

Proposed Violation Severity Levels for the TPL Series of Standards:

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Proposed Violation Severity Levels for the TPL Series of Standards

Standard Number TPL-001-0 System Performance Under Normal Conditions				
R#	Lower VSL	Moderate VSL	High VSL	Severe VSL
R1.	<p>The responsible entity has failed to demonstrate a valid assessment for the long-term period, but a valid assessment for the near-term period exists. (R 1.2)</p> <p>OR</p> <p>The responsible entity is non-compliant with one of the sub-components of requirement R1.3 (R1.3.1 through R1.3.9).</p>	<p>The responsible entity has failed to demonstrate a valid assessment for the near-term period, but a valid assessment for the long-term period exists. (R1.2)</p> <p>OR</p> <p>The responsible entity is non-compliant with two of the sub-components of requirement R1.3 (R1.3.1 through 1.3.9).</p>	<p>The responsible entity is non-compliant with three of the sub-components of requirement R1.3 (R1.3.1 through 1.3.9).</p>	<p>The responsible entity did not perform the transmission assessments annually. (R1.1)</p> <p>OR</p> <p>The responsible entity has failed to demonstrate a valid assessment for the near-term period <u>and</u> long-term planning period. (R1.2)</p> <p>OR</p> <p>The responsible entity is non-compliant with four or more of the sub-components of requirement R1.3 (R1.3.1 through 1.3.9).</p> <p>OR</p> <p>The responsible entity has failed to demonstrate that a corrective action plan exists in order to satisfy Category A planning requirements. (R1.4)</p>

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Standard Number TPL-001-0 System Performance Under Normal Conditions				
R#	Lower VSL	Moderate VSL	High VSL	Severe VSL
R2.	N/A	The responsible entity has failed to review the continuing need for previously identified facility additions through subsequent annual assessments. (R2.2)	<p>The responsible entity provided documented evidence of corrective action plans in order to satisfy Category A planning requirements, but failed to include an implementation schedule with in-service dates (R2.1.1 and R2.1.2).</p> <p>OR</p> <p>The responsible entity failed to consider necessary lead times to implement its corrective action plan. (R2.1.3)</p>	The responsible entity has failed to provide documented evidence of corrective action plans in order to satisfy Category A planning requirements. (R2.1)
R3.	N/A	The responsible entity documented the results of its reliability assessments and corrective plans but did not annually provide them to its respective NERC Regional Reliability Organization(s) as required by the Regional Reliability Organization.	N/A	The responsible entity DID NOT document the results of its annual reliability assessments and corrective plans AND did not annually provide them to its respective NERC Regional Reliability Organization(s) as required by the Regional Reliability Organization.

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Standard Number TPL-002-0 System Performance Following Loss of a Single Bulk Electric System Element				
R#	Lower VSL	Moderate VSL	High VSL	Severe VSL
R1.	<p>The responsible entity has failed to demonstrate a valid assessment for the long-term period, but a valid assessment for the near-term period exists. (R 1.2)</p> <p>OR</p> <p>The responsible entity is non-compliant with one of the sub-components of requirement R1.3 (R1.3.1 through R1.3.12).</p> <p>OR</p> <p>The responsible entity has considered the NERC Category B contingencies applicable to their system, but was deficient with respect to 5% or less of all applicable contingencies. (R1.5)</p>	<p>The responsible entity has failed to demonstrate a valid assessment for the near-term period, but a valid assessment for the long-term period exists. (R1.2)</p> <p>OR</p> <p>The responsible entity is non-compliant with two of the sub-components of requirement R1.3 (R1.3.1 through 1.3.12).</p> <p>OR</p> <p>The responsible entity has considered the NERC Category B contingencies applicable to their system, but was deficient with respect to more than 5% up to (and including) 10% of all applicable contingencies. (R1.5)</p>	<p>The responsible entity is non-compliant with three of the sub-components of requirement R1.3 (R1.3.1 through 1.3.12).</p> <p>OR</p> <p>The responsible entity has considered the NERC Category B contingencies applicable to their system, but was deficient with respect to more than 10% up to (and including) 15% of all applicable contingencies. (R1.5)</p>	<p>The responsible entity did not perform the transmission assessments annually. (R1.1)</p> <p>OR</p> <p>The responsible entity has failed to demonstrate a valid assessment for the near-term period <u>and</u> long-term planning period. (R1.2)</p> <p>OR</p> <p>The responsible entity is non-compliant with four or more of the sub-components of requirement R1.3 (R1.3.1 through 1.3.12).</p> <p>OR</p> <p>The responsible entity has failed to demonstrate that a corrective action plan exists in order to satisfy Category B planning requirements. (R1.4)</p> <p>OR</p>

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Standard Number TPL-002-0 System Performance Following Loss of a Single Bulk Electric System Element				
R#	Lower VSL	Moderate VSL	High VSL	Severe VSL
				The responsible entity has considered the NERC Category B contingencies applicable to their system, but was deficient with respect to more than 15% of all applicable contingencies. (R1.5)
R2.	N/A	The responsible entity has failed to review the continuing need for previously identified facility additions through subsequent annual assessments. (R2.2)	The responsible entity provided documented evidence of corrective action plans in order to satisfy Category B planning requirements, but failed to include a implementation schedule with in-service dates (R2.1.1 and R2.1.2) OR The responsible entity failed to consider necessary lead times to implement its corrective action plan. (R2.1.3)	The responsible entity has failed to provide documented evidence of corrective action plans in order to satisfy Category B planning requirements. (R2.1)
R3.	N/A	The responsible entity documented the results of its reliability assessments and corrective plans but did not annually provide them to its respective NERC Regional	N/A	The responsible entity DID NOT document the results of its annual reliability assessments and corrective plans AND did not annually provide them to its respective

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Standard Number TPL-002-0 System Performance Following Loss of a Single Bulk Electric System Element				
R#	Lower VSL	Moderate VSL	High VSL	Severe VSL
		Reliability Organization(s) as required by the Regional Reliability Organization.		NERC Regional Reliability Organization(s) as required by the Regional Reliability Organization.

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Standard Number TPL-003-0 System Performance Following Loss of Two or More BES Elements				
R#	Lower VSL	Moderate VSL	High VSL	Severe VSL
R1.	<p>The responsible entity has failed to demonstrate a valid assessment for the long-term period, but a valid assessment for the near-term period exists. (R 1.2)</p> <p>OR</p> <p>The responsible entity is non-compliant with one of the sub-components of requirement R1.3 (R1.3.1 through R1.3.12).</p> <p>OR</p> <p>The responsible entity has considered the NERC Category C contingencies applicable to their system, but was deficient with respect to 5% or less of all applicable contingencies. (R1.5)</p>	<p>The responsible entity has failed to demonstrate a valid assessment for the near-term period, but a valid assessment for the long-term period exists. (R1.2)</p> <p>OR</p> <p>The responsible entity is non-compliant with two of the sub-components of requirement R1.3 (R1.3.1 through 1.3.12).</p> <p>OR</p> <p>The responsible entity has considered the NERC Category C contingencies applicable to their system, but was deficient with respect to more than 5% up to (and including) 10% of all applicable contingencies. (R1.5)</p>	<p>The responsible entity is non-compliant with three of the sub-components of requirement R1.3 (R1.3.1 through 1.3.12).</p> <p>OR</p> <p>The responsible entity has considered the NERC Category C contingencies applicable to their system, but was deficient with respect to more than 10% up to (and including) 15% of all applicable contingencies. (R1.5)</p>	<p>The responsible entity did not perform the transmission assessments annually. (R1.1)</p> <p>OR</p> <p>The responsible entity has failed to demonstrate a valid assessment for the near-term period and long-term planning period. (R1.2)</p> <p>OR</p> <p>The responsible entity is non-compliant with four or more of the sub-components of requirement R1.3 (R1.3.1 through 1.3.12).</p> <p>OR</p> <p>The responsible entity has failed to demonstrate that a corrective action plan exists in order to satisfy Category C planning requirements. (R1.4)</p> <p>OR</p>

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Standard Number TPL-003-0 System Performance Following Loss of Two or More BES Elements				
R#	Lower VSL	Moderate VSL	High VSL	Severe VSL
				The responsible entity has considered the NERC Category C contingencies applicable to their system, but was deficient with respect to more than 15% of all applicable contingencies. (R1.5)
R2.	N/A	The responsible entity has failed to review the continuing need for previously identified facility additions through subsequent annual assessments. (R2.2)	The responsible entity provided documented evidence of corrective action plans in order to satisfy Category C planning requirements, but failed to include an implementation schedule with in-service dates. (R2.1.1 and R2.1.2) OR The responsible entity failed to consider necessary lead times to implement its corrective action plan. (R2.1.3)	The responsible entity has failed to provide documented evidence of corrective action plans in order to satisfy Category C planning requirements. (R2.1)
R3.	N/A	The responsible entity documented the results of its reliability assessments and corrective plans but did not annually provide them to its respective NERC Regional	N/A	The responsible entity DID NOT document the results of its annual reliability assessments and corrective plans AND did not annually provide them to its respective

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Standard Number TPL-003-0 System Performance Following Loss of Two or More BES Elements				
R#	Lower VSL	Moderate VSL	High VSL	Severe VSL
		Reliability Organization(s) as required by the Regional Reliability Organization.		NERC Regional Reliability Organization(s) as required by the Regional Reliability Organization.

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Standard Number TPL-004-0 System Performance Following Extreme BES Events				
R#	Lower VSL	Moderate VSL	High VSL	Severe VSL
R1.	<p>The responsible entity is non-compliant with one of the sub-components of requirement R1.3 (R1.3.1 through R1.3.9).</p> <p>OR</p> <p>The responsible entity has considered the NERC Category D contingencies applicable to their system, but was deficient with respect to 5% or less of all applicable contingencies. (R1.4)</p>	<p>The responsible entity is non-compliant with two of the sub-components of requirement R1.3 (R1.3.1 through 1.3.9).</p> <p>OR</p> <p>The responsible entity has considered the NERC Category D contingencies applicable to their system, but was deficient with respect to more than 5% up to (and including) 10% of all applicable contingencies. (R1.4)</p>	<p>The responsible entity is non-compliant with three of the sub-components of requirement R1.3 (R1.3.1 through 1.3.9).</p> <p>OR</p> <p>The responsible entity has considered the NERC Category D contingencies applicable to their system, but was deficient with respect to more than 10% up to (and including) 15% of all applicable contingencies. (R1.4)</p>	<p>The responsible entity did not perform the transmission assessments annually. (R1.1)</p> <p>OR</p> <p>The responsible entity has failed to demonstrate a valid assessment for the near-term planning period. (R1.2)</p> <p>OR</p> <p>The responsible entity is non-compliant with four or more of the sub-components of requirement R1.3 (R1.3.1 through 1.3.9).</p> <p>OR</p> <p>The responsible entity has considered the NERC Category D contingencies applicable to its system, but was deficient with respect to more than 15% of all applicable contingencies. (R1.4)</p>

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Standard Number TPL-004-0 System Performance Following Extreme BES Events				
R#	Lower VSL	Moderate VSL	High VSL	Severe VSL
R2.	N/A	The responsible entity documented the results of its reliability assessments but did not annually provide them to its respective NERC Regional Reliability Organization(s) as required by the Regional Reliability Organization.	N/A	The responsible entity DID NOT document the results of its annual reliability assessments AND did not annually provide them to its respective NERC Regional Reliability Organization(s) as required by the Regional Reliability Organization.