

Minutes

Board of Trustees Compliance Committee

February 11, 2015 | 11:15 a.m. Pacific

The Westin San Diego
400 W Broadway
San Diego, CA 92101

Bruce A. Scherr, Chair, called to order the duly noticed open meeting of the Board of Trustees Compliance Committee (the Committee) of the North American Electric Reliability Corporation on February 11, 2015 at approximately 11:15 a.m. Pacific, and a quorum was declared present. The agenda is attached as Exhibit A.

Present at the meeting were:

Committee Members

Bruce A. Scherr, Chair
Janice B. Case
Frederick W. Gorbet
David Goulding
Jan Schori
Roy Thilly

Board of Trustees Members

Gerry W. Cauley, President and Chief Executive Officer
Paul F. Barber
Robert G. Clarke
Douglas Jaeger
Kenneth G. Peterson

NERC Staff

Charles A. Berardesco, Senior Vice President, General Counsel, and Corporate Secretary
Sonia Mendonca, Deputy General Counsel, Vice President of Compliance and Enforcement
Steven Noess, Director, Compliance Assurance

NERC Antitrust Compliance Guidelines

Mr. Scherr directed the participants' attention to the NERC Antitrust Compliance Guidelines.

Minutes

Upon motion duly made and seconded, the November 12, 2014 meeting minutes were approved as presented at the meeting.

Compliance Committee Self-Assessment Results

Chair Scherr presented the results of the self-assessment performed by the Compliance Committee. In particular, he highlighted the areas rated highest and lowest in the assessment, noting that in all areas the Committee appears to be operating at expectations or higher.

Risk-Based Compliance Monitoring and Enforcement Program Implementation

Mr. Noess provided an overview of the recent accomplishments related to the risk-based Compliance Monitoring and Enforcement Program (CMEP), including the completion of design, early training, and outreach activities conducted in 2014. He also presented the roadmap for additional training and outreach in 2015 and provided a summary of the plan for NERC's coordination with the Regional Entities to implement the program.

Key Compliance and Enforcement Metrics and Trends

Ms. Mendonca discussed NERC's plan for coordination on the Regional Entities' enforcement activities, including implementation of the risk-based CMEP in order to identify areas of excellence and lessons learned. She also reviewed the development of ERO-wide metrics that would be used to evaluate the success of the implementation of the risk-based CMEP, noting that NERC and regional staff are working with members of an industry stakeholder advisory group and the NERC Compliance and Certification Committee.

Compliance Exception and Self-Logging Report

Ms. Mendonca presented the ERO Enterprise results compared to the 2014 metrics and highlighted the trends observed in self-logging and compliance exceptions.

There being no further business, and upon motion duly made and seconded, the meeting was adjourned at approximately 12:15 p.m. Pacific.

Submitted by,



Charles A. Berardesco
Corporate Secretary