

**A. Introduction**

- 1. Title:**           **Transmission and Generation Protection System Maintenance and Testing**
  
- 2. Number:**       PRC-005-1
  
- 3. Purpose:**      To ensure all transmission and generation Protection Systems affecting the reliability of the Bulk Electric System (BES) are maintained and tested.
  
- 4. Applicability**
  - 4.1.** Transmission Owner.
  - 4.2.** Generator Owner.
  - 4.3.** Distribution Provider that owns a transmission Protection System.
  
- 5. Effective Date:**     May 1, 2006

**B. Requirements**

- R1.** Each Transmission Owner and any Distribution Provider that owns a transmission Protection System and each Generator Owner that owns a generation Protection System shall have a Protection System maintenance and testing program for Protection Systems that affect the reliability of the BES. The program shall include:
  - R1.1.** Maintenance and testing intervals and their basis.
  - R1.2.** Summary of maintenance and testing procedures.
  
- R2.** Each Transmission Owner and any Distribution Provider that owns a transmission Protection System and each Generator Owner that owns a generation Protection System shall provide documentation of its Protection System maintenance and testing program and the implementation of that program to its Regional Reliability Organization on request (within 30 calendar days). The documentation of the program implementation shall include:
  - R2.1.** Evidence Protection System devices were maintained and tested within the defined intervals.
  - R2.2.** Date each Protection System device was last tested/maintained.

**C. Measures**

- M1.** Each Transmission Owner and any Distribution Provider that owns a transmission Protection System and each Generator Owner that owns a generation Protection System that affects the reliability of the BES, shall have an associated Protection System maintenance and testing program as defined in Requirement 1.
  
- M2.** Each Transmission Owner and any Distribution Provider that owns a transmission Protection System and each Generator Owner that owns a generation Protection System that affects the reliability of the BES, shall have evidence it provided documentation of its associated Protection System maintenance and testing program and the implementation of its program as defined in Requirement 2.

**D. Compliance**

- 1. Compliance Monitoring Process**
  - 1.1. Compliance Monitoring Responsibility**

Regional Reliability Organization.

**1.2. Compliance Monitoring Period and Reset Time Frame**

One calendar year.

**1.3. Data Retention**

The Transmission Owner and any Distribution Provider that owns a transmission Protection System and each Generator Owner that owns a generation Protection System, shall retain evidence of the implementation of its Protection System maintenance and testing program for three years.

The Compliance Monitor shall retain any audit data for three years.

**1.4. Additional Compliance Information**

The Transmission Owner and any Distribution Provider that owns a transmission Protection System and the Generator Owner that owns a generation Protection System, shall each demonstrate compliance through self-certification or audit (periodic, as part of targeted monitoring or initiated by complaint or event), as determined by the Compliance Monitor.

**2. Levels of Non-Compliance**

**2.1. Level 1:** Documentation of the maintenance and testing program provided was incomplete as required in R1, but records indicate maintenance and testing did occur within the identified intervals for the portions of the program that were documented.

**2.2. Level 2:** Documentation of the maintenance and testing program provided was complete as required in R1, but records indicate that maintenance and testing did not occur within the defined intervals.

**2.3. Level 3:** Documentation of the maintenance and testing program provided was incomplete, and records indicate implementation of the documented portions of the maintenance and testing program did not occur within the identified intervals.

**2.4. Level 4:** Documentation of the maintenance and testing program, or its implementation, was not provided.

**E. Regional Differences**

None identified.

**Version History**

Version	Date	Action	Change Tracking
0	April 1, 2005	Effective Date	New
1	December 1, 2005	1. Changed incorrect use of certain hyphens (-) to “en dash” (–) and “em dash (—).” 2. Added “periods” to items where appropriate. 3. Changed “Timeframe” to “Time Frame” in item D, 1.2.	01/20/05