

## Standard Development Roadmap

### Development Steps Completed:

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1. The drafting team will consider and respond to comments received during the current posting and revise the draft standard as needed.	December 2005
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## Definitions of Terms Used in Standard

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**Agreement:** A contract or other document delineating an arrangement that expresses assent by two or more parties to the same object. This arrangement determines a course of action to be followed by all parties involved in the situation. The key components of the agreement must identify the ability, intent, and authority of the parties. The requirement for an agreement can be satisfied in a variety of ways, including but not limited to: contracts, designation of authority documents, policies, and procedures.

[Above definition is proposed to replace the existing definition of Agreement in the Reliability Standards Glossary of Terms.]

## A. Introduction

1. **Title:** **Balancing Authority Certification – Certification**
2. **Number:** ORG-009-1
3. **Purpose:** To ensure that each entity that performs the balancing function for a defined Balancing Authority Area is certified as a NERC Balancing Authority by the NERC Regional Reliability Organization using the NERC Organization Registration and Certification Manual.
4. **Applicability**
  - 4.1. Entity seeking certification as a Balancing Authority.
  - 4.2. Regional Reliability Organization.
5. **Proposed Effective Date:** December 1, 2006

## B. Requirements

- R1. The entity that intends to perform the balancing function shall obtain certification as a NERC Balancing Authority by satisfying all of the requirements identified in the NERC Balancing Authority Certification Standards.
  - Balancing Authority Certification — Certification
  - Balancing Authority Certification — Agreements
  - Balancing Authority Certification — Personnel
  - Balancing Authority Certification — Balancing
  - Balancing Authority Certification — Data Acquisition
  - Balancing Authority Certification — Frequency Control
  - Balancing Authority Certification — Interchange Approval and Implementation
  - Balancing Authority Certification — Information Dissemination
  - Balancing Authority Certification — Emergency Operations
  - Balancing Authority Certification — Loss of Control Center Functionality
- R2. The entity seeking certification as a Balancing Authority shall apply for certification in accordance with and adhere to the administrative requirements of the NERC Organization Registration and Certification Manual.
- R3. A Balancing Authority may delegate tasks, but shall retain responsibility for all tasks. Each entity seeking certification as a Balancing Authority shall identify any task for which it is responsible that will be performed by another entity and shall ensure that the entity has the capability to perform the tasks. The entity, to which a task is delegated, shall be subject to review as part of the NERC Organization Registration and Certification Manual.
- R4. The Balancing Authority shall have procedures, processes, and tools for adhering to NERC reliability standards related to cyber security.
- R5. The NERC Regional Reliability Organizations awarding of certification to the Balancing Authority shall be based on satisfying all of the requirements defined in the NERC Balancing Authority Certification standards identified in Requirement R1.
- R6. The NERC Regional Reliability Organizations shall administer the certification process using the NERC Organization Registration and Certification Manual.

**C. Measures**

- M1. The Balancing Authority shall meet Requirement R1.
- M2. The Balancing Authority shall have available for inspection, documentation to meet Requirements R2 through R4.
- M3. The Regional Reliability Organization shall have available for inspection, documentation to meet Requirements R5 and R6.

**D. Compliance**

**1. Compliance Monitoring Process**

**1.1. Compliance Monitoring Responsibility**

1.1.1 Regional Reliability Organization for Requirements R1 through R4.

1.1.2 NERC for Requirements R5 through R7.

**1.2. Compliance Monitoring Period and Reset Time Frame**

None.

**1.3. Data Retention**

Regional Reliability Organization — None.

NERC — Regional Reliability Organization letter of certification approval.

**1.4. Additional Compliance Information**

None.

**2. Levels of Non-Compliance**

2.1. Level 1: Not Applicable.

2.2. Level 2: Not Applicable.

2.3. Level 3: Not Applicable.

2.4. Level 4: Not Applicable.

**E. Regional Differences**

None identified.

**Version History**

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[Above definition is proposed to replace the existing definition of Agreement in the Reliability Standards Glossary of Terms.]

## A. Introduction

1. **Title:** **Balancing Authority Certification — Agreements**
2. **Number:** ORG-010-1
3. **Purpose:** To ensure that the entity seeking certification as a Balancing Authority has agreements in place that define its responsibilities, authority, and interaction with entities that are necessary to perform its balancing function.
4. **Applicability**
  - 4.1. Entity seeking certification as a Balancing Authority.
5. **Proposed Effective Date:** December 1, 2006

## B. Requirements

- R1. The Balancing Authority shall have agreements that define the responsibilities and authority of that Balancing Authority with respect to its Load Serving Entities, Generator Operators, Reliability Coordinator, adjacent Balancing Authority(ies), Transmission Operators, Distribution Providers, and Transmission Owners. The entities identified below are the typical information sources, however the information identified can be obtained from alternate sources and must be identified in the appropriate agreements. The agreements for the acquisition of this information for the defined Balancing Authority Area must address:
  - R1.1. The commitment of its Load Serving Entities, Generator Operators, Transmission Operators, or Distribution Providers to follow the Balancing Authority's requests to ensure balance in real time that address:
    - R1.1.1. Shedding or reducing load as identified in TOP-001.
    - R1.1.2. Re-dispatching resources as identified in TOP-001.
    - R1.1.3. Adhering to balanced Interchange Schedules.
  - R1.2. The Balancing Authority's commitment to follow the directives of its Reliability Coordinator as identified in TOP-001.
  - R1.3. The adjacent Balancing Authority(s) commitment to:
    - R1.3.1. Confirm actual and scheduled interchange as identified in BAL-006.
    - R1.3.2. Coordinate dynamic transfers that exist in another Balancing Authority Area as identified in BAL-005.
    - R1.3.3. Identify the location of its Balancing Authority Area's metered boundaries as identified in BAL-005.
- R2. The Balancing Authority shall have agreements that define the data and information exchange responsibilities with respect to its Load Serving Entities, Generator Owners, Generator Operators, Reliability Coordinator, adjacent Balancing Authority(ies), Transmission Operators, and Transmission Owners that addresses:
  - R2.1. Generator real-time operating data as identified in TOP-005.
  - R2.2. Identification of its Balancing Authority Area's metered boundaries as identified in BAL-005.
  - R2.3. Information required for system studies as identified in IRO-004.
  - R2.4. Balancing information for real-time monitoring as identified in TOP-005.

- R2.5.** Real-time operational information for Reliability Coordinator monitoring as identified in TOP-005.
- R2.6.** Notice of Interchange Schedule interruptions due to generation or load interruptions within its Balancing Authority Area as identified in INT-004.
- R2.7.** Notice of the implementation of its own emergency procedures as identified in EOP-002.
- R2.8.** Interconnection boundary metering as identified in BAL-005.
- R2.9.** Actual interchange as identified in BAL-005.
- R2.10.** Frequency as identified in BAL-005.
- R2.11.** Dynamic interchange as identified in BAL-005.
- R2.12.** Protection and control information, including Special Protection Systems as identified in PRC-001

**C. Measures**

- M1.** The Balancing Authority shall have available for inspection agreement(s) that meet Requirements R1 and R2.

**D. Compliance**

**1. Compliance Monitoring Process**

**1.1. Compliance Monitoring Responsibility**

Regional Reliability Organization.

**1.2. Compliance Monitoring Period and Reset Time Frame**

None.

**1.3. Data Retention**

None.

**1.4. Additional Compliance Information**

None.

**2. Levels of Non-Compliance**

**2.1. Level 1:** Not Applicable.

**2.2. Level 2:** Not Applicable.

**2.3. Level 3:** Not Applicable.

**2.4. Level 4:** Not Applicable.

**E. Regional Differences**

None identified.

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[Above definition is proposed to replace the existing definition of Agreement in the Reliability Standards Glossary of Terms.]

## A. Introduction

1. **Title:** **Balancing Authority Certification — Personnel**
2. **Number:** ORG-011-1
3. **Purpose:** To ensure that the entity seeking certification as a Balancing Authority has operating personnel that have been trained and are NERC-certified to perform Balancing Authority real-time responsibilities.
4. **Applicability**
  - 4.1. Entity seeking certification as a Balancing Authority.
5. **Proposed Effective Date:** December 1, 2006

## B. Requirements

- R1. The Balancing Authority shall provide its operating personnel with the responsibility and authority as identified in PER-001.
- R2. The Balancing Authority shall have NERC-certified personnel as identified in PER-003.
- R3. The Balancing Authority may delegate real-time tasks provided the personnel performing these tasks are also certified.
  - R3.1. Tasks considered real time are:
    - Directs resources (Generator Operators and Load-Serving Entities) to take action to ensure balance in real time.
    - Directs the reduction or shedding of load if needed to ensure balance within its Balancing Authority Area.
    - Complies with reliability requirements specified by Reliability Coordinator.
    - Informs the Reliability Coordinator and impacted Balancing Authorities of Interchange Schedule interruptions due to generation or load interruptions within its Balancing Authority Area.
    - Directs Generator Operators to implement redispatch for congestion management.
    - Notifies the Reliability Coordinator of the implementation of its own emergency procedures.
    - Implements emergency procedures.
    - Provides real-time system information to the Reliability Coordinator.
- R4. The Balancing Authority shall have a training program and provide its operating personnel with training as identified in PER-002.
- R5. Entities to whom tasks are delegated shall have a training program and provide its operating personnel with training as identified in PER-002.

**C. Measures**

- M1.** The Balancing Authority shall have available for inspection, documentation identifying the elements to satisfy Requirement R1.
- M2.** The Balancing Authority shall have available for inspection, records of all certifications for personnel identified in Requirements R2 and R3 (if applicable).
- M3.** The Balancing Authority shall have available for inspection, documentation of its training programs and records of training activities for personnel identified in Requirements R4 and R5.

**D. Compliance**

**1. Compliance Monitoring Process**

**1.1. Compliance Monitoring Responsibility**

Regional Reliability Organization.

**1.2. Compliance Monitoring Period and Reset Time Frame**

None.

**1.3. Data Retention**

None.

**1.4. Additional Compliance Information**

None.

**2. Levels of Non-Compliance**

**2.1. Level 1:** Not Applicable.

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**E. Regional Differences**

None identified.

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## A. Introduction

1. **Title:** **Balancing Authority Certification — Balancing**
2. **Number:** ORG-012-1
3. **Purpose:** To ensure that the entity seeking certification as a Balancing Authority has the capability of performing the balancing responsibilities for its Balancing Authority Area.
4. **Applicability**
  - 4.1. Entity seeking certification as a Balancing Authority.
5. **Proposed Effective Date:** December 1, 2006

## B. Requirements

- R1. The Balancing Authority shall have procedures or processes to determine requirements, acquire, and deploy generation and demand balance Interconnected Operations Services as identified in BAL-002 and BAL-003.
- R2. The Balancing Authority shall have procedures or processes to develop its plan for operation as identified in TOP-002 and IRO-004.
- R3. The Balancing Authority shall have procedures or processes to implement its plan for operation including modifications to reflect changes as identified in TOP-002 and IRO-004.
- R4. The Balancing Authority shall have procedures, processes, or tools for monitoring resource availabilities and capabilities in real time as identified in TOP-006 and BAL-005.
- R5. The Balancing Authority shall have procedures, processes, or tools to direct actions of resources within its Balancing Authority Area as identified in BAL-005 and BAL-001.
- R6. The Balancing Authority shall have procedures, processes, or tools to obtain Interchange Schedules as identified in INT-001, INT-002, and INT-004.
- R7. The Balancing Authority shall have procedures, processes, or tools to validate the accuracy of Area Control Error components and implement corrective actions as identified in BAL-005.
- R8. The Balancing Authority shall have tools to calculate and monitor the Area Control Error for its Balancing Authority Area as identified in BAL-001.
- R9. The Balancing Authority shall have tools to monitor its metered boundaries (including pseudo-ties) in real-time as identified in BAL-005.
- R10. The Balancing Authority shall have tools to monitor real-time status and output of resources used for generation and demand balance Interconnected Operations Services as identified in TOP-006.
- R11. The Balancing Authority shall have tools to monitor Special Protection Systems in its area as identified in PRC-001.

## C. Measures

- M1. The Balancing Authority shall have available for inspection its procedures or processes to satisfy Requirements R1 through R3.
- M2. The Balancing Authority shall have available for inspection its procedures or processes or demonstrate its tools to satisfy Requirements R4 through R7.
- M3. The Balancing Authority shall demonstrate its tools to satisfy Requirements R8 through R11.

**D. Compliance**

**1. Compliance Monitoring Process**

**1.1. Compliance Monitoring Responsibility**

Regional Reliability Organization.

**1.2. Compliance Monitoring Period and Reset Timeframe**

None.

**1.3. Data Retention**

None.

**1.4. Additional Compliance Information**

None.

**2. Levels of Non-Compliance**

**2.1. Level 1:** Not Applicable.

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## A. Introduction

1. **Title:** **Balancing Authority Certification — Data Acquisition**
2. **Number:** ORG-013-1
3. **Purpose:** To ensure that the entity seeking certification as a Balancing Authority has real-time resource-demand data in order to adequately control its system while maintaining acceptable system frequency.
4. **Applicability**
  - 4.1. Entity seeking certification as a Balancing Authority.
5. **Proposed Effective Date:** December 1, 2006

## B. Requirements

- R1. The Balancing Authority shall have data acquisition requirements for its Balancing Authority Area that include: periodicity of transmittal, format of submission, and time frame.
- R2. The Balancing Authority shall have procedures, processes, or tools as identified in COM-001 for acquiring or developing data that includes:
  - R2.1. Load Forecast(s) as identified in TOP-006.
  - R2.2. Controllable load(s) (if applicable) as identified in BAL-002.
  - R2.3. Interchange Schedule requests as identified in INT-001.
  - R2.4. Approved Interchange Schedules as identified in INT-003.
  - R2.5. System frequency(s) as identified in BAL-005.
  - R2.6. Scheduled Frequency as identified in BAL-005.
  - R2.7. Variable Frequency Bias (if applicable) as identified in BAL-003.
  - R2.8. Net Actual Interchange as identified in BAL-005.
  - R2.9. Generator operational plans and commitments as identified in TOP-002.
  - R2.10. Generator outages related to maintenance plans as identified in TOP-003.
  - R2.11. Real-time generator operational information TOP-006.
  - R2.12. Generation and Demand balance Interconnected Operations Services as identified in BAL-002 and BAL-005.
  - R2.13. Protection and control information, including Special Protection Systems as identified in PRC-001.
- R3. The Balancing Authority shall have procedures, processes, or tools for acquiring the following Reliability Coordinator information:
  - R3.1. Dispatch adjustments for congestion management as identified in COM-002.
  - R3.2. Emergency procedures as identified in EOP-002.
  - R3.3. Reliability analyses as identified in IRO-004.
  - R3.4. Load shedding directives as identified in EOP-003.
  - R3.5. Reliability alerts as identified in EOP-002, IRO-004, and IRO-005.

**C. Measures**

- M1.** The Balancing Authority shall have available for inspection its established data reporting requirements identified in Requirement R1.
- M2.** The Balancing Authority shall demonstrate that it can follow its procedures, processes, or use its tools to collect the data or information identified in Requirements R2 and R3.

**D. Compliance**

**1. Compliance Monitoring Process**

**1.1. Compliance Monitoring Responsibility**

Regional Reliability Organization.

**1.2. Compliance Monitoring Period and Reset Time Frame**

None.

**1.3. Data Retention**

None.

**1.4. Additional Compliance Information**

None.

**2. Levels of Non-Compliance**

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## A. Introduction

1. **Title:** **Balancing Authority Certification — Frequency Control**
2. **Number:** ORG-014-1
3. **Purpose:** To ensure that the entity seeking certification as a Balancing Authority has the capability to perform frequency control in its defined Balancing Authority Area.
4. **Applicability**
  - 4.1. Entity seeking certification as a Balancing Authority.
5. **Proposed Effective Date:** December 1, 2006

## B. Requirements

- R1. The Balancing Authority shall have procedures, processes, or tools for contributing to Interconnection frequency that address:
  - R1.1. Determining frequency response as identified in BAL-003.
  - R1.2. Determining frequency bias as identified in BAL-003.
  - R1.3. Incorporating frequency bias and scheduled and actual frequency into the Area Control Error calculation as identified in BAL-001 and BAL-004.
  - R1.4. Operating in Tie-Line Bias or flat (constant) frequency control modes as identified in BAL-005.

## C. Measures

- M1. The Balancing Authority shall demonstrate that it can follow its procedures, processes, or use its tools to contribute to frequency control as identified in Requirement R1.

## D. Compliance

1. **Compliance Monitoring Process**
  - 1.1. **Compliance Monitoring Responsibility**

Regional Reliability Organization.
  - 1.1. **Compliance Monitoring Period and Reset Time Frame**

None.
  - 1.2. **Data Retention**

None.
  - 1.3. **Additional Compliance Information**

None.
2. **Levels of Non-Compliance**
  - 2.1. **Level 1:** Not Applicable.
  - 2.2. **Level 2:** Not Applicable.
  - 2.3. **Level 3:** Not Applicable.
  - 2.4. **Level 4:** Not Applicable.

**E. Regional Differences**

None identified.

**Version History**

Version	Date	Action	Change Tracking

## Standard Development Roadmap

### Development Steps Completed:

1. Balancing Authority Certification SAR requested — October 7, 2002.
2. Balancing Authority Certification SAR authorized for posting — November 20, 2002.
3. SAR drafting team appointed — January 6, 2003.
4. Balancing Authority Certification SAR first posting — December 1, 2002 to January 10, 2003.
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12. Balancing Authority Certification standard fourth posting — September 1, 2005 to October 15, 2005.

### Description of Current Draft:

This is the fifth posting of the Balancing Authority Certification standards. These standards have been drafted based on the SARs and updated based on the comments received during the first four postings of the Balancing Authority Certification standards and applicable comments received during the third posting of the Transmission Operator Certification standard and the second posting of the Reliability Coordinator Certification standard.

### Future Development Plan:

Anticipated Actions	Anticipated Date
1. The drafting team will consider and respond to comments received during the current posting and revise the draft standard as needed.	December 2005
2. Post this standard for a fifth time.	January 2006
3. Field test standards.	March–July 2006
4. Ballot standards.	August 2006
5. Board adoption.	November 2006
6. Proposed effective date.	December 2006

### **Definitions of Terms Used in Standard**

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## A. Introduction

1. **Title:** **Balancing Authority Certification — Interchange Approval and Implementation**
2. **Number:** ORG-015-1
3. **Purpose:** To ensure that the entity seeking certification as a Balancing Authority has the capability to approve and implement Interchange Schedules.
4. **Applicability**
  - 4.1. Entity seeking certification as a Balancing Authority
5. **Proposed Effective Date:** December 1, 2006

## B. Requirements

- R1. The Balancing Authority shall have procedures, processes, or tools for Interchange Schedule approval that includes the determination that the resource ramp capability meets the projected Interchange Schedule ramp as identified in INT-002.
- R2. The Balancing Authority shall have procedures, processes, or tools for implementing Interchange Schedules as identified in INT-003.

## C. Measures

- M1. The Balancing Authority shall demonstrate that it can follow its procedures, processes, or use its tools for approving and implementing interchange as identified in Requirements R1 and R2.

## D. Compliance

1. **Compliance Monitoring Process**
  - 1.1. **Compliance Monitoring Responsibility**

Regional Reliability Organization.
  - 1.2. **Compliance Monitoring Period and Reset Time Frame**

None.
  - 1.3. **Data Retention**

None.
  - 1.4. **Additional Compliance Information**

None.
2. **Levels of Non-Compliance**
  - 2.1. **Level 1:** Not Applicable.
  - 2.2. **Level 2:** Not Applicable.
  - 2.3. **Level 3:** Not Applicable.
  - 2.4. **Level 4:** Not Applicable.

## E. Regional Differences

None identified.

**Version History**

Version	Date	Action	Change Tracking

## Standard Development Roadmap

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### Description of Current Draft:

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4. Ballot standards.	August 2006
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## A. Introduction

1. **Title:** **Balancing Authority Certification — Information Dissemination**
2. **Number:** ORG-016-1
3. **Purpose:** To ensure that the entity seeking certification as a Balancing Authority has the capability to provide data and information to its Reliability Coordinator, Adjacent Balancing Authority(ies), and Transmission Operators.
4. **Applicability**
  - 4.1. Entity seeking certification as a Balancing Authority.
5. **Proposed Effective Date:** December 1, 2006

## B. Requirements

- R1. The Balancing Authority shall have procedures, processes, or tools to provide information and data to its Reliability Coordinator in accordance with the required Reliability Coordinator's specifications that addresses:
  - R1.1. Information required for system studies as identified in IRO-002 and IRO-004.
  - R1.2. Real-time operational information and data as identified in TOP-005 and IRO-002.
  - R1.3. Interchange schedule interruptions due to generation or load interruptions within its Balancing Authority Area as identified in INT-004.
  - R1.4. Notification of the implementation of its own emergency procedures as identified in EOP-002.
- R2. The Balancing Authority shall have procedures, processes, or tools to provide information and data to its adjacent Balancing Authority(ies) that address:
  - R2.1. Confirmation of scheduled and actual interchange as identified in INT-003 and BAL-006.
  - R2.2. Notification of the implementation of its own emergency procedures as identified in EOP-002.
- R3. The Balancing Authority shall have procedures, processes, or tools to provide information and data to its Transmission Operator(s) that addresses:
  - R3.1. Notification of the implementation of its own emergency procedures as identified in COM-002

## C. Measures

- M1. The Balancing Authority shall demonstrate that it can follow its procedures, processes, or use its tools to provide the information and data as identified in Requirements R1 through R3.

## D. Compliance

1. **Compliance Monitoring Process**
  - 1.1. **Compliance Monitoring Responsibility**

Regional Reliability Organization.
  - 1.2. **Compliance Monitoring Period and Reset Timeframe**

None.

**1.3. Data Retention**

None.

**1.4. Additional Compliance Information**

None.

**2. Levels of Non-Compliance**

**2.1. Level 1:** Not Applicable.

**2.2. Level 2:** Not Applicable.

**2.3. Level 3:** Not Applicable.

**2.4. Level 4:** Not Applicable.

**E. Regional Differences**

None identified.

**Version History**

Version	Date	Action	Change Tracking

## Standard Development Roadmap

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## A. Introduction

1. **Title:** **Balancing Authority Certification — Emergency Operations**
2. **Number:** ORG-017-1
3. **Purpose:** To ensure that the entity seeking certification as a Balancing Authority has the capability for continued operations during Bulk Electric System emergency conditions.
4. **Applicability**
  - 4.1. Entity seeking certification as a Balancing Authority.
5. **Proposed Effective Date:** December 1, 2006

## B. Requirements

- R1. The Balancing Authority shall have procedures or processes for communicating and coordinating operations during a Bulk Electric System emergency. The procedures or processes shall address when the Balancing Authority:
  - R1.1. Is unable to meet its resource-demand balance requirements as identified in EOP-001, EOP-002, and EOP-003
  - R1.2. Is unable to meet its Interconnected Operations Services requirements as identified in COM-002.
  - R1.3. Has experienced or is informed of an actual or suspected act of sabotage that affects a physical or cyber asset within its Balancing Authority Area and impacts the ability to operate as identified in CIP-001.
  - R1.4. Has been directed by its Reliability Coordinator to take actions to maintain the reliability of the Interconnection as identified in IRO-001 and TOP-001.
  - R1.5. Has experienced a partial or total Bulk Electric System shutdown as identified in EOP-005.
- R2. The Balancing Authority shall have procedures or processes that define its responsibilities and authority for responding to a Bulk Electric System emergency.

## C. Measures

- M1. The Balancing Authority shall have available for inspection its procedures or processes as identified in Requirements R1 and R2.

## D. Compliance

1. **Compliance Monitoring Process**
  - 1.1. **Compliance Monitoring Responsibility**

Regional Reliability Organization.
  - 1.2. **Compliance Monitoring Period and Reset Time Frame**

None.
  - 1.3. **Data Retention**

None.
  - 1.4. **Additional Compliance Information**

None.

**2. Levels of Non-Compliance**

- 2.1. Level 1: Not Applicable.
- 2.2. Level 2: Not Applicable.
- 2.3. Level 3: Not Applicable.
- 2.4. Level 4: Not Applicable.

**E. Regional Differences**

None identified.

**Version History**

Version	Date	Action	Change Tracking

## Standard Development Roadmap

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## A. Introduction

1. **Title:** **Balancing Authority Certification — Loss of Control Center Functionality**
2. **Number:** ORG-018-1
3. **Purpose:** To ensure that the entity seeking certification as a Balancing Authority has the capability for continued operations during loss of its control center functionality.
4. **Applicability**
  - 4.1. Entity seeking certification as a Balancing Authority.
5. **Proposed Effective Date:** December 1, 2006

## B. Requirements

- R1. The Balancing Authority shall have procedures, processes, tools, or facilities to continue to operate in accordance with the requirements applicable to the Balancing Authority in EOP-008.

## C. Measures

- M1. The Balancing Authority shall have available for inspection its procedures, processes, tools, or facilities to meet Requirement R1.

## D. Compliance

1. **Compliance Monitoring Process**
  - 1.1. **Compliance Monitoring Responsibility**

Regional Reliability Organization.
  - 1.1. **Compliance Monitoring Period and Reset Time Frame**

None.
  - 1.2. **Data Retention**

None.
  - 1.3. **Additional Compliance Information**

None.
2. **Levels of Non-Compliance**
  - 2.1. **Level 1:** Not Applicable.
  - 2.2. **Level 2:** Not Applicable.
  - 2.3. **Level 3:** Not Applicable.
  - 2.4. **Level 4:** Not Applicable

## E. Regional Differences

None identified.

**Version History**

<b>Version</b>	<b>Date</b>	<b>Action</b>	<b>Change Tracking</b>