

## Compliance Application Notice — 0026

Compliance Application: TOP-006-X<sub>1</sub> R3 Protection Relays

Posted [DATE]

### Primary Interest Groups

NERC

Regional Entities

Transmission Operators (TOP)

Balancing Authorities (BA)

Generator Operators (GOP)

Reliability Coordinators (RC)

### Issue: Clarification of the scope of “protective relays” under TOP-006 R3

NERC received a request for clarification of whether a RC, TOP and BA, under TOP-006 Requirement (R) 3, needs to provide appropriate technical information to its operating staff for all protective relays in its area whether or not it has the responsibility or ownership of the protective relays.

### Reliability Objective

The Reliability Objective is to ensure that appropriate and current technical information pertaining to protective relaying is provided to RC, TOP, and BA operating personnel.

### Compliance Application

TOP-006-1 and TOP-006-2 provide, in pertinent part:

***R3.** Each Reliability Coordinator, Transmission Operator, and Balancing Authority shall provide appropriate technical information concerning protective relays to their operating personnel.*

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<sup>1</sup> Version 1 of the standard is effective in the United States. Version 2 of the standard was approved by the NERC Board of Trustees on October 17, 2008 and went into effect for the Canadian Provinces pursuant to Canadian Memorandum of Understandings on April 1, 2009. Version 2 will become effective in the United States on the first day of the first calendar quarter, three months after FERC approval.

Each RC, TOP and BA must provide its operating personnel the relevant characteristics (including the purpose and limitations) of:

1. the protective relays that are applied in the applicable entity's area, and
2. the protective relays, regardless of whether another entity has ownership or maintenance responsibility that may impact that entity.

### **Effective Period for CAN**

This CAN covers version 1 and 2, is effective upon posting on the NERC Web site and will remain in effect until such time that a future version of the standard or interpretation addresses this specific issue and is enforceable. CAN-0026 could be superseded by a future CAN that addresses this particular Standard and Requirement.

### **Providing Evidence of Compliance**

A registered entity must be able to provide an auditor or Compliance Enforcement Authority:

1. Evidence confirming communication of technical information about:
  - a. the protective relays that are applied in the applicable entity's area, and
  - b. the protective relays, regardless of ownership and maintenance responsibility, that may impact that entity regardless of responsibility or ownership of the associated protective relays

This evidence could include but is not limited to, operator logs, voice recordings, electronic communications, or equivalent.

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*This document is designed to convey compliance guidance from NERC's various activities. It is not intended to establish new requirements under NERC's Reliability Standards or to modify the requirements in any existing NERC Reliability Standards. Compliance will continue to be determined based on language in the NERC Reliability Standards as they may be amended from time to time. Implementation of this compliance application notice is not a substitute for compliance with requirements in NERC's Reliability Standards.*