NERC
NORTH AMERICAN ELECTRIC
RELIABILITY CORPORATION

Attachment a

Source Document

PRIVILEGED AND CONFIDENTIAL INFORMATION & CRITICAL ENERGY INFRASTRUCTURE INFORMATION HAVE BEEN REMOVED FROM THIS PUBLIC VERSION.

Attachment b

Settlement Agreement(s)

CONFIDENTIAL

Laura Scholl
Managing Director, Compliance

(801) 819-7619
lscholl@wecc.biz

August 28, 2009

Steve Felte
Chief Financial Officer
Tri-Dam Project of the Oakdale and South San Joaquin Irrigation Districts

Re: Notice of Proposed Expedited Disposition of Pending Violations or Alleged Violations

Dear Mr. Felte,

This letter is giving notice that WECC proposes expedited disposition of the pending violations or alleged violations listed in **Attachment B**. **Please review the information below and respond in writing no later than the end of the business day on Friday, August 28, 2009.** Responses should be directed to Laura Scholl, Managing Director of Compliance, at lscholl@wecc.biz . If you have questions, please call Ms. Scholl at 801-819-7619. If a timely response is not received, WECC will issue a Notice of Alleged Violation and Proposed Penalty or Sanction, as explained more fully below.

WECC intends to file with the North American Electric Reliability Corporation ("NERC") and the Federal Energy Regulatory Commission ("FERC") a request to expedite resolution of the pending violations or alleged violations listed in **Attachment B** and to accept disposition of these violations or alleged violations under the terms of the Agreement in **Attachment A** hereto, based on the following factors:

- The violations do not pose a high risk to system reliability.
- The violations occurred prior to July 2008.
- Tri-Dam Project of the Oakdale and South San Joaquin Irrigation Districts files a mitigation plan to address the violations listed in **Attachment B** as set forth in the Agreement attached hereto.

This proposed expedited disposition will result in the assessment of zero penalties for the violations listed in **Attachment B** if this disposition is accepted by Tri-Dam Project of the Oakdale and South San Joaquin Irrigation Districts and by NERC and FERC.

For Public Release - April 16, 2010

If Tri-Dam Project of the Oakdale and South San Joaquin Irrigation Districts accepts this proposed expedited disposition, sign the attached Agreement below and return it to WECC no later than the end of the business day on Friday, August 28, 2009. If Tri-Dam Project of the Oakdale and South San Joaquin Irrigation Districts does not respond by the end of the business day on Friday, August 28, 2009, WECC may issue a Notice of Alleged Violation and Proposed Penalty or Sanction.

Cordially,

Managing Director of Compliance
615 Arapeen Drive Suite 210
Salt Lake City, UT 84108

LS:rh

Attachments:
A – Agreement
B – List of Violations

Cc: Ed Kisling, Operations Superintendent

For Public Release - April 16, 2010

ATTACHMENT A

AGREEMENT

Tri-Dam Project of the Oakdale and South San Joaquin Irrigation Districts and WECC agree to the following:

1. Acceptance of this Agreement, and fulfillment of the requirements set forth herein, results in the assessment of zero penalties for the violations listed in **Attachment B,** subject to approval or modification by the North American Electric Reliability Corporation and the Federal Energy Regulatory Commission.

2. The violations listed in **Attachment B** will be considered Confirmed Violations for all purposes and may be used as aggravating factors in accordance with the NERC Sanction Guidelines for determining appropriate monetary penalties or sanctions for future violations.

3. Tri-Dam Project of the Oakdale and South San Joaquin Irrigation Districts will file an acceptable mitigation plan with WECC to address the violations listed in **Attachment B,** if it has not already done so, by September 4, 2009. Tri-Dam Project of the Oakdale and South San Joaquin Irrigation Districts will complete the mitigation plan addressing the violations listed in **Attachment B** and file a completed mitigation plan with WECC in accordance with the WECC-approved mitigation plan. If Tri-Dam Project of the Oakdale and South San Joaquin Irrigation Districts has already filed an acceptable mitigation plan for the violations listed in **Attachment B**, but has failed to meet the expected completion date of that mitigation plan, Tri-Dam Project of the Oakdale and South San Joaquin Irrigation Districts must complete and file the completed mitigation plan with WECC by September 4, 2009 or else submit a new mitigation plan to WECC by September 4, 2009.

4. Tri-Dam Project of the Oakdale and South San Joaquin Irrigation Districts attests there have been no repeat occurrences of the violations listed in **Attachment B**.

Accepted:
Tri-Dam Project of the Oakdale and South San Joaquin Irrigation Districts

Bill Tullar — 8-28-09
By — Date

WECC

[illegible] — 8/31/09
By — Date

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ATTACHMENT B

List of Violations

NERC Violation ID	Registered Entity	Standard	Requirement
TBD	Tri-Dam Project of the Oakdale and South San Joaquin Irrigation Districts	CIP-001-1	1
TBD	Tri-Dam Project of the Oakdale and South San Joaquin Irrigation Districts	CIP-001-1	2
TBD	Tri-Dam Project of the Oakdale and South San Joaquin Irrigation Districts	CIP-001-1	3
TBD	Tri-Dam Project of the Oakdale and South San Joaquin Irrigation Districts	CIP-001-1	4
TBD	Tri-Dam Project of the Oakdale and South San Joaquin Irrigation Districts	FAC-008-1	1
TBD	Tri-Dam Project of the Oakdale and South San Joaquin Irrigation Districts	PRC-005-1	1
TBD	Tri-Dam Project of the Oakdale and South San Joaquin Irrigation Districts	PRC-005-1	2

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Attachment c

Mitigation Plan

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Attachment d

Registered Entity's
Certification of Completion

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Attachment e

Regional Entity's Verification of Completion

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