



CMEP timelines dated 10/16/2007, as accepted by FERC Order issued March 21, 2008

	Action	Timeline	Page	Category
C O M P L I A N C E M O N I T O R I N G P R O C E S S E S	Compliance Audits, CMEP Sections 3.1-3.1.6			
	Compliance Enforcement Authority (CEA) notifies Registered Entity (RE) of regularly scheduled audit	2 months advance notice, at least, prior to commencement of audit	7	Compliance Audit
	Compliance auditor team leader sends all necessary pre-audit paperwork to RE	2 months advance notice, at least, prior to commencement of audit	7	Compliance Audit
	CEA shall notify RE of unscheduled audit	10 business days advance notice, at least	10	Compliance Audit
	The compliance audit process normally completes within 60 days of the onsite compliance audit work at the RE's site	60 days to complete process	7	Compliance Audit
	RE may object to members of audit team of unscheduled audit	5 business days, at least, prior to start of audit	10	Compliance Audit
	RE is notified of revisions to schedule audit dates by Regional Entity	60 days in advance	10	Audit Schedule
	RE must provide in writing their objection to audit team members	15 business days - no later than, prior to audit	11	Compliance Audit
	If audit team member is assigned less than 20 days before beginning of onsite audit, RE provide objection to CEA within 5 business days of assignment of audit team member	5 business days, within, prior to start of audit	11	Compliance Audit
	RE receives final audit report	5 business days, at least, prior to public release	12	Compliance Audit
	Self-Certification, CMEP Sections 3.2 - 3.2.1			
	CEA requests RE to make self-certification, if standard does not specify advance notice period this request will be issued in a timely manner	30 days advance notice, normally 60 days of CEA's receipt of date - if no alleged violations are found	12	Self-Certification
	CEA completes assessment of RE's self-certification	60 days of CEA's receipt of date - if no alleged violations are found	12	Self-Certification
	Spot Checking, CMEP Sections 3.3 - 3.3.1			
	CEA will allow at least 20 days for RE to submit data for review	20 days, at least, for data to be submitted	15	Spot checking
	CEA completes spot checking process	90 days of CEA's receipt of data - if no alleged violations	15	Spot checking
	Compliance Violation Investigations, CMEP Sections 3.4 - 3.4.1			
	Regional Entity notifies RE and NERC of decision to initiate Compliance Violation Investigation (CVI) and reasons for it	2 business days after decision to initiate CVI	18	Compliance Violation Investigation
	CEA completes Compliance Violation Investigation (CVI)	60 days- normally, if no alleged violations following decision to initiate CVI	18	Compliance Violation Investigation
	NERC notifies FERC and Applicable Government Authority (AGA) of CVI	2 business days after NERC is notified of decision to initiation CVI	19	Compliance Violation Investigation
	CEA requests data or documentation from RE for CVI	20 days advance notice - no less than	19	Compliance Violation Investigation
	RE may object to member of CVI team	10 business days, within receiving notification of CVI	19	Compliance Violation Investigation
	Self-Reporting, CMEP Section 3.5			
	CEA completes self-reporting process	60 days, normally within, from CEA's receipt of data	22	Self-Reporting
	Periodic Data Submittals, CMEP Sections 3.6 - 3.6.1			
	CEA requests data submittal from RE, if standard does not specify an advance notice period	20 days, no less than	24	Periodic Data Submittals
	CEA completes periodic data submittal process	10 business days, generally within, if no violations are found	24	Periodic Data Submittals
	Complaint Process, CMEP Sections 3.8 - 3.8.1			
	CEA completes the complaint process	60 days, normally within, following receipt of complaint, if no violations are found	26	Complaint Process

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E N F O R C E M E N T	Notification of Alleged Violation, CMEP Sections 5.1 - 5.5			
	RE shall elect a determination of violation	30 days, within receipt of notice of alleged violation	30	Notification of Alleged Violation
	NERC forwards copy of alleged violation to FERC & AGA	2 business days, within, of receipt of notice of alleged violation from CEA	31	Notification of Alleged Violation
	RE does not contest or respond to notice of alleged violation, it shall be deemed to have accepted the violation	30 days, within receipt of notice of alleged violation	31	Notification of Alleged Violation
	CEA schedules conference with RE if RE contests alleged violation	10 business days, within, after receipt of RE's response	31	Notification of Alleged Violation
	RE may request a hearing if CEA and RE are unable to resolve all issues of alleged violation	40 days, within, after RE's response	31	Notification of Alleged Violation
	RE may appeal hearing body's decision to NERC via NERC's appeal process	90 days, generally completes within, of NERC's receipt of request	32	NERC appeal process
M I T I G A T I O N	Mitigation of Violations of Reliability Standards, CMEP Sections 6.0 - 6.7			
	RE's request for extension of mitigation plan	5 business days, at least, before original milestone or completion date, must be received by CEA	38	Mitigation plans
	CEA notifies NERC of extended mitigation plan	5 business days, within, after determining extension is justified	38	Mitigation plans
	RE submits mitigation plan to CEA	30 days after being served with alleged violation, within, if RE does not protest	38	Mitigation plans
	If RE disputes alleged violation, RE submits mitigation plan following issuance of written decision of hearing body, unless RE elects to appeal the hearing body's determination to NERC	10 business days, within, of issuance of written decision of hearing body	38	Mitigation plans
	CEA will complete its review of mitigation plan and issue a statement accepting or rejecting it, unless the review period is extended by the CEA	30 days, within, of receipt of mitigation plan	39	Mitigation plans
	CEA will notify RE if accepting or rejecting revised mitigation plan	10 business days, within, receipt of revised mitigation plan	39	Mitigation plans
	Regional entities will notify NERC of acceptance of mitigation plan	5 business days, within, of acceptance of mitigation plan	39	Mitigation plans
	Regional Entity will provide NERC with accepted mitigation plan	5 business days, within, of acceptance of mitigation plan	39	Mitigation plans
	NERC will submit to FERC, as non-public information, an approved mitigation plan related to violations of the reliability standards	7 business days, within, after NERC approves mitigation plan	39	Mitigation plans
REMEDIAL ACTION DIRECTIVES	Remedial Action Directives, CMEP Sections 7.0			
	Regional Entity will notify NERC after issuing a Remedial Action Directive	2 business days, within, after issuing Remedial Action Directive	41	Remedial Action Directives
	RE may contest Remedial Action Directive with written notice to CEA	2 business days, within, following the receipt of Remedial Action Directive	41	Remedial Action Directives
REPORTING AND DISCLOSURE	Reporting and Disclosure, CMEP Section 8.0			
	Regional Entities shall report any alleged violations to NERC	5 business days, within, after Regional Entity has received any allegations or evidence of violations	43	Reporting and disclosure
	Regional Entity shall notify NERC if violation has resulted, or may potentially result, in a loss of reliability	48 hours, within, after Regional Entity's receipt of any allegations or evidence	43	Reporting and disclosure
	NERC shall notify FERC or AGA of receiving notice from Regional Entity for situation listed above	2 business days, within, after receiving report from Regional Entity of any allegations or violations	43	Reporting and disclosure
	Regional Entities shall notify NERC of all confirmed violations, including penalties, sanctions, mitigation plans, schedules, and settlements	10 business days, within, after the determination of each	43	Reporting and disclosure
	Regional Entities will provide a report of confirmed violation to the affected RE	10 business days, within, after the determination of each	43	Reporting and disclosure
	NERC will publicly post each report of confirmed violation	5 business days, no sooner than 5 business days after report is provided by Regional Entity to NERC and RE	43	Reporting and disclosure
NON-SUBMITTAL OF REQUESTED DATA	Process for Non-Submittal of Requested Data, CMEP Attachment 1			
	If data required by CEA is not received, a reliability standard violation may be applied at the Severe Violation Severity Level	30 days after the Required Date	Attach 1	Non-submittal of requested data



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NERC'S RULES OF PROCEDURE	Rules of Procedure, Section 400 - Compliance Enforcement, Mar. 21, 2008			
	NERC shall provide a written response and plan to the board after an independent audit of NERC's CMEP program, if audit report includes recommendations	30 days, within, of release of final audit report	34	Independent audit of NERC's CMEP
	Regional Entity will report to NERC within 48 hours violations of requirements of standards for which noncompliance may cause bulk power system reliability to diminish	48 hours, within, after Regional Entity learns of violation	35	48 hours
REVIEW OF NERC DECISIONS	Review of NERC Decisions, ROP Section 409			
	Either the RE or Regional Entity challenging a non-compliance finding shall file a notice of challenge with NERC's Director of Compliance	21 days, no later than, after issuance of notice of finding of violation or audit finding	37	Review of NERC Decisions
	NERC's Director of Compliance may file with the hearing body a response to issues raised in the notice of challenge	21 days, within, after receiving a copy of the notice of challenge	37	Review of NERC Decisions
	Regional Entity or RE may appeal the decision of the CCC by filing a notice of appeal with NERC's Director of Compliance	21 days, no later than, after issuance of written decision by CCC	37	Review of NERC Decisions
	NERC CMEP staff may file its response to issues raised in the notice of appeal	21 days, within, after receiving a copy of notice of appeal	37	Review of NERC Decisions
	Entity that is filing appeal may file a reply within 7 days	7 days, within, after receipt of NERC's CMEP staff's response	38	Review of NERC Decisions
APPEALS FROM FINAL DECISIONS OF REGIONAL ENTITIES	Appeals from Final Decisions of Regional Entities, ROP Section 410			
	RE's wishing to file appeal for final decision of Regional Entity regarding non-compliance, shall file its appeal with NERC's Director of Compliance	21 days, no later than 21 days after issuance of final decision from hearing body	38	Appeals from Final Decisions of Regional Entities
	Regional Entity shall file entire record of notice of appeal with NERC's Director of Compliance	21 days, within, after receiving a copy of the notice of appeal	38	Appeals from Final Decisions of Regional Entities
	Entity filing the appeal may file a reply to Regional Entity	7 days, within, after receiving response from Regional Entity	38	Appeals from Final Decisions of Regional Entities