

NERC Compliance Process Bulletin #2009-CAG-002 Compliance Audit Certification Letter

Version 1

March 11, 2009 | Process Announcement

NERC developed and recently provided to the Regional Entities an “audit certification letter.” The audit certification letter replaces the affidavit section of the Regional Standard Audit Worksheets (RSAW). The audit certification letter provides a more streamline process as compared to requiring the registered entity to sign all RSAWs associated with the scope of the audit. The registered entity need only sign the audit certification letter. The Regional Entities shall include the audit certification letter with the audit package sent to the audited entity within 60 days of the scheduled audit. The use of the audit certification letter may start immediately.

Presently, the RSAWs are being updated to reflect this process change. As this may take a few weeks to complete, please communicate with the affected audited entities the need to complete the audit certification letter in lieu of completing the affidavit section of the RSAWs.

The audit certification letter will be posted in the same location as the RSAWs.

Please contact Jacki Power (jacki.power@nerc.net) should there be any questions.

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Revision History

Version	Date	Reviewers	Revision Description
1	March 11, 2009	NERC Management	Non-Public Document Available to Regional Staff
1	May 19, 2010	NERC Management	Changed non-public status and posted publicly. Updated the contact information.

Process disclaimer: NERC reserves the right to issue new process bulletins or modify existing process bulletins when necessary and at its discretion.