

Minutes

Compliance Committee – Open Session

February 6, 2013 | 10:45 a.m. local time

Hotel del Coronado
1500 Orange Avenue
Coronado, CA 92118
800-468-3533

Chair Bruce Scherr convened a duly noticed open meeting of the Compliance Committee (the Committee) of the North American Electric Reliability Corporation on February 6, 2013 at 10:45 a.m. local time, and a quorum was declared present. The agenda is attached as **Exhibit A**.

Present at the meeting were: Committee members, being Bruce Scherr, Chair, Ken Peterson, Roy Thilly, Jan Schori and John Q. Anderson; Board members Tom Berry, Janice Case, Paul Barber, Fred Gorbet, Dave Goulding, and Gerry Cauley; and NERC staff members Charlie Berardesco, Earl Shockley, Sonia Mendonca, Rebecca Michael, and Tina Buzzard.

A listing of industry attendees is attached as **Exhibit B**.

NERC Antitrust Compliance Guidelines

Mr. Scherr directed the participants' attention to the NERC Antitrust Compliance Guidelines.

Minutes

The Committee approved the November 6, 2012 meeting minutes as presented at the meeting.

Compliance Committee Self-Assessment Results

Mr. Scherr reviewed the Committee's self-assessment results for 2012, noting the Committee self-assessments were very positive, and that areas of improvement would be a focus in the coming year.

Reliability Assurance Initiative

Mr. Shockley provided an update on the Reliability Assurance Initiative (RAI), noting adjustments to the RAI approach and possible filing implications, the status of the remaining white papers and reviewing future communications and strategies. Mr. Shockley stated additional presentation and discussion occur at the Member Representatives Committee meeting to be held that afternoon.

Quarterly Report on Performance Metrics

Ms. Mendonca presented the quarterly compliance statistics report to the Committee, as well as provided an update on the development of a consistent set of enforcement metrics amongst NERC and the Regional Entities. She reviewed the final metrics selected, the parameters developed to ensure consistency in the metric measurements by NERC and the Regional Entities, and the process to be used in developing metric examples and presentation format. Upon completion of the metric examples and

presentation format, Ms. Mendonca stated the proposed metrics would be brought to the Committee for approval.

Compliance Enforcement Initiative

Ms. Mendonca provided an update on the Find, Fix, Track, and Report (FFT) initiative, as well as she reviewed the progress of the 12-month status report to be filed with FERC in March 2013.

There being no further business, and upon motion duly made and seconded, the meeting was adjourned at approximately 11:45 a.m. local time.

Submitted by,



Charles A. Berardesco
Secretary