

## Minutes Board of Trustees

February 11, 2016 | 8:30 a.m.–12:00 p.m. Eastern

Hyatt Regency Sarasota  
1000 Boulevard of the Arts  
Sarasota, FL 34236

### Call to Order and Chair's Remarks

Mr. Frederick W. Gorbet, Chair, called to order the duly noticed open meeting of the Board of Trustees ("Board") of the North American Electric Reliability Corporation ("NERC" or the "Corporation") in Sarasota, Florida on February 11, 2016, at 8:30 a.m. Eastern, and a quorum was declared present. The agenda is attached as **Exhibit A**.

Present at the meeting were:

### Board Members:

Frederick W. Gorbet, Chair  
Paul F. Barber  
Janice B. Case  
Gerald W. Cauley, President and Chief Executive Officer  
Robert G. Clarke  
Kenneth W. DeFontes, Jr.  
David Goulding  
George Hawkins  
Kenneth G. Peterson  
Jan Schori

### NERC Staff

Valerie Agnew, Senior Director of Reliability Assurance  
Charles A. Berardesco, Senior Vice President, General Counsel, and Corporate Secretary  
Tina Buzzard, Associate Director  
Howard Gugel, Director of Standards  
Stan Hoptroff, Vice President and Chief Technology Officer  
Mark G. Lauby, Senior Vice President and Chief Reliability Officer  
William Lawrence, Senior Manager, Training and Exercise, E-ISAC  
Sonia Mendonca, Vice President of Enforcement and Deputy General Counsel  
Timothy E. Roxey, Vice President and Chief ES-ISAC Operations Officer  
Marcus H. Sachs, Senior Vice President and Chief Security Officer  
Janet Sena, Senior Vice President and Director of Policy and External Affairs  
Michael Walker, Senior Vice President, Chief Financial and Administrative Officer, and Treasurer

### **NERC Antitrust Compliance Guidelines**

Mr. Berardesco noted the public nature of the meeting and directed the participants' attention to the NERC Antitrust Compliance Guidelines, which had been included with the advance meeting materials. He offered to answer any questions regarding antitrust compliance or other matters.

### **Welcoming Remarks/Executive Session**

Mr. Gorbet welcomed attendees to the meeting. He expressed his appreciation to Sylvain Clermont, immediate past Chair of the Member Representatives Committee ("MRC"), for his service as MRC Chair and Vice Chair, noting his efforts to broaden and increase the depth of engagement of and with the MRC. Mr. Gorbet reported that before the open meeting, as is its custom, the Board met in closed session with NERC management, and subsequently in executive session without NERC management, to review NERC management activities and to approve Mr. Cauley's compensation.

### **Consent Agenda**

Upon motion duly made and seconded, the Board approved the consent agenda as follows:

### **Minutes**

The draft minutes for the November 7, 2015 meeting, December 7, 2015 conference call meeting and January 20, 2016 conference call meeting were approved in the form presented to the Board at this meeting.

### **Committee Membership Appointments and Charter Revisions**

#### ***Compliance and Certification Committee Membership***

**RESOLVED**, that the Board hereby approves the appointment of the following individuals to serve as Compliance and Certification Committee representatives, each for a term of three years:

- Vicki O'Leary of National Grid, representing Midwest Reliability Organization
- Martha Henson of Oncor Electric Delivery Company, representing Texas Reliability Entity, Inc.
- Thomas A. McDonald of Bonneville Power Administration-Department of Energy, representing Federal/Provincial Utility/Power Authority

#### ***Planning Committee Charter***

**RESOLVED**, that the Board hereby approves the appointment of the following individual to the Planning Committee for a term ending in August 2017:

- Kenneth Stone of Braintree Electric Light Department

### ***Critical Infrastructure Protection Committee Membership***

**RESOLVED**, that the Board hereby approves the appointment of the following individuals to the Critical Infrastructure Protection Committee, each for a term of two years:

- Joe Garmon of Seminole Electric Cooperative
- David Grubbs of City of Garland
- John Galloway of ISO New England
- Ross Johnson of Capital Power

### **Appointment of Officers**

**RESOLVED**, that the Board, upon recommendation of the Corporate Governance and Human Resources Committee, hereby appoints the following officers of the Corporation for 2016:

- Frederick W. Gorbet, Chair
- Roy Thilly, Vice Chair and Chair-Elect
- Gerald W. Cauley, President and Chief Executive Officer

**FURTHER RESOLVED**, that the Board, upon recommendation of the President and the Corporate Governance and Human Resources Committees, hereby appoints the following individuals as officers of the Corporation for 2016:

- Charles A. Berardesco, Senior Vice President, General Counsel, and Corporate Secretary
- Mark G. Lauby, Senior Vice President and Chief Reliability Officer
- Marcus H. Sachs, Senior Vice President and Chief Security Officer
- Janet Sena, Senior Vice President and Director of Policy and External Affairs
- Michael Walker, Senior Vice President, Chief Financial and Administrative Officer, and Treasurer
- Stanley Hoptroff, Vice President and Chief Technology Officer
- Sonia Mendonca, Vice President, Director of Enforcement, and Deputy General Counsel
- Timothy E. Roxey, Vice President and Chief E-ISAC Operations Officer

### **Remarks by David Ortiz, U.S. Department of Energy**

Mr. Ortiz provided an update on the Department of Energy's quadrennial energy review, noting its purpose and approach and opportunities for industry engagement. He also expressed appreciation for Mr. Cauley's participation in recent public meetings in connection with the review.

### **Remarks by Murray Doehler, CAMPUT**

Mr. Doehler noted positive events at NERC. He encouraged attendance at the Board's August meeting in Halifax, Nova Scotia. Mr. Doehler emphasized the continued importance of NERC to the bulk power system, and the importance of continuing to educate policy makers. He expressed appreciation for Mr. Cauley attending a future CAMPUT meeting.

### **Remarks by Commissioner Marcelino Madrigal**

Mr. Cauley introduced Dr. Marcelino Madrigal, summarizing his background and role in the historic transformation of Mexico's electric system. In his remarks, Dr. Madrigal described the makeup of the Mexican power system and its relative size to the U.S. system. He reviewed the transformation of the system from a vertically integrated system to an unbundled system, including key steps and status, and described the market design in terms of markets and products. Dr. Madrigal reviewed the approach to the renewable energy market, including the use of clean energy certificates. He reviewed current cooperation with NERC on reliability approaches, and noted current and future interconnections with the U.S., emphasizing Mexico's current and planned renewable portfolio. Mr. Peterson, at Mr. Gorbet's request, thanked Dr. Madrigal for his informative presentation.

### **President's Report**

Mr. Cauley provided his President's report, and, following up on Dr. Madrigal's remarks, noted that NERC's Bylaws contemplate engagement with Mexico, and referenced the cooperative effort with WECC in engaging with Mexico. He believed that, given Mexico's emphasis on renewable energy development, there will be increased interconnection over time. Mr. Cauley reported on current efforts with Mexico, including an upcoming joint technical conference.

Mr. Cauley reviewed 2015 NERC activity and accomplishments – noting that many programs have matured in their approach and processes. He referenced efforts to enhance the strategic planning process, noting that it was not intended to create a major change to the process, but to enhance stakeholder communication and more closely tie accomplishments and efforts to strategic objectives. The Board has directed management to enhance the corporate metrics to be both more succinct and more of a measure of the value of effort rather than a measure of process. Mr. Cauley requested that stakeholders submit examples of strategic plans and metrics, especially in the non-profit context, and noted that he will be requesting that the Reliability Issues Steering Committee review the proposed enhancements to the strategic planning approach.

Commenting on the recent Supreme Court stay of the Clean Power Plan, Mr. Cauley noted NERC will continue its planned assessment activity, as the focus is less on the actual Clean Power Plan than on assessing the ongoing transformation of the power system, which will continue regardless of the stay or ultimate disposition of the legal challenges. On security, he noted the recent GridEx III activities, the recent Ukraine event and the related Level 2 Alert and work with industry.

Mr. Cauley closed by noting the upcoming retirement from EEI of Jim Fama, and expressed appreciation for his substantial efforts on reliability and enhancing the work of NERC.

### **Board of Trustees Assessment Results**

Mr. Gorbet referenced the prior day's valuable discussion of the Board assessments, noting that he believed that at this point, the focus should be more on identifying future areas of focus rather than on actual ratings. He thanked the MRC sponsored working group that helped to enhance the assessments.

### **Standards**

Mr. Gugel presented on the following Reliability Standards projects and other matters. After discussion, and upon motions duly made and seconded, the Board approved the following resolutions:

#### **Reliability Standards BAL-00501 and FAC-001-3 – Balancing Authority Reliability-based Controls**

**RESOLVED**, that the Board hereby adopts the proposed Reliability Standards BAL-005-1 and FAC-001-3, as presented to the Board at this meeting.

**FURTHER RESOLVED**, that the Board hereby approves the associated implementation plans, as presented to the Board at this meeting.

**FURTHER RESOLVED**, that the Board hereby approves the Violation Risk Factors and Violation Severity Levels for the proposed Reliability Standards, as presented to the Board at this meeting.

**FURTHER RESOLVED**, that the Board hereby approves the new NERC Glossary definitions, as presented to the Board at this meeting.

**FURTHER RESOLVED**, that the Board hereby approves the proposed retirement of Reliability Standards BAL-005-0.2b, BAL-006-2, and FAC-001-2, as presented to the Board at this meeting.

**FURTHER RESOLVED**, that NERC management is hereby authorized to make the appropriate filings with ERO governmental authorities and take such further actions and make such further filings as are necessary and appropriate to effectuate the intent of the foregoing resolutions.

#### **Reliability Standard FAC-003-4 – Vegetation Management**

**RESOLVED**, that the Board hereby adopts the proposed Reliability Standard FAC-003-4, as presented to the Board at this meeting.

**FURTHER RESOLVED**, that the Board hereby approves the associated implementation plan, as presented to the Board at this meeting.

**FURTHER RESOLVED**, that the Board hereby approves the Violation Risk Factors and Violation Severity Levels for the proposed Reliability Standard, as presented to the Board at this meeting.

**FURTHER RESOLVED**, that the Board hereby approves the proposed retirement of Reliability Standard FAC-003-3, as presented to the Board at this meeting.

**FURTHER RESOLVED**, that NERC management is hereby authorized to make the appropriate filings with ERO governmental authorities and take such further actions and make such further filings as are necessary and appropriate to effectuate the intent of the foregoing resolutions.

### **Midwest Reliability Organization Regional Reliability Standard Processes Manual Revisions**

**RESOLVED**, that the Board hereby approves the revisions to the Midwest Reliability Organization Regional Reliability Standards Process Manual, as presented to the Board at this meeting.

**FURTHER RESOLVED**, that NERC management is hereby authorized to make the appropriate filings with ERO governmental authorities and take such further actions and make such further filings as are necessary and appropriate to effectuate the intent of the foregoing resolution

### **Reliability Standard TOP-007-WECC-1a – System Operating Limits (Retirement)**

**RESOLVED**, that the Board hereby approves the proposed retirement of regional Reliability Standard TOP-007-WECC-1a, as presented to the Board at this meeting.

**FURTHER RESOLVED**, that NERC management is hereby authorized to make the appropriate filings with ERO governmental authorities and take such further actions and make such further filings as are necessary and appropriate to effectuate the intent of the foregoing resolution.

### **Rules of Procedure Amendments**

Ms. Mendonca presented the proposed Rules of Procedure amendments relating to the Compliance Monitoring and Enforcement Program, which had been included in the advance meeting materials and had been posted for comments in accordance with NERC policies, noting they were intended to meet recent FERC directives in connection with the risk-based monitoring and enforcement approaches being implemented by the ERO Enterprise. Upon motion duly made and seconded, the following resolutions were approved:

**RESOLVED**, that the Board of Trustees hereby approves the proposed amendments to the NERC Rules of Procedure, as presented to the Board at this meeting.

**FURTHER RESOLVED**, that NERC management is hereby authorized to make the appropriate filings with ERO governmental authorities and take such further actions and make such further filings as are necessary and appropriate to effectuate the intent of the foregoing resolution.

### **Outreach and Follow-up of Reliability Assessment Reports**

Mr. Gorbet invited comments on the presentation at the prior day's MRC meeting. He noted the importance of reliability assessments and the need for outreach to policy makers and others using non-technical language. Mr. Cauley noted efforts to enhance the accessibility of the assessment reports for policymakers. He also noted the discussion at the MRC meeting regarding NERC taking advocacy positions,

agreeing that NERC should be cautious in advocating for a single or specific solution in public filings. Mr. Nabil Hitti, MRC Chair, noted the importance of the discussion around communication, and asked that future strategic plans reflect the importance of stakeholder outreach.

### **E-ISAC Quarterly Update**

Mr. Sachs reviewed key E-ISAC activities during 2015, including information sharing and reporting, events, products, staffing, facilities and physical separation, as well as interaction with the E-ISAC Member Executive Committee. He reviewed 2016 plans, including technology enhancements, personnel training, facility enhancement, expansion of CRISP, new products, events and enhanced interaction with cross-sector and external partners. Mr. Sachs reviewed the recent Ukraine event, including the Level 2 Alert, and provided an overview of what is included in a Level 2 Alert.

Mr. Fama referenced the work of the E-ISAC Executive Committee and the excellent NERC support for its work. He referenced the important role of the E-ISAC in the industry response to the Ukraine event.

Mr. Roxey provided an overall update on CRISP and its implementation across the industry.

### **Report on GridEx III**

Mr. Lawrence provided an overview of GridEx III, including increased participation in terms of numbers and scope. He reviewed the approach to the exercise, including the increased use of communication scenarios. Mr. Lawrence discussed the executive tabletop exercise, and reviewed plans for future exercises. Mr. Cauley stated GridEx III was an important opportunity to continue the enhancement of industry/government coordination.

### **Standing Committee Reports**

Representatives of the Standing Committees provided reports to the Board highlighting items from their written reports, which had been included with the advance meeting materials.

### **Operating Committee**

Jim Case, Committee Chair, summarized several key efforts set forth in the written report.

### **Planning Committee**

David Weaver, Committee Chair, summarized the written report, including the new approach on the timing and scope of reliability assessments, new work by the Essential Services Task Force following up on the Task Force report, and the upcoming State of Reliability Report.

### **Critical Infrastructure Protection Committee**

Mark Child, on behalf of the Committee, summarized and highlighted portions of the written report, including the classified briefing provided to the Committee.

### **Member Representatives Committee**

Mr. Hitti, MRC Chair, summarized the issues that had been discussed at the MRC meeting on the previous day, noting the excellent Trustee participation. He also reminded MRC members of the need for

volunteers to serve on the Board of Trustees Nominating Committee and the Business Plan and Budget Working Group. Mr. Hitti stated he was looking forward to continued discussion on corporate metrics.

#### **Personnel Certification Governance Committee**

Brett Hallborg, Committee Chair, summarized the written report, noting key initiatives and the pass rates.

#### **Standards Committee**

Brian Murphy, Committee Chair, referenced the proposed Committee Work Plan, noting the enhancements relating to the Regional Entities. Upon motion duly made and seconded, the following resolution was approved.

**RESOLVED**, that the Board hereby accepts the 2016-2018 Standards Committee Strategic Work Plan, as presented to the Board at this meeting.

Mr. Murphy also noted the Committee's work around standards metrics and the consideration of costs in standards.

#### **Reliability Issues Steering Committee**

Mr. Hitti, as chair of the RISC nominating committee, presented nominees for election, noting there was one continuing vacancy. Upon motion duly made and seconded, the following resolutions were approved:

**RESOLVED**, that the Board hereby approves the appointment of the following individuals to the Reliability Issues Steering Committee ("RISC"), each for a term ending on January 31, 2018:

- Jason Marshall, MRC Member, Vice President of Regulatory and Reliability Services at ACES
- Dave Osburn, MRC Member, CEO of Oklahoma Municipal Power Authority
- Ray Gorman, At-Large Member, Chair of New Brunswick Energy and Utilities Board
- Daniel Froetscher, At-Large Member, Senior Vice President of Transmission, Distribution, and Customer Services at APS
- Charles King, At-Large Member, Vice President and Chief Information Officer at Kansas City Power & Light Co.
- Chris Shepherd, At-Large Member, Director of NERC Critical Infrastructure Protection Services at NAES Corporation
- Brian Allen Slocum, At-Large Member, Vice President of Operations and NERC Compliance Officer at ITC Holdings

**FURTHER RESOLVED**, that the Board hereby approves the appointment of the following individuals to the RISC, each for a term ending on January 31, 2017:

- Lloyd Linke, Vice Chairman of the Operating Committee, to replace Jim Case, Chairman of the Operating Committee
- Brian Evans-Mongeon, Vice Chairman of the Planning Committee, to replace Dave Weaver, Chairman of the Planning Committee

**FURTHER RESOLVED**, that the Board hereby approves the appointment of Peter Brandien of ISO New England to serve as Chair of the RISC for a term of one year.

Mr. Brandien, Committee Chair, provided a general overview of the Committee's work, noting that the NERC Reliability Leadership Summit will likely occur earlier than in the past, so as to enhance the input into NERC's strategic planning process.

#### **Compliance and Certification Committee**

Ms. Patti Metro, Committee Chair, noted the Committee's written report, including the work on the audit of NERC's CMEP and ORCP.

#### **Electricity Sub-Sector Coordinating Council**

Mr. Cauley referenced earlier reports, and noted the ESCC continues to be a very active executive group that has undergone a very positive transition to industry engagement.

#### **Forum and Group Reports**

##### **North American Energy Standards Board**

Michael Desselle, NAESB Chair, provided a report on recent activity.

##### **North American Generator Forum**

Allen Schriver, Forum CEO, referenced the written report.

##### **North American Transmission Forum**

Tom Galloway, Forum CEO, referenced the Forum's written report, noting the excellent collaboration with NERC in a number of areas.

##### **Regional Entity Management Group**

Lane Lanford, Group Chair, referenced the written report, noting the region-wide implementation of risk-based compliance and enforcement processes and a focus on continuous improvement.

#### **Board Committee Reports**

##### **Corporate Governance and Human Resources Committee**

Ms. Schori, Committee Chair, summarized the Committee's open and closed meetings that occurred prior to this meeting. She reported that the Committee had reviewed and recommended the Board committee assignments. Upon motion duly made and seconded, the following resolution was approved:

**RESOLVED**, that the Board, upon recommendation of the Corporate Governance and Human Resources Committee, hereby approves the following 2016 Board Committee assignments:

**ESCC Observer** – Paul Barber

**Corporate Governance and Human Resources**

Bob Clarke, Chair  
Fred Gorbet, *ex officio*  
Ken DeFontes  
Ken Peterson  
Roy Thilly

**Compliance**

Janice Case, Chair  
Fred Gorbet, *ex officio*  
Dave Goulding  
Jan Schori  
Roy Thilly

**Enterprise-wide Risk**

Dave Goulding, Chair  
Fred Gorbet, *ex officio*  
Bob Clarke  
George Hawkins  
Jan Schori

**Finance and Audit**

Jan Schori, Chair  
Fred Gorbet, *ex officio*  
Janice Case  
Bob Clarke  
Ken DeFontes  
Ken Peterson

**Nominating**

Ken Peterson, Chair  
Bob Clarke  
Ken DeFontes  
Dave Goulding  
George Hawkins  
Jan Schori

**Standards Oversight and Technology**

Ken Peterson, Chair  
Fred Gorbet, *ex officio*  
Paul Barber  
Ken DeFontes  
Dave Goulding  
George Hawkins

Ms. Schori reported that the Committee had recommended the annual contribution to the NERC Savings and Investment Plan. Upon motion duly made and seconded, the following resolution was approved:

**RESOLVED**, that the Board, upon recommendation of the Corporate Governance and Human Resources Committee, hereby approves a contribution into NERC's Savings and Investment plan (the "Plan") for the year ending on December 31, 2015, for the accounts of each eligible employee participating in the Plan, equal to 8 percent of such employee participant's 2015 base salary, as presented to the Board at this meeting.

Ms. Schori reported that the Committee had reviewed and was recommending revised mandates for three Board committees, which completed the update of the committee mandates. Upon motion duly made and seconded, the following resolutions were approved:

**RESOLVED**, that the Board, upon recommendation of the Corporate Governance and Human Resources Committee (the “CGHRC”), hereby approves the Standards Oversight and Technology Committee Mandate, as presented to the Board at this meeting, to replace the Standards Oversight and Technology Committee Mandate approved by the Board on August 14, 2014.

**FURTHER RESOLVED**, that the Board, upon recommendation of the CGHRC, hereby approves the Finance and Audit Committee Mandate, as presented to the Board at this meeting, to replace the Finance and Audit Committee Mandate approved by the Board on November 7, 2012.

**FURTHER RESOLVED**, that the Board, upon recommendation of the CGHRC, hereby approves the Enterprise-wide Risk Committee Mandate, as presented to the Board at this meeting, to replace the Enterprise-wide Risk Committee Mandate approved by the Board on February 6, 2014.

Ms. Schori reported that the Committee had approved revisions to the 2016 Corporate Metrics for compensation purposes, to provide additional clarity. The Committee was recommending the Board accept these revised Metrics for purposes of evaluating NERC’s corporate performance during the year, and that the revised Metrics would be posted. Upon motion duly made and seconded, the following resolution was approved:

**WHEREAS**, the Board approved the 2016 ERO Enterprise and Corporate Metrics (the “2016 Corporate Metrics”), as presented to the Board during its November 2015 meeting; and

**WHEREAS**, the Corporate Governance and Human Resources Committee (the “CGHRC”) has approved the metrics and weightings for 2016 compensation purposes (the “2016 Performance Metrics”), which differ from the 2016 Corporate Metrics based on enhancements recommended by management; and

**WHEREAS**, the CHGRC has recommended that the Board approve the revised 2016 Corporate Metrics as applicable to NERC so that there is alignment between the 2016 Corporate Metrics, upon which management shall publicly report on a quarterly basis, and the 2016 Performance Metrics;

**NOW, THEREFORE, BE IT RESOLVED**, that the Board, upon recommendation of the CGHRC, hereby approves the revised 2016 Corporate Metrics, as presented to the Board at this meeting.

### **Compliance Committee**

Ms. Case, Committee Chair, summarized the recent closed, executive and open sessions of the Committee.

### **Finance and Audit Committee**

Mr. Clarke reported on the Committee’s recent conference call meeting on behalf of Mr. Thilly, Committee Chair, and requested Board acceptance of the fourth quarter statement of activities. Upon motion duly made and seconded, the following resolution was approved:

**RESOLVED**, that the Board, upon recommendation of the Finance and Audit Committee, hereby accepts the NERC Fourth Quarter 2015 Unaudited Statement of Activities, as presented to the Board at this meeting.

#### **Enterprise-wide Risk Committee**

Mr. Goulding, Committee Chair, reported that the Committee had met in a closed meeting and received updates on the current year audit plan, activities related to prior audits and the work of the CCC.

#### **Standards Oversight and Technology Committee**

Mr. Peterson, Committee Chair, reported on the Committee's recent conference call meeting, noting that the Committee continues to monitor the overall information technology plan and progress on updating Reliability Standards. The Committee has requested that NERC staff work with the Regional Entity staffs to conduct an expedited review of regional standards that could be retired. Mr. Peterson also noted that the industry is working with NERC to develop compliance guidance resources and that this approach, together with the implementation of the risk-based compliance and enforcement program, could be an effective way to evaluate the "cost" of standards issue.

#### **Closing Remarks**

In his closing remarks, Mr. Gorbet noted the excellent MRC meeting the prior day, and stated that he felt 2015 was a very good year for NERC and the ERO Enterprise. He reminded attendees that NERC's 2015 Annual Report would be released later that day. Mr. Gorbet thanked the MRC members and other stakeholders for their policy input, and thanked the NERC staff who had worked on the meetings.

#### **Adjournment**

There being no further business, and upon motion duly made and seconded, the meeting was adjourned.

Submitted by,



Charles A. Berardesco  
Corporate Secretary

## Agenda

### Board of Trustees

February 11, 2016 | 8:30 a.m.–12:00 p.m. Eastern

Hyatt Regency Sarasota  
1000 Boulevard of the Arts  
Sarasota, FL 34236

#### Call to Order

#### NERC Antitrust Compliance Guidelines—Public Announcement

#### Consent Agenda - Approve

1. **Minutes\***
  - a. January 20, 2016 Conference Call
  - b. December 7, 2015 Conference Call
  - c. November 5, 2015 Meeting
2. **Committee Membership and Charter Changes\***
  - a. Compliance and Certification Committee Membership
  - b. Planning Committee Membership
  - c. Critical Infrastructure Protection Committee Membership
  - d. Election and Appointment of NERC Officers

#### Regular Agenda

3. **Remarks and Reports**
  - a. Opening Remarks by Board Chair
  - b. Remarks by David Ortiz, U.S. Department of Energy
  - c. Remarks by Murray Doehler, CAMPUT
  - d. Report on Mexico's Electricity Reforms, Commissioner Marcelino Madrigal, CRE
  - e. President's Report
  - f. Board of Trustees Self-Assessment Results
4. **Standards\***
  - a. Project 2014.2.1 Phase 2 of Balancing Authority Reliability-based Controls (BAL-005, BAL-006, FAC-001) – **Adopt**
  - b. Project 2010-07.1 Vegetation Management (FAC-003) – **Adopt**

- c. Midwest Reliability Organization Regional Reliability Standard Processes Manual Revisions – **Approve**
- d. TOP-007-WECC-1a – System Operating Limits – **Retire**
- 5. **Other Matters and Reports**
  - a. Rules of Procedure Revisions to Comply with Federal Energy Regulatory Commission Directives – **Approve**
  - b. Outreach and Follow-up of Reliability Assessment Reports – **Discussion**
    - i. Essential Reliability Services Task Force Report and Abstract
    - ii. Clean Power Plan Report
  - c. E-ISAC Quarterly Update – **Information**
    - i. CRISP Update
  - d. Report on GridExIII – **Information**
- 6. **Committee Reports\***
  - a. Operating Committee
  - b. Planning Committee
  - c. Critical Infrastructure Protection Committee
  - d. Member Representatives Committee
  - e. Personnel Certification Governance Committee
  - f. Standards Committee
  - g. Reliability Issues Steering Committee
  - h. Compliance and Certification Committee
  - i. Electricity Sub-Sector Coordinating Council
- 7. **Forum and Group Reports\***
  - a. North American Energy Standards Board
  - b. Regional Entity Management Group
  - c. North American Transmission Forum
  - d. North American Generator Forum
- 8. **Board Committee Reports**
  - a. Corporate Governance and Human Resources
    - i. 2016 Board Committee Assignments – **Approve**
    - ii. 2015 Contribution to Savings and Investment Plan – **Approve**
    - iii. Mandates: Standards Oversight and Technology, Finance and Audit, and Enterprise-wide Risk Committees – **Approve**

- b. Compliance
  - c. Finance and Audit
    - i. Fourth Quarter 2015 Unaudited Statement of Activities – **Accept**
  - d. Enterprise-wide Risk
  - e. Standards Oversight and Technology
9. **Adjournment**

\*Background materials included.