

Meeting Minutes Compliance Committee

February 10, 2016 | 10:45 a.m. Eastern

Hyatt Regency Sarasota
1000 Boulevard of the Arts
Sarasota, FL 30326

Janice B. Case, Chair, called to order the duly noticed meeting of the Board of Trustees Compliance Committee (BOTCC) of the North American Electric Reliability Corporation (NERC) on February 10, 2016, at approximately 10:45 a.m. Eastern, and a quorum was declared present.

Present at the meeting were:

Committee Members

Janice B. Case, Chair
Frederick W. Gorbet
David Goulding
Jan Schori
Roy Thilly

Board of Trustees Members

Gerry W. Cauley, President and Chief Executive Officer
Paul F. Barber
Robert G. Clarke
George S. Hawkins
Kenneth G. Peterson

NERC Staff

Valerie Agnew, Senior Director of Reliability Assurance
Charles A. Berardesco, Senior Vice President, General Counsel, and Corporate Secretary
Howard Gugel, Director, Standards
Mark Lauby, Senior Vice President and Chief Reliability Officer
Sonia Mendonça, Vice President of Enforcement and Deputy General Counsel

Additional Attendees

Maggy Powell, Exelon Corporation

NERC Antitrust Compliance Guidelines

Ms. Case directed the participants' attention to the NERC Antitrust Compliance Guidelines.

Minutes

Upon motion duly made and seconded, the BOTCC approved the November 4, 2015, meeting minutes as presented at the meeting.

Follow-up Regarding Action Items from Prior Meeting

Ms. Case summarized key points from the December 16, 2015, and January 14, 2016, Executive Session meetings, as well as the February 9, 2016, Closed and Executive Session meetings.

Compliance Committee Self-Assessment Results

Ms. Case summarized the results of the BOTCC's self-assessment, provided as part of the agenda package, noting that the overall survey was above expectations and that given the evolving role of the BOTCC, this seemed like a very satisfactory result.

Overview of Critical Infrastructure Protection Activities

Mr. Lauby, Mr. Gugel, and Ms. Powell provided an overview of recent activities in the areas of Compliance and Reliability Standards development regarding version 5 of the Critical Infrastructure Protection Reliability Standards. They also noted a January 2016 technical conference hosted by the Federal Energy Regulatory Commission to discuss supply chain management at which Marcus Sachs, NERC Senior Vice President and Chief Security Officer, was a panelist.

CMEP Annual Report

Ms. Mendonça and Ms. Agnew discussed trends regarding noncompliance with Reliability Standards in 2015, including risk assessment, self-identification, and mitigation of noncompliance. They also presented selected information from the 2015 ERO Enterprise Compliance Monitoring and Enforcement Program Annual Report provided as part of the agenda package.

Adjournment

There being no further business, and upon motion duly made and seconded, the meeting was adjourned.

Submitted by,



Charles A. Berardesco
Corporate Secretary

Agenda

Compliance Committee

February 10, 2016 | 10:45 a.m. – 12:00 p.m. Eastern

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(941) 953-1234

Introductions and Chair's Remarks

NERC Antitrust Compliance Guidelines

Agenda Items

1. **Minutes* – (Approve)**
 - a. Meeting of November 4, 2015
2. **Follow-up Regarding Action Items from Prior Meeting – (Discussion)**
3. **Compliance Committee Self-Assessment Results* – (Review)**
4. **Overview of Critical Infrastructure Protection Activities* – (Update)**
5. **CMEP Annual Report* – (Information)**
6. **Adjournment**

*Background materials included.