC-009-1 Establish and Communicate Facility Ratings

R#	Lower VSL	Moderate VSL	High VSL	Severe VSL
R1.	The responsible entity failed to establish Facility Ratings consistent with the associated Facility Ratings Methodology for 5% or less of its solely owned and jointly owned Facilities.	The responsible entity failed to establish Facility Ratings consistent with the associated Facility Ratings Methodology for more than 5% up to (and including) 10% of its solely owned and jointly owned Facilities.	The responsible entity failed to establish Facility Ratings consistent with the associated Facility Ratings Methodology for more than 10% up to (and including) 15% of its solely owned and jointly owned Facilities.	The responsible entity failed to establish Facility Ratings consistent with the associated Facility Ratings Methodology for more than 15% of its solely owned and jointly owned Facilities.
R2.	The responsible entity provided its Facility Ratings to all of the requesting entities but missed meeting the schedules by up to 15 calendar days.	The responsible entity provided its Facility Ratings to all of the requesting entities but missed meeting the schedules by more than 15 calendar days but less than or equal to 25 calendar days.	The responsible entity provided its Facility Ratings to all of the requesting entities but missed meeting the schedules by more than 25 calendar days but less than or equal to 35 calendar days.	The responsible entity provided its Facility Ratings to all of the requesting entities but missed meeting the schedules by more than 35 calendar days.

Standard Number FAC-013-1 Establish and Communicate Transfer Capabilities

R#	Lower VSL	Moderate VSL	High VSL	Severe VSL
R1.	The responsible entity has established a set of Transfer Capabilities, but 5% or less of all Transfer Capabilities required to be established are inconsistent with the current Transfer Capability Methodology.	The responsible entity has established a set of Transfer Capabilities, but more than 5% of those Transfer Capabilities, up to (and including) 10% of all Transfer Capabilities required to be established, are inconsistent with the current Transfer Capability Methodology.	The responsible entity has established a set of Transfer Capabilities, but more than 10% of those Transfer Capabilities, up to (and including) 15% of all Transfer Capabilities required to be established, are inconsistent with the current Transfer Capability Methodology.	The responsible entity has established a set of Transfer Capabilities, but more than 15% of those Transfer Capabilities are not consistent with the current Transfer Capability Methodology OR The responsible entity has not established a set of Transfer Capabilities.
R2.	The responsible entity has provided its Transfer Capabilities late by 15 calendar days or less of agreed upon schedule.	The responsible entity has provided its Transfer Capabilities more than 15 calendar days but less than or equal to 25 calendar days late of agreed upon schedule.	The responsible entity has provided its Transfer Capabilities more than 25 calendar days but less than or equal to 35 calendar days late of agreed upon schedule.	The responsible entity has provided its Transfer Capabilities more than 35 calendar days late of agreed upon schedule.
R2.1.	The responsible entity failed to provide Transfer Capabilities 5% or less of the required entities.	The responsible entity failed to provide Transfer Capabilities to more than 5% up to (and including) 10% of the required entities.	The responsible entity failed to provide Transfer Capabilities to more than 10% up to (and including) 15% of the required entities.	The responsible entity failed to provide Transfer Capabilities to more than 15% of the required entities.
R2.2.	The responsible entity failed to provide Transfer Capabilities	The responsible entity failed to provide Transfer Capabilities	The responsible entity failed to provide Transfer Capabilities	The responsible entity failed to provide Transfer Capabilities

Standard Number FAC-013-1 Establish and Communicate Transfer Capabilities				
R#	Lower VSL	Moderate VSL	High VSL	Severe VSL
	to 5% or less of the required entities.	to more than 5% up to (and including) 10% of the required entities.	to more than 10% up to (and including) 15% of the required entities.	to more than 15% of the required entities.

Standard Number MOD-006-0 Procedures for Use of CBM Values

R#	Lower VSL	Moderate VSL	High VSL	Severe VSL
R1.	The responsible entity documented its procedure on the use of Capacity Benefit Margin (CBM) but failed to include one (1) of the components as specified in R1.1, R1.2 or R1.3.	The responsible entity documented its procedure on the use of Capacity Benefit Margin (CBM) but failed to include two (2) of the components as specified in R1.1, R1.2 or R1.3.	The responsible entity documented its procedure on the use of Capacity Benefit Margin (CBM) but failed to include three (3) of the components as specified in R1.1, R1.2 and R1.3.	The responsible entity failed to document its procedure on the use of Capacity Benefit Margin (CBM).
R2	N/A	The responsible entity made its CBM use procedure available on a web site but failed to make it accessible by one (1) of the following: Regional Reliability Organizations, NERC or transmission users.	The responsible entity made its CBM use procedure available on a web site but failed to make it accessible by two (2) of the following: Regional Reliability Organizations, NERC or transmission users.	The responsible entity made its CBM use procedure available on a web site but failed to make it accessible to all of the following: Regional Reliability Organizations, NERC or transmission users.

Standard Number MOD-007-0 Documentation of the Use of CBM				
R#	Lower VSL	Moderate VSL	High VSL	Severe VSL
R1	N/A	The responsible entity uses CBM and failed to report the use of CBM to one (1) of the following: Regional Reliability Organizations, NERC or transmission users.	The responsible entity uses CBM and failed to report the use of CBM to two (2) of the following: Regional Reliability Organizations, NERC or transmission users.	The responsible entity uses CBM and failed to report the use of CBM to all of the following: Regional Reliability Organizations, NERC and transmission users.
R2	N/A	<p>The responsible entity that used CBM for an Energy Emergency and failed to post one (1) of the following items within 15 calendar days: R2.1, R2.2 or R2.3.</p> <p>OR</p> <p>The responsible entity used CBM for an Energy Emergency and posted the following items in R2.1, R2.2 and R2.3 on a web site but failed to make the web site accessible by one (1) of the following: Regional Reliability Organizations, NERC or transmission users.</p>	<p>The responsible entity that used CBM for an Energy Emergency and failed to post two (2) of the following items within 15 calendar days: R2.1, R2.2 or R2.3.</p> <p>OR</p> <p>The responsible entity used CBM for an Energy Emergency and posted the following items in R2.1, R2.2 and R2.3 on a web site but failed to make the web site accessible by two (2) of the following: Regional Reliability Organizations, NERC or transmission users.</p>	The responsible entity that used CBM for an Energy Emergency and failed to post all of the following items within 15 calendar days: R2.1, R2.2 and R2.3.

Standard Number MOD-010-0 Steady-State Data for Transmission System Modeling and Simulation

R#	Lower VSL	Moderate VSL	High VSL	Severe VSL
R1.	The responsible entity failed to provide 5% or less of the appropriate equipment characteristics, system data, and existing and future Interchange Schedules in compliance with its respective Interconnection Regional steady-state modeling and simulation data requirements and reporting procedures as defined in Reliability Standard MOD-011-0_R 1	The responsible entity failed to provide more than 5% up to (and including) 10% of the appropriate equipment characteristics, system data, and existing and future Interchange Schedules in compliance with its respective Interconnection Regional steady-state modeling and simulation data requirements and reporting procedures as defined in Reliability Standard MOD-011-0_R1.	The responsible entity failed to provide more than 10% up to (and including) 15% of the appropriate equipment characteristics, system data, and existing and future Interchange Schedules in compliance with its respective Interconnection Regional steady-state modeling and simulation data requirements and reporting procedures as defined in Reliability Standard MOD-011-0_R1.	The responsible entity failed to provide more than 15% of the appropriate equipment characteristics, system data, and existing and future Interchange Schedules in compliance with its respective Interconnection Regional steady-state modeling and simulation data requirements and reporting procedures as defined in Reliability Standard MOD-011-0_R1.
R2.	The responsible entity provided data more than 30 calendar days but less than or equal to 40 calendar days following the request.	The responsible entity provided data more than 40 calendar days but less than or equal to 50 calendar days following the request.	The responsible entity provided data more than 50 calendar days but less than or equal to 60 calendar days following the request.	The responsible entity failed to provide data more than 60 calendar days following the request.

Standard Number MOD-012-0 Dynamics Data for Transmission System Modeling and Simulation

R#	Lower VSL	Moderate VSL	High VSL	Severe VSL
R1.	The responsible entity failed to provide 5% or less of the appropriate equipment characteristics and system data in compliance with the respective Interconnection-wide Regional dynamics system modeling and simulation data requirements and reporting procedures as defined in Reliability Standard MOD-013-0_R1	The responsible entity failed to provide more than 5% up to (and including) 10% of the appropriate equipment characteristics and system data in compliance with the respective Interconnection-wide Regional dynamics system modeling and simulation data requirements and reporting procedures as defined in Reliability Standard MOD-013-0_R1.	The responsible entity failed to provide more than 10% up to (and including) 15% of the appropriate equipment characteristics and system data in compliance with the respective Interconnection-wide Regional dynamics system modeling and simulation data requirements and reporting procedures as defined in Reliability Standard MOD-013-0_R1.	The responsible entity failed to provide more than 15% of the appropriate equipment characteristics and system data in compliance with the respective Interconnection-wide Regional dynamics system modeling and simulation data requirements and reporting procedures as defined in Reliability Standard MOD-013-0_R1.
R2.	The responsible entity provided data more than 30 calendar days but less than or equal to 40 calendar days following the request.	The responsible entity provided data more than 40 calendar days but less than or equal to 50 calendar days following the request.	The responsible entity provided data more than 50 calendar days but less than or equal to 60 calendar days following the request.	The responsible entity failed to provide data more than 60 calendar days following the request.

Standard Number MOD-016-1 Documentation of Data Reporting Requirements for Actual and Forecast Demands				
R#	Lower VSL	Moderate VSL	High VSL	Severe VSL
R1.	N/A	<p>The responsible entity did not have documentation identifying the scope and details of the actual and forecast data for one (1) of the following types of data to be reported for system modeling and reliability analyses:</p> <ul style="list-style-type: none"> • Demand data • Net Energy for Load data • Controllable DSM data 	<p>The responsible entity did not have documentation identifying the scope and details of the actual and forecast data for two (2) of the following to be reported for system modeling and reliability analyses:</p> <ul style="list-style-type: none"> • Demand data • Net Energy for Load data • Controllable DSM data 	<p>The responsible entity did not have documentation identifying the scope and details of the actual and forecast data to be reported for system modeling and reliability analyses.</p>
R1.1	<p>The responsible entity failed to ensure that consistent data is supplied for one of the Reliability Standards as specified in R1.1</p>	<p>The responsible entity failed to ensure that consistent data is supplied for two of the Reliability Standards as specified in R1.1.</p>	<p>The responsible entity failed to ensure that consistent data is supplied for three of the Reliability Standards as specified in R1.1.</p>	<p>The responsible entity failed to ensure that consistent data is supplied for four or more of the Reliability Standards as specified in R1.1.</p> <p>OR</p> <p>The responsible entity failed to stipulate that each Load-Serving Entity count its customer Demand once and only once, on an aggregated and dispersed basis, in developing its actual and forecast customer Demand</p>

Standard Number MOD-016-1 Documentation of Data Reporting Requirements for Actual and Forecast Demands				
R#	Lower VSL	Moderate VSL	High VSL	Severe VSL
				values.
R2.	<p>The responsible entity failed to distribute its documentation required in Requirement 1 and any changes to that documentation to 5% or less of all Planning Authorities that work within its Region.</p> <p>OR</p> <p>The responsible entity distributed the documentation more than 30 calendar days but less than or equal to 40 calendar days following approval.</p>	<p>The responsible entity failed to distribute its documentation required in Requirement 1 and any changes to that documentation to more than 5% up to (and including) 10% of all Planning Authorities that work within its Region.</p> <p>OR</p> <p>The responsible entity made the distribution more than 40 calendar days but less than or equal to 50 calendar days following approval.</p>	<p>The responsible entity failed to distribute its documentation required in Requirement 1 and any changes to that documentation to more than 10% up to (and including) 15% of all Planning Authorities that work within its Region.</p> <p>OR</p> <p>The responsible entity made the distribution more than 50 but less than or equal to 60 calendar days following approval.</p>	<p>The responsible entity failed to distribute its documentation to more than 15% of all Planning Authorities that work within its Region as specified in Requirement 1.</p> <p>OR</p> <p>The responsible entity failed to make the distribution more than 60 calendar days following approval.</p>
R3.	<p>The responsible entity failed to distribute its documentation required in Requirement R1 and any changes to that documentation to 5% or less of Transmission Planners and Load-Serving Entities that work within its Region.</p>	<p>The responsible entity failed to distribute its documentation required in Requirement R1 and any changes to that documentation to more than 5% up to (and including) 10% of Transmission Planners and Load-Serving Entities that work within its Region.</p>	<p>The responsible entity failed to distribute its documentation required in Requirement R1 and any changes to that documentation to more than 10% up to (and including) 15% of Transmission Planners and Load-Serving Entities that work within its Region.</p>	<p>The responsible entity failed to distribute its documentation as specified in Requirement R1 to more than 15% of Transmission Planners and Load-Serving Entities that work within its Region.</p>

Standard Number MOD-016-1 Documentation of Data Reporting Requirements for Actual and Forecast Demands

R#	Lower VSL	Moderate VSL	High VSL	Severe VSL
	<p>OR</p> <p>The responsible entity distributed the documentation more than 30 calendar days but less than or equal to 40 calendar days following approval.</p>	<p>OR</p> <p>The responsible entity made the distribution more than 40 calendar days but less than or equal to 50 calendar days following approval.</p>	<p>OR</p> <p>The responsible entity made the distribution more than 50 calendar days but less than or equal to 60 calendar days following approval.</p>	<p>OR</p> <p>The responsible entity failed to make the distribution more than 60 calendar days following approval.</p>

Standard Number MOD-017-0 Aggregated Actual and Forecast Demands and Net Energy for Load				
R#	Lower VSL	Moderate VSL	High VSL	Severe VSL
Revised R1.	The responsible entity failed to provide one (1) of the elements of information as specified in R1.1, R1.2, R1.3 or R1.4 on an annual basis.	The responsible entity failed to provide two (2) of the elements of information as specified in R1.1, R1.2, R1.3 or R1.4 on an annual basis.	The responsible entity failed to provide three (3) of the elements of information as specified in R1.1, R1.2, R1.3 or R1.4 on an annual basis.	The responsible entity failed to provide all of the elements of information as specified in R1.1, R1.2, R1.3 or and R1.4 on an annual basis.

Standard Number MOD-018-0 Reports of Actual and Forecast Demand Data

R#	Lower VSL	Moderate VSL	High VSL	Severe VSL
R1.	N/A	The responsible entity's report failed to include one (1) of the items as specified in R1.1, R1.2, or R1.3.	The responsible entity's report failed to include two (2) of the items as specified in R1.1, R1.2, or R1.3.	The responsible entity's report failed to include any of the items as specified in R1.1, R1.2, and R1.3.
R2.	The responsible entity reported the data associated with R1 to NERC, the Regional Reliability Organization, Load-Serving Entity, Planning Authority, and Resource Planner more than 30 calendar days but less than or equal to 40 calendar days following the request.	The responsible entity reported the data associated with R1 to NERC, the Regional Reliability Organization, Load-Serving Entity, Planning Authority, and Resource Planner more than 40 calendar days but less than or equal to 50 calendar days following the request.	The responsible entity reported the data associated with R1 to NERC, the Regional Reliability Organization, Load-Serving Entity, Planning Authority, and Resource Planner more than 50 calendar days but less than or equal to 60 calendar days following the request.	The responsible entity failed to report the data associated with R1 to NERC, the Regional Reliability Organization, Load-Serving Entity, Planning Authority, and Resource Planner more than 60 calendar days following the request.

Standard Number MOD-019-0 Forecasts of Interruptible Demands and DCLM Data				
R#	Lower VSL	Moderate VSL	High VSL	Severe VSL
R1.	N/A	<p>The responsible entity failed to provide annually its forecast for interruptible demands and Direct Control Load Management (DCLM) data for at least five years and up to ten years into the future, as requested, for summer peak system conditions to NERC, the Regional Reliability Organizations, and other entities (Load-Serving Entities, Planning Authorities, and Resource Planners) as specified by the documentation in Reliability Standard MOD-016-0_R1.</p> <p>OR</p> <p>The responsible entity failed to provide annually its forecast for interruptible demands and Direct Control Load Management (DCLM) data for at least five years and up to ten</p>	N/A	<p>The responsible entity failed to provide annually its forecast for interruptible demands and Direct Control Load Management (DCLM) data for at least five years and up to ten years into the future, as requested, for summer and winter peak system conditions to NERC, the Regional Reliability Organizations, and other entities (Load-Serving Entities, Planning Authorities, and Resource Planners) as specified by the documentation in Reliability Standard MOD-016-0_R1.</p>

Standard Number MOD-019-0 Forecasts of Interruptible Demands and DCLM Data				
R#	Lower VSL	Moderate VSL	High VSL	Severe VSL
		years into the future, as requested, for winter peak system conditions to NERC, the Regional Reliability Organizations, and other entities (Load-Serving Entities, Planning Authorities, and Resource Planners) as specified by the documentation in Reliability Standard MOD-016-0_R1.		

Standard Number MOD-020-0 Providing Interruptible Demands and DCLM Data

R#	Lower VSL	Moderate VSL	High VSL	Severe VSL
R1.	The responsible entity made known its amount of interruptible demands and Direct Control Load Management (DCLM) more than 30 calendar days but less than or equal to 40 calendar days following the request from Transmission Operators, Balancing Authorities, and Reliability Coordinators.	The responsible entity made known its amount of interruptible demands and Direct Control Load Management (DCLM) more than 40 calendar days but less than or equal to 50 calendar days following the request from Transmission Operators, Balancing Authorities, and Reliability Coordinators.	The responsible entity made known its amount of interruptible demands and Direct Control Load Management (DCLM) more than 50 calendar days but less than or equal to 60 calendar days following the request from Transmission Operators, Balancing Authorities, and Reliability Coordinators.	The responsible entity failed to make known its amount of interruptible demands and Direct Control Load Management (DCLM) more than 60 calendar days following the request from Transmission Operators, Balancing Authorities, and Reliability Coordinators.

Standard Number MOD-021-0 Accounting Methodology for Effects of Controllable DSM in Forecasts				
R#	Lower VSL	Moderate VSL	High VSL	Severe VSL
R1.	The responsible entity's forecasts document how the Demand and energy effects of DSM programs but failed to document how one (1) of the following elements of the Demand and energy effects of DSM programs are addressed: conservation, time-of-use rates, interruptible Demands or Direct Control Load Management.	The responsible entity's forecasts document how the Demand and energy effects of DSM programs but failed to document how two (2) of the following elements of the Demand and energy effects of DSM programs are addressed: conservation, time-of-use rates, interruptible Demands or Direct Control Load Management.	The responsible entity's forecasts document how the Demand and energy effects of DSM programs but failed to document how three (3) of the following elements of the Demand and energy effects of DSM programs are addressed: conservation, time-of-use rates, interruptible Demands or Direct Control Load Management.	The responsible entity's forecasts failed to document how the Demand and energy effects of DSM programs were addressed.
R2.	N/A	N/A	N/A	The responsible entity failed to include information detailing how Demand-Side Management measure(s) are addressed in the forecasts of its Peak Demand and annual Net Energy for Load in the data reporting procedures of Standard MOD-016-0_R 1.
R3.	The responsible entity provided documentation on the treatment of its DSM programs more than 30 calendar days	The responsible entity provided documentation on the treatment of its DSM programs more than 40 calendar days	The responsible entity provided documentation on the treatment of its DSM programs more than 50 calendar days	The responsible entity failed to provide documentation on the treatment of its DSM programs more than 60 calendar days

Standard Number MOD-021-0 Accounting Methodology for Effects of Controllable DSM in Forecasts

R#	Lower VSL	Moderate VSL	High VSL	Severe VSL
	but less than or equal to 40 calendar days following the request from NERC.	but less than or equal to 50 calendar days following the request from NERC.	but less than or equal to 60 calendar days following the request from NERC.	following the request from NERC.