

# Compliance Input Working Group Scope

## Statement of Need

Critical Infrastructure Protection Committee (CIPC) will support the NERC Compliance Monitoring and Enforcement Program (CMEP) initiatives by providing timely technical expertise on matters related to cyber and physical security as requested by the NERC Compliance Assurance group.

## Background

The NERC Compliance Assurance group may on occasion request technical expertise in developing and prioritizing Compliance products. CIPC has supported these requests through the CIPC-Executive Committee (EC) on an ad hoc basis. The CIPC-EC in developing the CIPC strategic plan, believes it beneficial to formalize the processing of Compliance input requests with an ongoing working group.

## CIWG Objectives/Duties

CIPC oversees the Compliance Input Working Group (CIWG).

- The CIWG will develop a roster of technical experts from the CIPC voting members, alternate members, and other willing participants which will conduct the following activities:
  - Develop a process for handling requests from NERC Compliance Assurance staff.
  - Provide feedback from industry to the NERC Compliance Assurance staff to improve the CMEP.
  - Provide guidance to CIPC on prioritization of Compliance Assurance products under development.
  - Provide guidance on Compliance Monitoring and Enforcement products that may be brought before the CIPC for discussion.
  - Provide timely technical reports to CIPC on CMEP matters related to cyber and physical security.
  - Utilize the CIPC face-to-face meetings to facilitate discussion and allow discourse on CMEP topic areas.
  - Encourage registered entity involvement in the NERC CIP standards review and comment process.
  - Provide CIPC feedback to NERC Compliance Assurance on the effectiveness of the CMEP tools and processes when possible.
  - Reviews Lessons Learned published by NERC that the CIPC EC would like the CIWG to investigate for additional industry feedback.
  - Develop Implementation Guidance where needed under the direction of the CIPC EC.

## Members and Structure

The CIWG will generally follow the organizational structure and voting rights of the CIPC with the following additions:

- Non-voting members who are industry subject matter experts, for the work at hand.
- A NERC staff member will be assigned as the non-voting CIWG Coordinator.
- The CIWG chair and vice chair will be appointed by the CIPC EC for two-year terms.

## **Reporting**

The CIWG will administratively report to CIPC EC.

## **CIWG Deliverables and Work Schedule**

- The work plan for the CIWG will be documented in the CIPC Strategic Plan and updated as needed by the CIPC EC.
- The CIWG will convene a monthly conference call as needed to address any issues raised by the NERC Compliance Assurance Department or CIPC EC.
- At a minimum the CIWG will conduct four meetings per year. Emphasis will be given to conference calls and web-based meetings prior to the CIPC quarterly meeting. If face-to-face is required every effort will be made to meet at the same location as the CIPC quarterly meeting.
- The CIWG chair/vice chair or their designee will provide a report at each CIPC quarterly meeting.
- Process for handling requests will be developed in consultation with EC Sponsor