Compliance Processes and Procedures
Subcommittee Minutes
November 29, 2016 | 9:00 a.m. - noon Eastern

NRECA Conference Center
4301 Wilson Boulevard
Arlington, VA 22203

Terry Bilke called to order the meeting on November 29, 2016 at approximately 9 a.m. Mr. Goldberg, Chair, was not present. Terry Bilke facilitated agenda items and discussion.

Introduction and Chair’s Remarks
Terry Bilke provided opening remarks and asked for additional discussion items for the agenda, reflected in the meeting minutes below.

Compliance Monitoring and Enforcement Program (CMEP) Support 2017
Compliance Processes Procedures Subcommittee (CPPCS) members requested North American Electric Reliability Corporation (NERC) input for possible ideas for ongoing CMEP support in 2017. NERC staff identified two possible direct areas for CPPS consideration:

- Update User Guides (e.g., self-report and mitigation)
- Recommendation from the 2016 Electric Reliability Organization (ERO) Enterprise Stakeholder Survey regarding industry outreach on internal controls and sharing of industry experiences.

2017 Compliance Certification Committee (CCC) Work Plan Review
A review of the CCC’s workplan noted several CMEP-support related items that could be CPPS opportunities. Action for CCC Chair and Vice Chair to provide a clear direction on ownership of activities.

CPPS also noted additional coordination between the CCC and other subcommittees should occur in support of continued industry collaboration, including review & update of CCC Procedures.

Regional Entity Run Clearinghouse - Information Review Support
Ken McIntyre provided an overview of the ongoing consistency feedback activity, noting CCC, and CPPS, roles and responsibilities will be defined in the process under development. The CCC has a Consistency task force that includes a number of CPPS Members, and CPPS may have a supporting role once the task force completes its work. CPPS suggested that the task force give some thought to differences in outcomes (benchmarking) as a means to address material inconsistencies (differing approaches to Internal Controls Evaluation, differences in regional CMEP scopes, differences in adoption of logging, etc.).

Implementation Guidance Submission
CPPS discussed the process and current status around the cases of rejected requests for Implementation Guidance. A gap may exist whereby there is some outreach to the submitter or likely “owners” of the
guidance to provide them feedback on what was lacking and what could be done to resubmit. Action for Matt Goldberg to follow-up with CCC and NERC on possible ideas for addressing process gap.

**Reliability Standards Audit Worksheets (RSAWs) Status**
CPPS review the status of upcoming RSAWs.

**Meeting adjourned**