

ATC/TTC/AFC — CBM/TRM

Drafting Team Conference Call September 22, 2006: noon–2 p.m. (Eastern time) Phone: 732-694-2061 Conference Code: 11070922

Agenda

1. Administration

- a. Welcome and Introductions Larry Middleton
 i. NERC ATC/TTC/AFC- CBM/TRM Roster (Attachment 1a)
 Chairman Larry Middleton will lead the welcome of ATCTDT members and guests.
- b. Antitrust Compliance Guidelines Bill Lohrman (Attachment 1b)

Bill Lohrman will review the NERC Antitrust Compliance Guidelines provided in Attachment 1b. It is NERC's policy and practice to obey the antitrust laws and to avoid all conduct that unreasonably restrains competition. This policy requires the avoidance of any conduct that violates, or that might appear to violate, the antitrust laws. Among other things, the antitrust laws forbid any agreement between or among competitors regarding prices, availability of service, product design, terms of sale, division of markets, allocation of customers or any other activity that unreasonably restrains competition. It is the responsibility of every NERC participant and employee who may in any way affect NERC's compliance with the antitrust laws to carry out this commitment.

c. Review of Agenda — Larry Middleton Chairman Middleton will review the objectives of the conference call.

2. Standard Document Review

- a. MOD-001-1 Objectives and requirements of the Regional Reliability Standards Working Group (RRSWG) Bob Millard / David Taylor
 - i. Approach to fill-in-the-blank regional standards
 - ii. Applicable sections of MOD-001-1 (Attachment 2a)

Bob Millard and David Taylor will led the ATCT Drafting Team in a discussion of the work of the RRSWG and how it relates to the ongoing standard development work of the ATCTDT on MOD-001-1. The drafting team will examine how the proposed draft of MOD-001-1 might need to be revised to address fill-in-the-blank concerns.

3. Work Assignments

a. MOD-001-1 Revisions — Larry Middleton

Chairman Middleton will ask members of the ATCTDT to draft proposed changes as necessary to MOD-001-1 and report back to the drafting team as a whole at the next ATCTDT meeting in preparation the draft standard for posting.

ATC-TTC-AFC-CBM-TRM Standards Drafting Team

Chairman	Larry W. Middleton Transmission Asset Management	Midwest ISO, Inc. 701 City Center Drive Carmel, Indiana 46032	(317) 249-5447 (317) 249-5703 Fx lmiddleton@ midwestiso.org
	Matthew T. Ansley Sr. Engineer	Southern Company Services, Inc. 20 Eddings Lane Montevallo, Alabama 35115	(205) 257-3472 mansley@ southernco.com
	Kiko Barredo	Florida Power & Light Co. 4200 W. Flagler Street Miami, Florida 33134	(305) 442-5073 (305) 442-5790 Fx a_l_barredo@ fpl.com
	Charles Falls	Salt River Project Mail Station POB 100 P.O. Box 52025 Phoenix, Arizona 85072-2025	(602) 236-0965 (602) 236-3896 Fx czfalls@ srpnet.com
	D. DuShaune Carter, P.E. Operations Planning Engineer	Southern Company Services, Inc. 600 North 18th Street PCC Corp-Hq Birmingham, Alabama 35291-2625	(205) 257-5775 (205) 257-6663 Fx ddcarter@southernco .com
	E. Nick Henery Director of Reliability Standards and Compliance	American Public Power Association 2301 M Street, N.W. Washington, DC 20037-1484	(202) 467-2985 NHenery@APPAnet. org
	Raymond K. Kershaw Transmission Operations Engineer	International Transmission Company 39500 Orchard Hill Place Suite 205 Novi, Michigan 48375	(248) 444-1209 (248) 374-7137 Fx rkershaw@ itctransco.com
	Dennis Kimm, Jr. Senior Transmission Engineer	MidAmerican Energy Co. 4299 NW Urbandale Drive Urbandale, Iowa 50322	(515) 252-6737 (515) 281-2355 Fx ddkimm@ midamerican.com
	Ross Kovacs Trans. Strategic Coordinator	Georgia Transmission Corporation 2100 E. Exchange Place Tucker, Georgia 30085	(770) 270-7857 ross.kovacs@ gatrans.com
	Laura Lee Senior Engineer	Duke Energy System Operations 526 South Church Street Charlotte, NC 28202	704-382-3625 llee@duke- energy.com
	Cheryl Mendrala Tariff and Contract Administrator	ISO New England, Inc. One Sullivan Road Holyoke, Massachusetts 01040	(413) 535-4184 (413) 535-4399 Fx cmendrala@ iso-ne.com
	Rob Morasco Engineer	PJM Interconnection, L.L.C. 955 Jefferson Avenue Norristown, Pennsylvania 19403	(610) 635-3452 morasr@pjm.com

Narinder K. Saini Policy Consultant	Entergy Services, Inc. 5201 W. Barraque Pine Bluff, Arkansas 71603	(870) 543-5420 (870) 541-4528 Fx nsaini@ entergy.com
Matthew E Schull Manager, Power Supply	North Carolina Municipal Power Agency 1427 Meadow Wood Blvd Raleigh, North Carolina 27604	(919) 760-6312 (919) 760-6050 Fx mschull@ electricities.org
Jerry W. Smith	Arizona Public Service Co. Mail Station 2260 PO Box 53999 Phoenix Arizona 85072-3999	(602) 250-1155 jerry.smith@ aps.com
Nathan Schweighart	Tennessee Valley Authority 1101 Market Street MR-5G Chattanooga, Tennessee 37402-2801	(423) 751-4365 (423) 751-3453 Fx naschweighart@
W Shannon Black	SMUD	916-732-5734
Sr. Regulatory and Contract	6301 S Street	sblack@smud.org
Specialist	Sacramento, CA	<u></u>
William D. Blevins Manager of Business Practice Interface	North American Electric Reliability Council 116-390 Village Boulevard Princeton, New Jersey 08540-5731	(609) 452-8060 (609) 452-9550 Fx bill.blevins@ nerc.net

NERC Staff Coordinator



Princeton Forrestal Village, 116-390 Village Boulevard, Princeton, New Jersey 08540-5721 www.nerc.com • 609-452-8060 (Voice) • 609-452-9550 (Fax)

NERC ANTITRUST COMPLIANCE GUIDELINES

I. GENERAL

It is NERC's policy and practice to obey the antitrust laws and to avoid all conduct that unreasonably restrains competition. This policy requires the avoidance of any conduct that violates, or that might appear to violate, the antitrust laws. Among other things, the antitrust laws forbid any agreement between or among competitors regarding prices, availability of service, product design, terms of sale, division of markets, allocation of customers or any other activity that unreasonably restrains competition.

It is the responsibility of every NERC participant and employee who may in any way affect NERC's compliance with the antitrust laws to carry out this commitment.

Antitrust laws are complex and subject to court interpretation that can vary over time and from one court to another. The purpose of these guidelines is to alert NERC participants and employees to potential antitrust problems and to set forth policies to be followed with respect to activities that may involve antitrust considerations. In some instances, the NERC policy contained in these guidelines is stricter than the applicable antitrust laws. Any NERC participant or employee who is uncertain about the legal ramifications of a particular course of conduct or who has doubts or concerns about whether NERC's antitrust compliance policy is implicated in any situation should consult NERC's General Counsel immediately.

II. PROHIBITED ACTIVITIES

Participants in NERC activities (including those of its committees and subgroups) should refrain from the following when acting in their capacity as participants in NERC activities (e.g., at NERC meetings, conference calls and in informal discussions):

- Discussions involving pricing information, especially margin (profit) and internal cost information and participants' expectations as to their future prices or internal costs.
- Discussions of a participant's marketing strategies.
- Discussions regarding how customers and geographical areas are to be divided among competitors.
- Discussions concerning the exclusion of competitors from markets.
- Discussions concerning boycotting or group refusals to deal with competitors, vendors or suppliers.

III. ACTIVITIES THAT ARE PERMITTED

From time to time decisions or actions of NERC (including those of its committees and subgroups) may have a negative impact on particular entities and thus in that sense adversely impact competition. Decisions and actions by NERC (including its committees and subgroups) should only be undertaken for the purpose of promoting and maintaining the reliability and adequacy of the bulk power system. If you do not have a legitimate purpose consistent with this objective for discussing a matter, please refrain from discussing the matter during NERC meetings and in other NERC-related communications.

You should also ensure that NERC procedures, including those set forth in NERC's Certificate of Incorporation and Bylaws are followed in conducting NERC business. Other NERC procedures that may be applicable to a particular NERC activity include the following:

- Reliability Standards Process Manual
- Organization and Procedures Manual for the NERC Standing Committees
- System Operator Certification Program

In addition, all discussions in NERC meetings and other NERC-related communications should be within the scope of the mandate for or assignment to the particular NERC committee or subgroup, as well as within the scope of the published agenda for the meeting.

No decisions should be made nor any actions taken in NERC activities for the purpose of giving an industry participant or group of participants a competitive advantage over other participants. In particular, decisions with respect to setting, revising, or assessing compliance with NERC reliability standards should not be influenced by anti-competitive motivations.

Subject to the foregoing restrictions, participants in NERC activities may discuss:

- Reliability matters relating to the bulk power system, including operation and planning matters such as establishing or revising reliability standards, special operating procedures, operating transfer capabilities, and plans for new facilities.
- Matters relating to the impact of reliability standards for the bulk power system on electricity markets, and the impact of electricity market operations on the reliability of the bulk power system.
- Proposed filings or other communications with state or federal regulatory authorities or other governmental entities.
- Matters relating to the internal governance, management and operation of NERC, such as nominations for vacant committee positions, budgeting and assessments, and employment matters; and procedural matters such as planning and scheduling meetings.

Any other matters that do not clearly fall within these guidelines should be reviewed with NERC's General Counsel before being discussed.

Standard MOD-001-1 — Documentation of TTC, ATC and AFC Calculation Methodologies

Standard Development Roadmap

This section is maintained by the drafting team during the development of the standard and will be removed when the standard becomes effective.

Development Steps Completed:

- 1. SAC Authorized posting TTC/ATC/AFC SAR Development Jun 20 2005.
- 2. SAC Authorized for Development Feb 14 2006.
- 3. SAC appoints Standard Drafting Team Mar 17 2006.

Description of Current Draft:

First draft of standard posted for stakeholders comment.

Future Development Plan:

1. Post revised standard for stakeholder comments.	August 15 2006
2. Respond to comments.	September 29 2006
3. Post revised standard for stakeholder comment.	TBD
4. Respond to comments.	TBD
5. First ballot of standard.	TBD
6. Respond to comments.	TBD
7. Post for recirculation.	TBD
8. 30 Day posting before board adoption.	TBD
9. Board adopts MOD-001-1.	TBD
10. Effective date.	TBD

Definitions of Terms Used in Standard

This section includes all newly defined or revised terms used in the proposed standard. Terms already defined in the Reliability Standards Glossary of Terms are not repeated here. New or revised definitions listed below become approved when the proposed standard is approved. When the standard becomes effective, these defined terms will be removed from the individual standard and added to the Glossary.

Flowgate: A single transmission element, group of transmission elements and any associated contingency(ies) intended to model MW flow impact relating to transmission limitations and transmission service usage. Within the Interchange Distribution Calculator, Transfer Distribution Factors are calculated to approximate MW flow impact on the flowgate caused by power transfers.

Flowgate Rating: The amount of electric power that can flow across the Flowgate under specified system conditions without exceeding the physical capability of the facilities. Typically expressed in the form of thermal capability, however flowgates can be proxies for stability and other limiting criteria.

Available Flowgate Capability (AFC): A measure of the flow capability remaining in the Flowgate for further commercial activity over and above already committed uses. It is defined as the Flowgate Rating less the impacts of existing transmission commitments (including retail customer service), less the impacts of Capacity Benefit Margin and less the impacts of Transmission Reliability Margin.

Network Response: Method of calculating transfer capability for transmission networks where customer demand, generation sources, and the transmission systems are closely interconnected.

Rated System Path: Method of calculating transfer capability for transmission networks where the critical transmission paths between areas of the network have been identified and rated as to their achievable transfer loading capabilities for a range of system conditions.

Existing Transmission Commitments (ETC): Composed of Native Load uses, prudent reserves, appropriate existing commitments for purchases/exchange/deliveries/sales, existing commitments for transmission service and other pending potential uses of transfer capability.

Partial Path Transmission Reservations: Those reservations for which all transmission reservations necessary to complete the transmission path from ultimate source to ultimate sink are not identifiable due to differing reservation priorities, durations, or that the reservations have not all been made.

Introduction

- 1. Title: Documentation of Total Transfer Capability, Available Transfer Capability and Available Flowgate Capability Calculation Methodologies
- **2. Number:** MOD-001-1
- **3. Purpose:** To promote the consistent and uniform application and documentation of TTC/ATC and AFC calculations for the scheduling, operating and planning horizons.

4. Applicability:

- **4.1.** Regional Reliability Organization
- **4.2.** Planning Authority
- **4.3.** Transmission Planner
- **4.4.** Reliability Coordinator
- **4.5.** Transmission Owner
- **4.6.** Transmission Operator
- **4.7.** Transmission Service Provider
- 5. Effective Date: TBD

B. Requirements

- R1. Each Regional Reliability Organization, in conjunction with its Planning Authority(s), Transmission Planners, Reliability Coordinators, Transmission Operators, Transmission Service Providers, (affected calculators) and Transmission Owners, shall jointly develop and document the TTC/ATC and AFC calculation methodologies (Rated System Path methodology –TTC/ATC, Network Response methodology –TTC/ATC and Network Response methodology –AFC) used within the region for the scheduling, operating and planning horizons. [Risk Factor: High]
 - **R1.1.** A Transmission Service Provider or Transmission Operator that operates in more than one region shall have approval of it's methodology by the affected Regional Reliability Organization if the Transmission Service Provider uses a methodology that differs from the Regional Reliability Organization's methodology.[Risk Factor: High]
- **R2.** Each Planning Authority, Transmission Planner, Reliability Coordinator, Transmission Owners, Transmission Operator and Transmission Service Provider shall use the Regional Reliability Organization methodology within the region for coordinating, calculating and posting TTC/ATC and Flowgate Rating/AFC values.[Risk Factor: High]
- **R3.** Each Regional Reliability Organization shall post the most recent version of its approved methodology(s) on a publicly accessible web site. [Risk Factor: Low]
- **R4.** Each Transmission Service Provider or Transmission Operator shall reference or post the most recent version of the Regional Reliability Organization methodology it uses on its OASIS with the exception of those Transmission Service Provider or Transmission Operator identified by Requirement 1.1. [Risk Factor: Low]
 - **R4.1.** A Transmission Service Provider or Transmission Operator that operates in more than one region, and uses a methodology that differs from the Regional Reliability Organization methodology of any Region it operates in, shall post its methodology for the scheduling, operating and planning horizons on its OASIS.[Risk Factor: High]

- **R5.** Each Transmission Owners, Transmission Service Provider or Transmission Operator shall provide the Planning Authority(s), Transmission Planners, Reliability Coordinators, Transmission Operators and Transmission Service Providers, of the regions it is included in, with the information required by the applicable Regional Reliability Organization methodology[Risk Factor: High]
- **R6.** Each Regional Reliability Organization methodology shall identify the parties responsible for performing the ATC/TTC/AFC calculations within the region.[Risk Factor: Medium]
- **R7.** Each Regional Reliability Organization methodology shall identify the parties responsible for posting the ATC/TTC/AFC values on OASIS. [Risk Factor: Low]
- **R8.** Each Regional Reliability Organization methodology shall define the calculation horizons (e.g. scheduling horizon (same day and real-time), operating horizon (day ahead and pre-schedule) and planning horizon (month 2 to month 13), etc)[Risk Factor: Medium]
- **R9.** Each Regional Reliability Organization methodology shall define the Transmission Owner's operating and Transmission Planner's planning criteria used in the calculation of ATC/TTC/AFC for the scheduling, operating and planning horizons.[Risk Factor: Medium]
- **R10.** Each Regional Reliability Organization methodology shall describe how the assumptions for the calculations of ATC/TTC/AFC values change over different scheduling, operating and planning horizons.[Risk Factor: Medium]
- **R11.** Each Regional Reliability Organization methodology shall explain the rational for differences between the criteria used for calculating ATC/TTC/AFC values for the scheduling, operating and planning horizons.[Risk Factor: Medium]
- **R12.** Regional Reliability Organization methodology shall require the parties identified in R6 to use the criteria identified in requirement R9 in the calculation of ATC/TTC/AFC for the scheduling, operating and planning horizons. [Risk Factor: High]
- **R13.** Each Regional Reliability Organization methodology shall identify the affected calculators that the data used in the calculation of ATC/TTC/AFC is coordinated with. [Risk Factor: Medium]
- **R14.** Each Regional Reliability Organization methodology shall describe the procedure to determine the contingencies considered in the TTC/AFC calculations.[Risk Factor: Medium]
- **R15.** Each Regional Reliability Organization methodology shall describe assumptions used for counterflow (netting) of transmission reservations, and schedules, including the basis for the assumptions and effect on the ATC/TTC/AFC values.[Risk Factor: Medium]
- **R16.** Each Regional Reliability Organization methodology shall document the approved variances and the formal approval process.[Risk Factor: High]
- **R17.** The Regional Reliability Organization methodology documentation shall include the requirements below for all methodologies being used within the region:
 - **R17.1. Rated System Path Methodology TTC.** The TTC section of the Rated System Path Methodology shall address each of the items listed below: [Risk Factor: Medium]
 - **R17.1.1.** Explain how TTC is determined.
 - **R17.1.2.** Specify the periodicity at which TTC values and their corresponding limiting factors will be reviewed and provided to affected calculators.
 - **R17.1.3.** Describe whether TTC postings are based upon simultaneous or non-simultaneous analysis.

- **R17.1.4.** Identify all of the data required for the calculation of TTC. As a minimum, the following data must be identified and coordinated. To the extent that the data listed below is not used, provide an explanation.: [Risk Factor: High]
 - 17.1.4.1. **Transmission Outages:** Provide a list of the transmission system elements to be taken out of service.
 - 17.1.4.2. **Powerflow model:** The baseline power flow model for calculating TTC will be made available to neighboring and affected calculators. Updates to the power flow model shall be provided to neighboring and affected calculators to reflect facility changes.
 - 17.1.4.3. **Path Definitions and Facility Ratings:** Path Definitions and Facility Ratings shall be exchanged with neighboring and affected calculators when revised.
- **R17.2. Rated System Path Methodology** –**ATC.** The ATC section of the Rated System Path Methodology shall address each of the items listed below:[Risk Factor: Medium]

R17.2.1. Explain how ATC is determined and its relationship to the TTC calculation.

- **R17.2.2.** Identify how the reservations and schedules for Firm (non-recallable) and Non-firm (recallable) Transmission Service inside the Transmission Service Provider's system are accounted for in the ATC calculation.
- **R17.2.3.** Identify all of the data required for the calculation of ATC. As a minimum, the following data must be identified and coordinated with the affected calculators. To the extent that the data listed below is not used or shared, provide an explanation.: [Risk Factor: High]
 - 17.2.3.1. **Existing Transmission Commitments:** This information shall be reflected in Power Flow models or otherwise provided and coordinated when revised.
 - 17.2.3.2. **Transmission Service Requests:** This information shall be provided when revised.
- **R17.3.** Network Response Methodology TTC. The TTC section of the Network Response Methodology shall address each of the items listed below:[Risk Factor: Medium]

R17.3.1. Explain how TTC is determined.

- **R17.3.2.** Specify the periodicity at which TTC values and their corresponding limiting factors will be reviewed and provided to affected calculators.
- **R17.3.3.** Describe assumptions used for generation dispatch for both external and internal systems for base case dispatch and describe assumptions for transaction modeling, including the basis for the assumptions.
- **R17.3.4.** Describe whether TTC postings are based upon simultaneous or non-simultaneous analysis.
- **R17.3.5.** Identify all of the data required for the calculation of TTC. As a minimum, the following data must be identified and coordinated. To the extent that the data listed below is not used, provide an explanation. [Risk Factor: High]

- 17.3.5.1. **Transmission Outage Schedules:** Coordinate transmission system elements scheduled to be taken out of service.
- 17.3.5.2. **Generation Outage Schedules:** Coordinate generation resources scheduled to be taken out of service.
- 17.3.5.3. **Generation Dispatch Order:** Provide a typical generation dispatch order or the generation participation factors of all units on an affected Balancing Authority basis. The generation dispatch order will be updated as required by changes in the status of the unit; however, a new generation dispatch order need not be provided more often than prior to each peak load season.
- 17.3.5.4. **Powerflow model:** The baseline power flow model for calculating TTC will be made available to neighboring and affected calculators. Updates to the power flow model shall be provided to neighboring and affected calculators to reflect facility changes.
- 17.3.5.5. **Facility Ratings:** Facility Ratings shall be exchanged with neighboring and affected calculators when revised.
- 17.3.5.6. Load Forecast: This information shall be provided daily.
- **R17.4.** Network Response Methodology –ATC. The ATC section of the Network Response Methodology shall address each of the items listed below:[Risk Factor: Medium]
 - **R17.4.1.** Explain how ATC is determined and its relationship to the TTC calculation.
 - **R17.4.2.** Identify how the reservations and schedules for Firm (non-recallable) and Non-firm (recallable) Transmission Service inside the Transmission Service Provider's system are accounted for in the ATC calculation.
 - **R17.4.3.** Explain how multiple internal concurrent requests for transmission service in excess of a generator's capacity or in excess of a Load Serving Entity's load are accounted for.
 - R17.4.4. Describe how Partial Path Transmission Reservations are addressed.
 - **R17.4.5.** Describe how ultimate points of power injection (source) and power extraction (sink) are accounted for in ATC calculations.
 - **R17.4.6.** Identify all of the data required for the calculation of ATC. As a minimum, the following data must be identified and coordinated with the affected calculators.. To the extent that the data listed below is not used or shared, provide an explanation.: [Risk Factor: High]
 - 17.4.6.1. **Existing Transmission Commitments:** This information shall be reflected in Power Flow models or otherwise provided and coordinated when revised.
 - 17.4.6.2. **Transmission Service Requests:** This information shall be provided when revised.

- **R17.5.** Network Response Methodology AFC. The Network Response AFC Methodology section shall address each of the items listed below:[Risk Factor: Medium]
 - **R17.5.1.** Explain how AFC value are determined. In addition, an explanation for all items listed here must also include any process that produces values that can override the AFC values.
 - **R17.5.2.** Identify how the reservations and schedules for Firm (non-recallable) and Non-firm (recallable) Transmission Service inside the Transmission Service Provider's system are accounted for in the AFC calculation.
 - **R17.5.3.** Explain how multiple internal concurrent requests for transmission service in excess of a generator's capacity or in excess of a Load Serving Entity's load are accounted for.
 - R17.5.4. Describe how Partial Path Transmission Reservations are addressed.
 - **R17.5.5.** Describe how ultimate points of power injection (source) and power extraction (sink) are accounted for in AFC calculations.
 - **R17.5.6.** Describe assumptions used for generation dispatch for both external and internal systems for base case dispatch and transaction modeling, including the basis for the assumptions.
 - **R17.5.7.** Identify all of the data required for the calculation of AFC. As a minimum, the following data must be identified and coordinated with the affected calculators.. To the extent that the data listed below is not used or shared, provide an explanation:[Risk Factor: High]
 - 17.5.7.1. **Transmission Outage Schedules:** Coordinate transmission system elements scheduled to be taken out of service.
 - 17.5.7.2. **Generation Outage Schedules:** Coordinate generation resources scheduled to be taken out of service.
 - 17.5.7.3. **Generation dispatch order:** Provide a typical generation dispatch order or the generation participation factors of all units on an affected Balancing Authority basis. The generation dispatch order will be updated as required by changes in the status of the unit; however, a new generation dispatch order need not be provided more often than prior to each peak load season.
 - 17.5.7.4. **Powerflow model:** The baseline power flow model for calculating AFC will be made available to neighboring and affected calculators. Updates to the power flow model shall be provided to neighboring and affected calculators to reflect facility changes.
 - 17.5.7.5. Load Forecast: This information shall be provided daily.
 - 17.5.7.6. **Criteria and definitions:** Flowgates and Flowgate definitions and criteria shall be exchanged with neighboring and affected calculators on a seasonal basis, or when revised.
 - 17.5.7.7. **Flowgate Rating:** Flowgate Ratings will also be provided and exchanged. Entities identified in R13 shall have the same

Flowgate Rating as provided by the Transmission Owner of the facility. This information shall be provided when initially established or when revised.

- 17.5.7.8. **Flowgate AFC data exchange:** Firm and non-firm AFC values shall be provided at the minimum update intervals as follows: Hourly AFC once-per-hour, Daily AFC once-per-day and Mont
- 17.5.7.9. **Existing Transmission Commitments:** This information shall be reflected in Power Flow models or otherwise provided and coordinated when revised.
- 17.5.7.10. **Transmission Service Requests:** This information shall be provided when revised.

C. Measures

- **M1.** Documentation of the Regional Reliability Organization's methodology(s) (Rated System Path methodology –TTC/ATC, Network Response methodology –TTC/ATC and Network Response methodology –AFC) for the TTC/ATC and AFC calculation used within the region for the scheduling, operating and planning horizons.
- **M2.** Documentation of the Regional Reliability Organization's methodology(s) that include all of the items identified in MOD-001-1 Requirement 1 through MOD-001-1 Requirement 17.5.7.10 for the methodologies used within the region.
- **M3.** Documentation of the Transmission Service Provider's methodology (Rated System Path methodology –TTC/ATC, Network Response methodology –TTC/ATC and Network Response methodology –AFC) for the TTC/ATC and AFC calculation used for the scheduling, operating and planning horizons.
- **M4.** Documentation of the Transmission Service Provider's methodology that includes all of the items identified in MOD-001-1 Requirement 1 through MOD-001-1 Requirement 17.5.7.10 for the methodology being used for the scheduling, operating and planning horizons.
- **M5.** Evidence that the Regional Reliability Organization's TTC/Flowgate Rating and ATC/AFC methodology(s) are available on a publicly accessible web site in accordance with Reliability Standard MOD-001-1_R3.
- **M6.** Evidence that the Transmission Service Provider's TTC/Flowgate Rating and ATC/AFC methodology(s) is available on its OASIS in accordance with Reliability Standard MOD-001-1_R4 or R4.1.
- **M7.** Evidence that the Regional Reliability Organizations has reviewed and approved the Transmission Service Provider's TTC/Flowgate Rating and ATC/AFC methodology to ensure it is consistent with planning and operating criteria.
- **M8.** Evidence that Each Planning Authority, Transmission Planner, Reliability Coordinator, Transmission Owner, Transmission Operator, and Transmission Service Provider use the Regional Reliability Organization Methodology in accordance with MOD-001-1_R2.

D. Compliance

- 1. Compliance Monitoring Process
 - 1.1. Compliance Monitoring Responsibility

Compliance Monitor: NERC.

- **1.2.** Compliance Monitoring Period and Reset Timeframe One Calendar Year
- 1.3. Data Retention

5 years.

1.4. Additional Compliance Information None.

2. Levels of Non-Compliance

- **2.1.** Level 1: There shall be a level one non-compliance if either of the following conditions is present:
 - 2.1.1 The Regional Reliability Organization's documented ATC/TTC/AFC methodology does not address one or two of the items required for documentation under Reliability Standard MOD-001-1_R1through 17.5.7.10 for a method being used within the region to calculate ATC/TTC/AFC values.
 - **2.1.2** The Transmission Service Provider documented ATC/TTC/AFC methodology does not address one or two of the items required for documentation under Reliability Standard MOD-001-1_R1 through 17.5.7.10 for a method being used to calculate ATC/TTC/AFC values.
- **2.2.** Level 2: Not applicable.
- **2.3.** Level 3: Not applicable.
- **2.4.** Level 4: There shall be a level four non-compliance if either of the following conditions is present:
 - **2.4.1** The Regional Reliability Organization's documented ATC/TTC/AFC methodology does not address three or more of the items required for documentation under Reliability Standard MOD-001-1_R1 through 17.5.7.10 for a method being used within the region to calculate ATC/TTC/AFC values.
 - **2.4.2** The Transmission Service Provider documented ATC/TTC/AFC methodology does not address three or more of the items required for documentation under Reliability Standard MOD-001-1_R1 through 17.5.7.10 for a method being used to calculate ATC/TTC/AFC values.
 - **2.4.3** The Planning Authority, Transmission Planner, Reliability Coordinator, Transmission Owner, Transmission Operator does not use the Regional Reliability Organization Methodology in accordance with MOD-001-1_R2.
 - **2.4.4** The Transmission Service Provider does not use the Regional Reliability Organization Methodology or the method it develops in accordance with MOD-001-1_R4.1.

E. Regional Differences

1. None identified.

Version History

Version	Date	Action	Change Tracking
0	April 1, 2005	Effective Date	New
0	January 13, 2006	Fixed numbering from R.5.1.1, R5.1.2., and R5.1.3 to R1.5.1., R1.5.2., and R1.5.3. Changed "website" and "web site" to "Web site."	Errata