

## Meeting Minutes Compliance Committee

May 10, 2023 | 9:00-9:45 a.m. Eastern  
Hybrid Meeting

Mr. Robin E. Manning, Chair, called to order the duly noticed meeting of the Board of Trustees Compliance Committee (CC or Committee) of the North American Electric Reliability Corporation (NERC) on May 10, 2023, at approximately 9:00 a.m. Eastern Time, and a quorum was declared present.

Present at the meeting were:

### Committee Members

Robin E. Manning, Chair

George S. Hawkins

Susan Kelly

Suzanne Keenan

Kenneth W. DeFontes, Jr., ex officio

### Board of Trustees Members

Jane Allen

Jim Piro

Kristine M. Schmidt

Colleen Sidford

James B. Robb, President and Chief Executive Officer

### NERC Staff

Tina Buzzard, Assistant Corporate Secretary

Manny Cancel, Senior Vice President and CEO of the E-ISAC

Howard Gugel, Vice President, Compliance Assurance & Registration

Kelly Hanson, Senior Vice President, Chief Administrative Officer

Stan Hoptroff, Vice President, Business Technology

Mark Lauby, Senior Vice President and Chief Engineer

James McGrane, Senior Counsel (Virtual)

Kimberly Mielcarek, Vice President, Communications

Kaiesha Morgan, Program Specialist, Corporate Governance and Enforcement

Bryan Preston, Vice President, People & Culture

Lonnie Ratliff, Director, Compliance Assurance & Certification (Virtual)

Sônia Rocha, Senior Vice President, General Counsel, and Corporate Secretary

Janet Sena, Senior Vice President, External Affairs

Andy Sharp, Vice President, Chief Financial Officer

Teri Stasko, Assistant General Counsel and Director of Enforcement (Virtual)

### Introduction and Chair's Remarks

Mr. Manning welcomed the members of the CC and other participants to the meeting. Mr. Manning provided a brief summary of the May 9, 2023 Committee closed meeting.

### **NERC Antitrust Compliance Guidelines**

Ms. Buzzard directed the participants' attention to the NERC Antitrust Compliance Guidelines included in the advance agenda package and indicated that all questions regarding antitrust compliance or related matters should be directed to Ms. Rocha.

### **Minutes**

Upon motion duly made and seconded, the CC approved the February 15, 2023 Open Meeting minutes.

### **Report on Cold Weather Small Group Advisory Sessions**

Mr. Gugel provided a general overview of the purpose and history of small group advisory sessions, noting the focused sessions help to increase registered entities' awareness of Reliability Standards before they become enforceable and ensure BPS reliability. Mr. Gugel shared that in March 2023, NERC and the Regional Entities jointly conducted Cold Weather small group advisory sessions to discuss the cold weather preparedness Standards and possible compliance approaches. During the course of those discussions, the ERO Enterprise provided guidance on specific approaches for implementing Reliability Standards EOP-011-2, IRO-010-4, and TOP-003-5. Mr. Gugel noted that NERC encourages the dialogues that occurred in these sessions.

### **Other Matters and Adjournment**

There being no further business, the meeting was adjourned.

Submitted by,



Sônia Rocha  
Corporate Secretary