

Draft Minutes Compliance Committee

February 6, 2019 | 9:30-10:30 a.m. Pacific

westdrift Manhattan Beach
1400 Parkview Avenue
Manhattan Beach, CA 90266

Janice B. Case, Chair, called to order the duly noticed meeting of the Board of Trustees Compliance Committee (BOTCC) of the North American Electric Reliability Corporation (NERC) on February 6, 2019, at approximately 9:30 a.m. Pacific, and a quorum was declared present.

Present at the meeting were:

Committee Members

Janice B. Case, Chair
Robert G. Clarke
Kenneth W. DeFontes, Jr.
Jan Schori
Roy Thilly

Board of Trustees Members

Frederick W. Gorbet
David Goulding
George S. Hawkins
Suzanne Keenan
Robin E. Manning
James B. Robb, President and Chief Executive Officer
Colleen Sidford

NERC Staff

Charles A. Berardesco, Senior Vice President, General Counsel, and Corporate Secretary
Tina Buzzard, Associate Director to Office of the President and Chief Executive Officer
Ed Kichline, Senior Counsel and Director of Enforcement Oversight
Mark Lauby, Senior Vice President and Chief Reliability Officer
Ken McIntyre, Vice President and Director of Regulatory Programs
Sônia Mendonça, Vice President, Deputy General Counsel, and Director of Enforcement
Steve Noess, Director of Compliance Assurance and Program Oversight
Janet Sena, Senior Vice President and Director of Policy and External Affairs

Introduction and Chair's Remarks

Ms. Case provided remarks regarding her time as Chair of the BOTCC. She recognized Electric Reliability Organization Enterprise Compliance Monitoring and Enforcement Program (CMEP) staff for successful implementation of the risk-based CMEP. She noted progress during her tenure on communication of risks, collaboration with the Federal Energy Regulatory Commission, and alignment of activities across the Regional Entities.

NERC Antitrust Compliance Guidelines

Ms. Case directed the participants' attention to the NERC Antitrust Compliance Guidelines.

Minutes

Upon motion duly made and seconded, the BOTCC approved the November 6, 2018, meeting minutes as presented.

Principles of CMEP Activities

Mr. Kichline presented on the principles that guide activities under the risk-based CMEP. Ms. Senk described the resolutions of high-risk enforcement matters, including mitigation, monetary penalties, non-monetary sanctions, and interaction between the registered entity and the Regional Entity to ensure sustainable compliance, reliability, and security.

CMEP Annual Report

Mr. Noess and Mr. Kichline presented on major CMEP activities in 2018, including the CMEP Technology Project, the Southwest Power Pool RE transition, program alignment, and continued focus on addressing the most significant risks to reliability.

Annual Review of BOTCC Mandate

Mr. Berardesco reported on the NERC Legal department's annual review of the BOTCC mandate. No changes were recommended.

Adjournment

There being no further business, and upon motion duly made and seconded, the meeting was adjourned.

Submitted by,



Charles A. Berardesco
Corporate Secretary