

## Open Meeting Minutes Compliance Committee

November 7, 2022 | 1:00 p.m. - 2:00 p.m. Eastern  
Virtual Meeting

Mr. Robin E. Manning, Chair, called to order the duly noticed meeting of the Board of Trustees Compliance Committee (CC or Committee) of the North American Electric Reliability Corporation (NERC) on November 7, 2022, at approximately 1:00 p.m. Eastern Time, and a quorum was declared present.

Present at the meeting were:

### Committee Members

Robin E. Manning, Chair

Jane Allen

Kenneth W. DeFontes, Jr., ex officio

George S. Hawkins

Susan Kelly

### Board of Trustees Members

Robert G. Clarke

Larry Irving

Jim Piro

James B. Robb, President and Chief Executive Officer

Colleen Sidford

### NERC Staff

Tina Buzzard, Assistant Corporate Secretary

Manny Cancel, Senior Vice President and CEO of the E-ISAC

Howard Gugel, Vice President, Engineering and Standards

Kelly Hanson, Senior Vice President, Chief Administrative Officer

Stan Hoptroff, Vice President, Business Technology

Kristin Iwanechko, MRC and ERO Enterprise Leadership Secretary

Mark Lauby, Senior Vice President and Chief Engineer

Kiel Lyons, Senior Manager, Compliance Assurance

James McGrane, Senior Counsel

Sônia Mendonça, Senior Vice President, General Counsel, and Corporate Secretary

Kristin Miller, Director, Internal Audit

Lonnie Ratliff, Director, Compliance Assurance & Certification

Janet Sena, Senior Vice President, External Affairs

Teri Stasko, Assistant General Counsel and Director of Enforcement

Jim Stuart, Associate Director, Registration Services

Mechelle Thomas, Vice President, Compliance

### Introduction and Chair's Remarks

Mr. Manning welcomed the members of the CC to the meeting. Mr. Manning provided a brief summary of the November 1, 2022 Committee closed meeting.

### **NERC Antitrust Compliance Guidelines**

Ms. Buzzard directed the participants' attention to the NERC Antitrust Compliance Guidelines included in the advance agenda package and indicated that all questions regarding antitrust compliance or related matters should be directed to Ms. Mendonça.

### **Minutes**

Upon motion duly made and seconded, the CC approved the February 9, 2022 Open Meeting minutes.

### **2023 CMEP Implementation Plan**

Mr. Lyons explained that the Compliance Monitoring and Enforcement (CMEP) Implementation Plan (CMEP IP) is the annual operating plan used by the ERO Enterprise in performing CMEP responsibilities and duties. He further explained that the risk elements identified in the CMEP IP serve as inputs in determining the appropriate monitoring of risks and related Reliability Standards and requirements in the Compliance Oversight Plan for each registered entity. Mr. Lyons noted that the 2023 risk elements are remote connectivity, supply chain, incident response, stability studies, inverter-based resources, Facility Ratings, and cold weather response.

### **ERO Enterprise Themes and Best Practices for Sustaining Accurate Facility Ratings**

Tim Ponseti, SERC Reliability Corporation, Vice President, Operations, presented on the *ERO Enterprise Themes and Best Practices for Sustaining Accurate Facility Ratings* report, explaining that the report is intended to aid stakeholders in strengthening the accuracy and sustainability of their facility ratings programs, thereby lessening facility ratings challenges and ensuring a more reliable and secure bulk power system. Mr. Ponseti noted that the report identifies four common themes that pose challenges to the sustainability of accurate Facility Ratings and provides potential best practices to address these themes and aid registered entities in strengthening their Facility Ratings programs. The four common themes are lack of awareness, inadequate asset and data management, inadequate change management, and inconsistent development and application of facility ratings methodologies.

### **Adjournment**

There being no further business, and upon motion duly made and seconded, the meeting was adjourned.

Submitted by,



Sônia Mendonça  
Corporate Secretary