

# NERC

NORTH AMERICAN ELECTRIC  
RELIABILITY CORPORATION

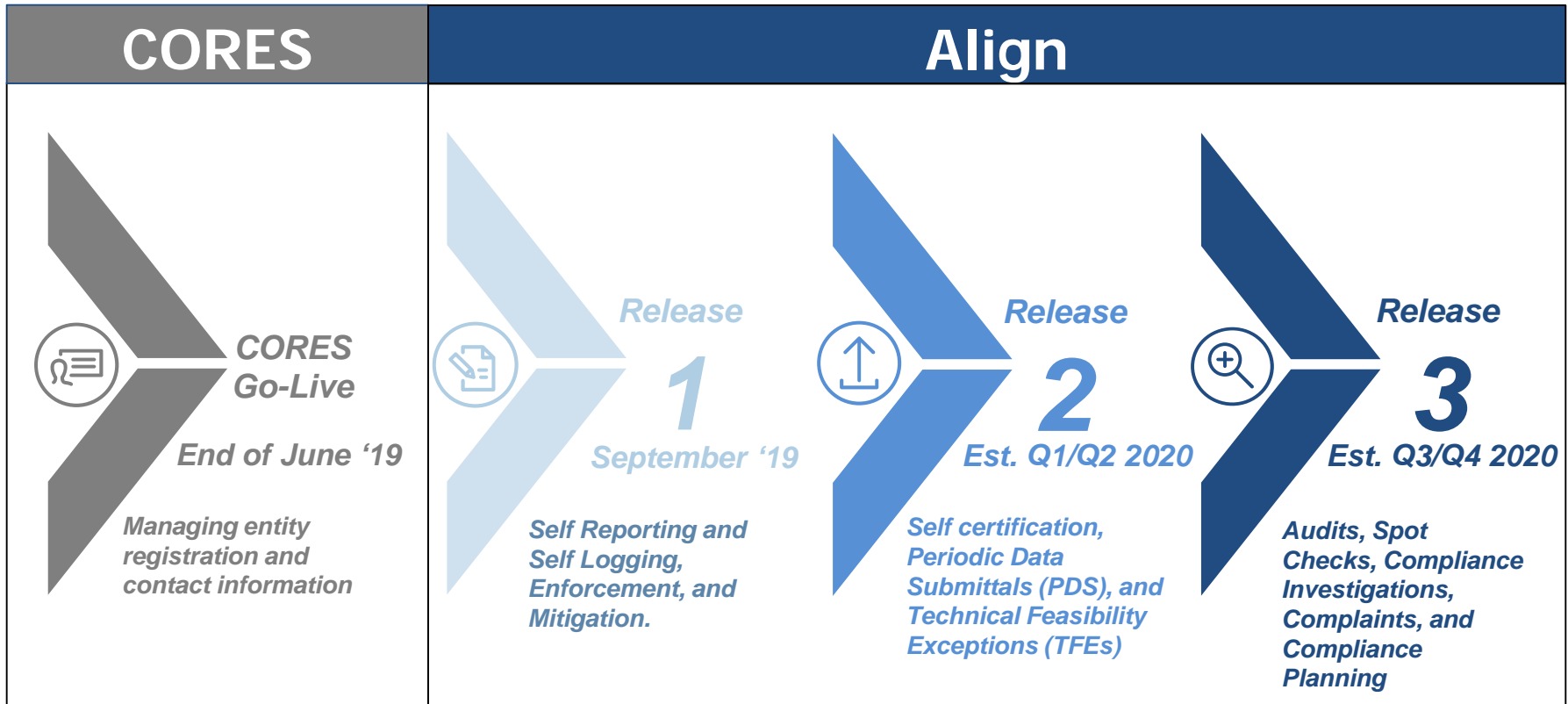
# CMEP Technology Project

## Align Release 1 Functionality

April 2019

**RELIABILITY | ACCOUNTABILITY**





## Stakeholder Group

### Registered Entities



## Release 1 Functionality

- Create and submit Self-Reports and Self-Logs
- Create and manage mitigating activities (informal) and Mitigation Plans (formal)
- View and track Open Enforcement Actions “EAs” (resulting from all monitoring methods)
- Receive and respond to Requests for Information “RFIs”
- Receive notifications and view dashboards on new/open action items
- Generate report of Standards and Requirements applicable to your entity
- Manage user access for your specific entity

## Stakeholder Group

### *Regional Entities*

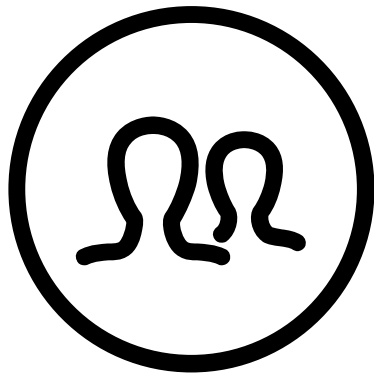


## Release 1 Functionality

- Receive Self-Reports and Self-Logs from entities
- Manually create findings that result from any monitoring method (Audits, Spot Checks, Investigations, PDS, Self-Certifications, Complaints)
- Perform Preliminary Screens, PNC Reviews, and disposition determinations for each PNC/EA
- Send and received responses to RFIs
- Trigger notifications such as NAVAPS, NOCV, CE Letter, FFT Letter, and Settlement Agreements
- Receive, review, and approve mitigating activities (informal) and Mitigation Plans (formal)
- Receive notifications and view dashboards on new/open action items
- Generate report of Standards and Requirements applicable to a registered entity

## Stakeholder Group

*NERC Users*



## Release 1 Functionality

- Perform oversight of the Regional Entities activities
- View dashboards on new/open action items
- Create reports required by FERC related to Enforcement and Mitigation activities
- Generate report of Standards and Requirements applicable to a registered entity

## Release 2 Functionality Est. Q1/Q2 2020

- Technical Feasibility Exceptions (TFE)
- Periodic Data Submittals (PDS)
- Self-Certifications

Note: A strategy is being developed for how these monitoring methods will be managed in the gap between Releases

## Release 3 Functionality Est. Q3/Q4 2020

- Compliance Planning (Risk, CMEP Implementation Plan, Inherent Risk Assessment, Internal Controls Evaluation, Compliance Oversight Plan)
- Compliance Audit
- Spot Check
- Compliance Investigations
- Complaints