

Mapping Document Showing Translation of CIP-001-1 – Sabotage Reporting and EOP-004-1 – Disturbance Reporting, into EOP-004-2 - Impact Event and Disturbance Assessment, Analysis, and Reporting

Standard: CIP-001-1 – Sabotage Reporting		
Requirement in Approved Standard	Translation to New Standard or Other Action	Proposed Language in EOP-004-2 - Impact Event and Disturbance Assessment, Analysis, and Reporting
R1. Each Reliability Coordinator, Balancing Authority, Transmission Operator, Generator Operator, and Load-Serving Entity shall have procedures for the recognition of and for making their operating personnel aware of sabotage events on its facilities and multi site sabotage affecting larger portions of the Interconnection.	Moved into EOP-004-2, R2	<p>R2. Each Applicable Entity identified in Attachment 1 shall have an Operating Plan(s) for identifying, assessing and reporting impact events listed in Attachment 1 that includes the following components:</p> <ol style="list-style-type: none"> 2.1. Method(s) for identifying impact events 2.2. Method(s) for assessing cause(s) of impact events 2.3. Method(s) for making internal and external notifications pursuant to Parts 2.5 and 2.6 2.4. List of internal company personnel responsible for making initial notification(s) pursuant to Parts 2.5.and 2.6. 2.5. List of internal company personnel to notify 2.6. List of external organizations to notify to include but not limited to NERC, Regional Entity, Law Enforcement, and Governmental or Provincial Agencies. 2.7. Method(s) for updating the Operating Plan when there is a component change within 30 days of the notification of the change. 2.8. A provision for updating the Operating Plan based on lessons learned from an exercise or implementation of the Operating Plan within 30 days of identifying the lessons learned. 2.9. A provision for updating the Operating Plan based on applicable lessons learned from the annual NERC report issued pursuant to Requirement R8 within 30 days of NERC publishing lessons learned.

R2. Each Reliability Coordinator, Balancing Authority, Transmission Operator, Generator Operator, and Load-Serving Entity shall have procedures for the communication of information concerning sabotage events to appropriate parties in the Interconnection.	Moved into EOP-004-2, R2	
R3. Each Reliability Coordinator, Balancing Authority, Transmission Operator, Generator Operator, and Load-Serving Entity shall provide its operating personnel with sabotage response guidelines, including personnel to contact, for reporting disturbances due to sabotage events.	Moved into EOP-004-2, R2	
R4. Each Reliability Coordinator, Balancing Authority, Transmission Operator, Generator Operator, and Load-Serving Entity shall establish communications contacts, as applicable, with local Federal Bureau of Investigation (FBI) or Royal Canadian Mounted Police (RCMP) officials and develop reporting procedures as appropriate to their circumstances.	Moved into EOP-004-2, R2	

Standard: EOP-004-1 – Disturbance Reporting

Requirement in Approved Standard	Translation to New Standard or Other Action	Proposed Language in EOP-004-2 - Impact Event and Disturbance Assessment, Analysis, and Reporting Comments
R1. Each Regional Reliability Organization shall establish and maintain a Regional reporting procedure to facilitate preparation of preliminary and final disturbance reports.	Retire this fill-in-the-blank requirement. Replace with new reporting procedure developed by NERC EAWG.	<i>(The NERC EAWG is working to develop continent wide reporting guidelines applicable under the NERC Rules of Procedure.)</i>
R2. A Reliability Coordinator, Balancing Authority, Transmission Operator, Generator Operator or Load-Serving Entity shall promptly analyze Bulk Electric System disturbances on its system or facilities.	Translated into EOP-004-2, R1	R1. Each Applicable Entity shall have a documented Operating Plan for identifying and assessing impact events listed in Attachment 1.
R3. A Reliability Coordinator, Balancing Authority, Transmission Operator, Generator Operator or Load-Serving Entity experiencing a reportable incident shall	Translated into EOP-004-2, R6	R6. Each Applicable Entity shall report impact events in accordance with its Operating Plan created pursuant to Requirement R2 and the timelines

Standard: EOP-004-1 – Disturbance Reporting

Requirement in Approved Standard	Translation to New Standard or Other Action	Proposed Language in EOP-004-2 - Impact Event and Disturbance Assessment, Analysis, and Reporting Comments
provide a preliminary written report to its Regional Reliability Organization and NERC.		outlined in Attachment 1.
R3.1. The affected Reliability Coordinator, Balancing Authority, Transmission Operator, Generator Operator or Load-Serving Entity shall submit within 24 hours of the disturbance or unusual occurrence either a copy of the report submitted to DOE, or, if no DOE report is required, a copy of the NERC Interconnection Reliability Operating Limit and Preliminary Disturbance Report form. Events that are not identified until some time after they occur shall be reported within 24 hours of being recognized.	Translated into EOP-004-2, R6	R6. Each Applicable Entity shall report impact events in accordance with its Operating Plan created pursuant to Requirement R2 and the timelines outlined in Attachment 1.
R3.2. Applicable reporting forms are provided in Attachments 022-1 and 022-2.	Retire – informational statement	
R3.3. Under certain adverse conditions, e.g., severe weather, it may not be possible to assess the damage caused by a disturbance and issue a written Interconnection Reliability Operating Limit and Preliminary Disturbance Report within 24 hours. In such cases, the affected Reliability Coordinator, Balancing Authority, Transmission Operator, Generator Operator, or Load-Serving Entity shall promptly notify its Regional Reliability Organization(s) and NERC, and verbally provide as much information as is available at that time. The affected Reliability Coordinator, Balancing Authority, Transmission Operator, Generator Operator, or Load-Serving Entity shall then provide timely, periodic verbal updates until adequate information is available to issue a written Preliminary Disturbance Report.	Retire as a requirement. Added as a “Note” to EOP-004-Attachment1-Impact Events Table	<i>NOTE: Under certain adverse conditions, e.g., severe weather, it may not be possible to assess the damage caused by an impact event and issue a written Impact Event Report within the timing in the table below. In such cases, the affected Applicable Entity shall notify its Regional Entity(ies) and NERC, and verbally provide as much information as is available at that time. The affected Applicable Entity shall then provide periodic verbal updates until adequate information is available to issue a written Preliminary Impact Event Report.</i>
R3.4. If, in the judgment of the Regional Reliability Organization, after consultation with the Reliability Coordinator, Balancing Authority, Transmission Operator, Generator Operator, or Load-Serving Entity in which a disturbance occurred, a final report is required,	Retire this fill-in-the-blank requirement. Replace with new	<i>(The NERC EAWG is working to develop continent wide reporting guidelines applicable under the NERC Rules of Procedure.)</i>

Standard: EOP-004-1 – Disturbance Reporting

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<p>the affected Reliability Coordinator, Balancing Authority, Transmission Operator, Generator Operator, or Load-Serving Entity shall prepare this report within 60 days. As a minimum, the final report shall have a discussion of the events and its cause, the conclusions reached, and recommendations to prevent recurrence of this type of event. The report shall be subject to Regional Reliability Organization approval.</p>	<p>reporting procedure developed by NERC EAWG.</p>	
<p>R4. When a Bulk Electric System disturbance occurs, the Regional Reliability Organization shall make its representatives on the NERC Operating Committee and Disturbance Analysis Working Group available to the affected Reliability Coordinator, Balancing Authority, Transmission Operator, Generator Operator, or Load-Serving Entity immediately affected by the disturbance for the purpose of providing any needed assistance in the investigation and to assist in the preparation of a final report.</p>	<p>Retire this fill-in-the-blank requirement.</p> <p>Replace with new reporting procedure developed by NERC EAWG.</p>	<p><i>(The NERC EAWG is working to develop continent wide reporting guidelines applicable under the NERC Rules of Procedure.)</i></p>
<p>R5. The Regional Reliability Organization shall track and review the status of all final report recommendations at least twice each year to ensure they are being acted upon in a timely manner. If any recommendation has not been acted on within two years, or if Regional Reliability Organization tracking and review indicates at any time that any recommendation is not being acted on with sufficient diligence, the Regional Reliability Organization shall notify the NERC Planning Committee and Operating Committee of the status of the recommendation(s) and the steps the Regional Reliability Organization has taken to accelerate implementation.</p>	<p>Retire this fill-in-the-blank requirement.</p> <p>Replace with new reporting procedure developed by NERC EAWG.</p>	<p><i>(The NERC EAWG is working to develop continent wide reporting guidelines applicable under the NERC Rules of Procedure.)</i></p>