

A. Introduction

1. **Title:** Interchange Confirmation
2. **Number:** INT-007-1
3. **Purpose:** To ensure that each Arranged Interchange is checked for reliability before it is implemented.
4. **Applicability**
 - 4.1. Interchange Authority.
5. **Effective Date:** January 1, 2007

B. Requirements

- R1. The Interchange Authority shall verify that Arranged Interchange is balanced and valid prior to transitioning Arranged Interchange to Confirmed Interchange by verifying the following:
 - R1.1. Source Balancing Authority megawatts equal sink Balancing Authority megawatts (adjusted for losses, if appropriate).
 - R1.2. All reliability entities involved in the Arranged Interchange are currently in the NERC registry.
 - R1.3. The following are defined:
 - R1.3.1. Generation source and load sink.
 - R1.3.2. Megawatt profile.
 - R1.3.3. Ramp start and stop times.
 - R1.3.4. Interchange duration.
 - R1.4. Each Balancing Authority and Transmission Service Provider that received the Arranged Interchange information from the Interchange Authority for reliability assessment has provided approval.

C. Measures

- M1. For each Arranged Interchange, the Interchange Authority shall show evidence that it has verified the Arranged Interchange information prior to the dissemination of the Confirmed Interchange.

D. Compliance

1. **Compliance Monitoring Process**
 - 1.1. **Compliance Monitoring Responsibility**

Regional Reliability Organization.
 - 1.2. **Compliance Monitoring Period and Reset Time Frame**

The Performance-Reset Period shall be twelve months from the last noncompliance to Requirement 1.
 - 1.3. **Data Retention**

The Interchange Authority shall keep 90 days of historical data. The Compliance Monitor shall keep audit records for a minimum of three calendar years.

1.4. Additional Compliance Information

Each Interchange Authority shall demonstrate compliance to the Compliance Monitor within the first year that this standard becomes effective or the first year the entity commences operation by self-certification to the Compliance Monitor.

Subsequent to the initial compliance review, compliance may be:

- 1.4.1 Verified by audit at least once every three years.
- 1.4.2 Verified by spot checks in years between audits.
- 1.4.3 Verified by annual audits of noncompliant Interchange Authorities, until compliance is demonstrated.
- 1.4.4 Verified at any time as the result of a complaint. Complaints must be lodged within 60 days of the incident. Complaints will be evaluated by the Compliance Monitor.

Each Interchange Authority shall make the following available for inspection by the Compliance Monitor upon request:

- 1.4.5 For compliance audits and spot checks, relevant data and system log records for the audit period which indicate an Interchange Authority's verification that all Arranged Interchange was balanced and valid as defined in R1. The Compliance Monitor may request up to a three-month period of historical data ending with the date the request is received by the Interchange Authority.
- 1.4.6 For specific complaints, only those data and system log records associated with the specific Interchange event contained in the complaint which indicate an Interchange Authority's verification that an Arranged Interchange was balanced and valid as defined in R1 for that specific Interchange

2. Levels of Non-Compliance

- 2.1. **Level 1:** One occurrence¹ where Interchange-related data was not verified as defined in R1.
- 2.2. **Level 2:** Two occurrences where Interchange-related data was not verified as defined in R1.
- 2.3. **Level 3:** Three occurrences where Interchange-related data was not verified as defined in R1.
- 2.4. **Level 4:** Four or more occurrences where Interchange-related data was not verified as defined in R1.

E. Regional Differences

None

¹ This does not include instances of not verifying due to extenuating circumstances approved by the Compliance Monitor.

Version History

Version	Date	Action	Change Tracking