

# Key Compliance Trends (Item 3.a)

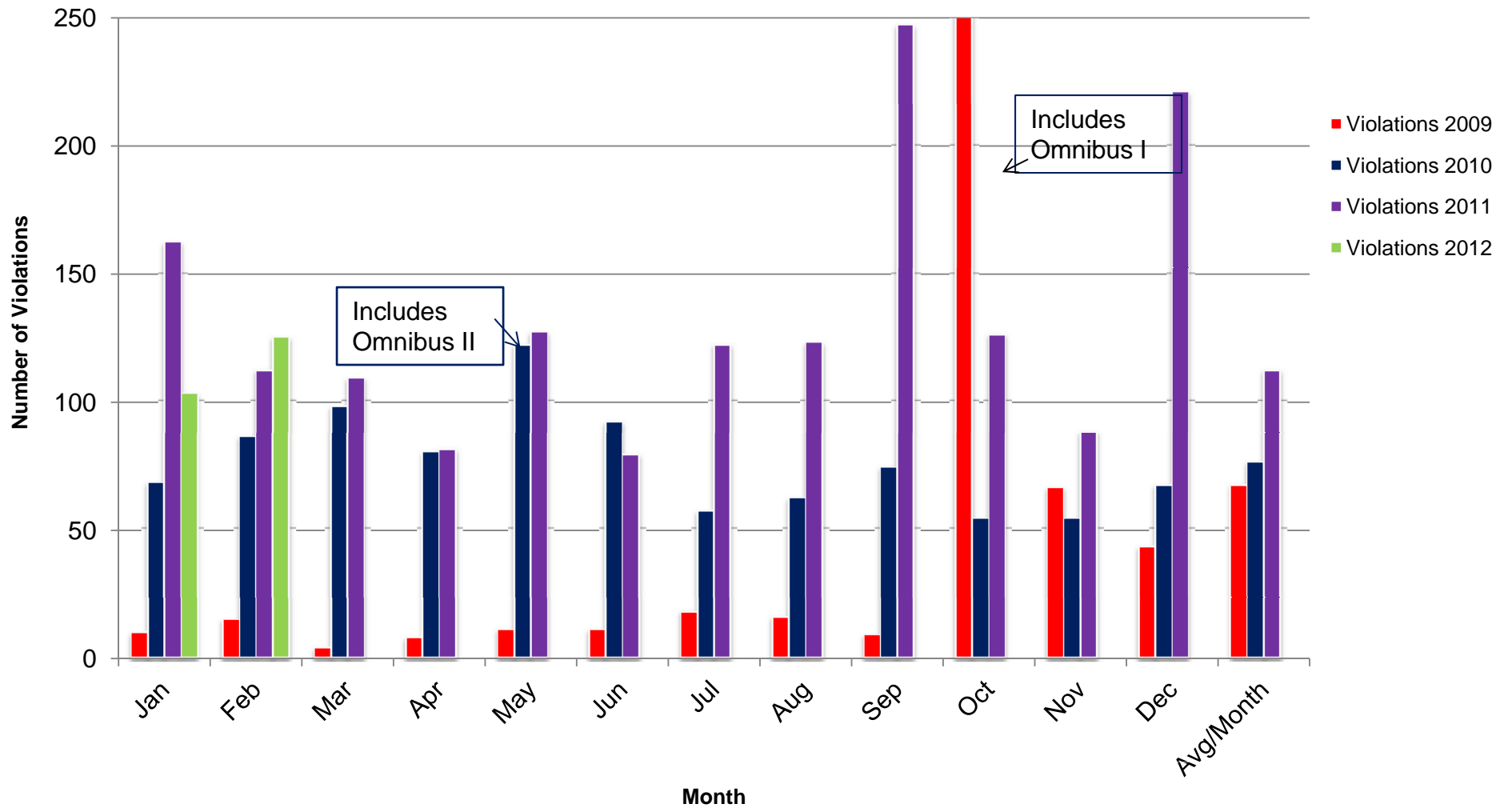
**RELIABILITY | ACCOUNTABILITY**



The number of new violations received in January was 545 and 131 in February

- January total of 129 FERC filings
  - 57 FFT violations
  - 72 violations (51 via spreadsheet NOPs and 21 via full NOPs)
- February total of 138 FERC filings
  - 46 FFT violations
  - 92 violations (63 via spreadsheet NOPs and 29 via full NOPs)
- Violation monthly receipt average
  - Last six months— 267 violations per month

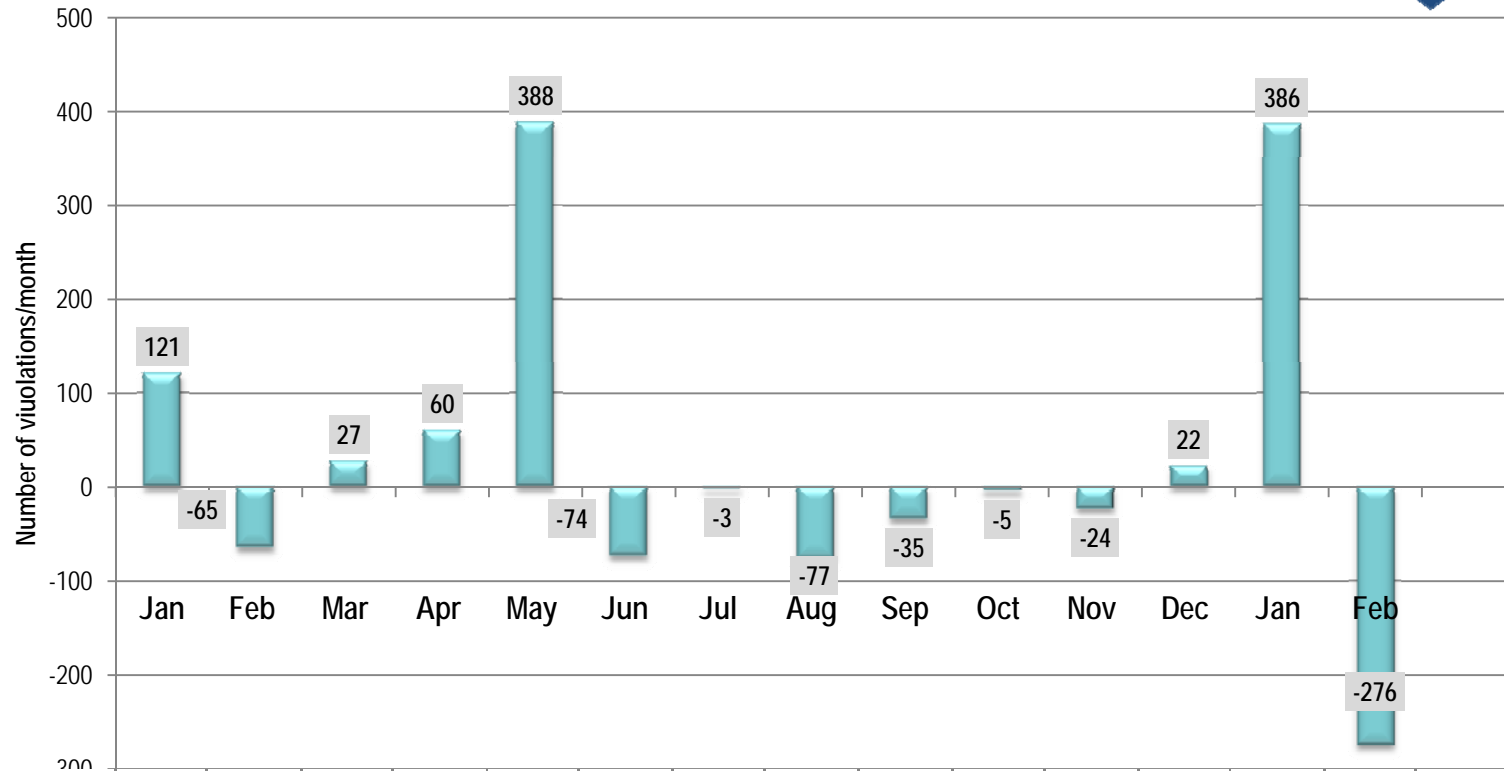
# Violations Approved by BOTCC



## Total Filed with FERC

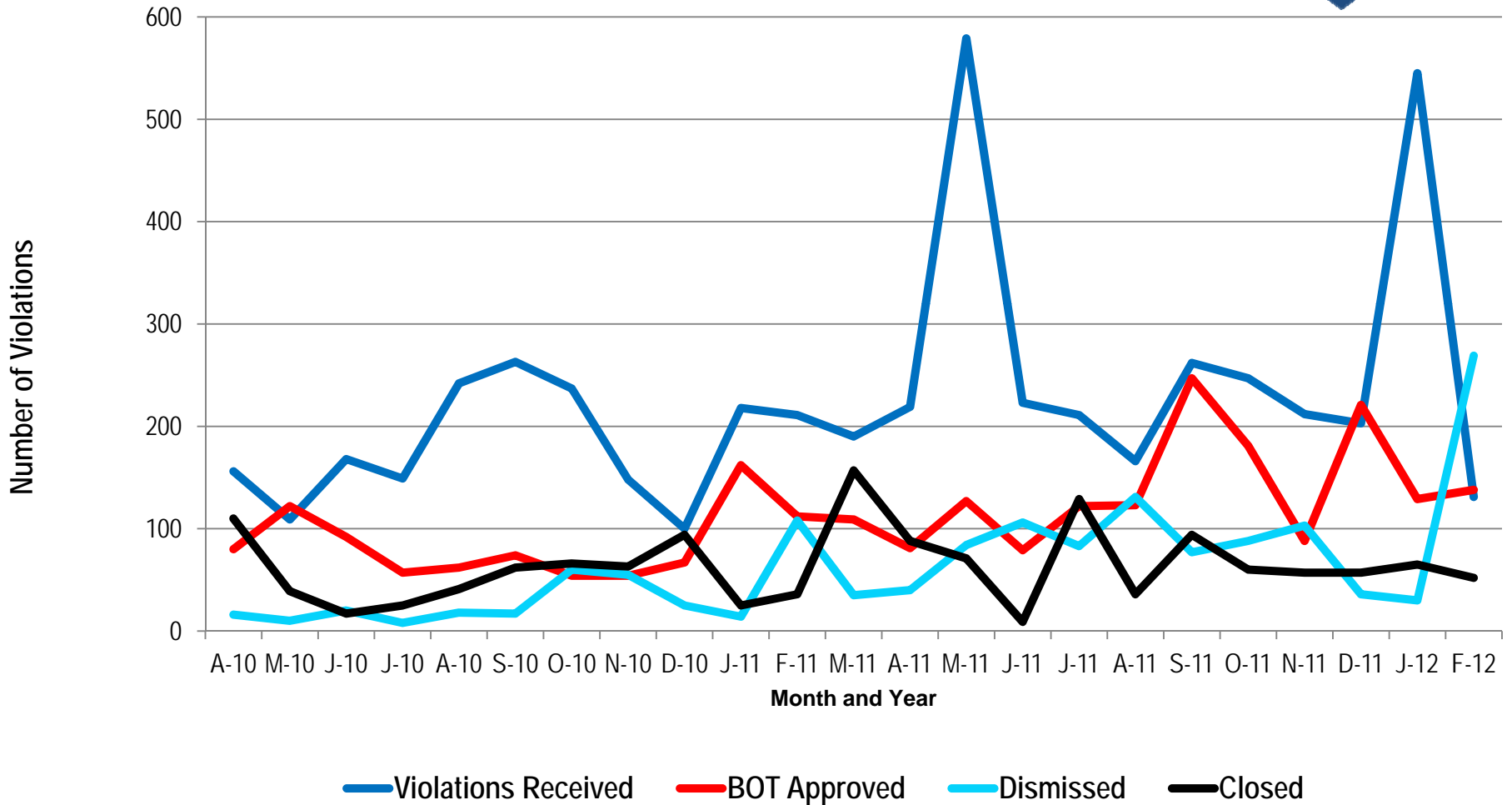
	<b>Full NOPs</b>	<b>SNOPs</b>	<b>FFTs</b>	<b>Total Filed</b>
<b>January</b>	21	51	57	129
<b>February</b>	29	63	46	138

# Violation Processing in 2011 and 2012



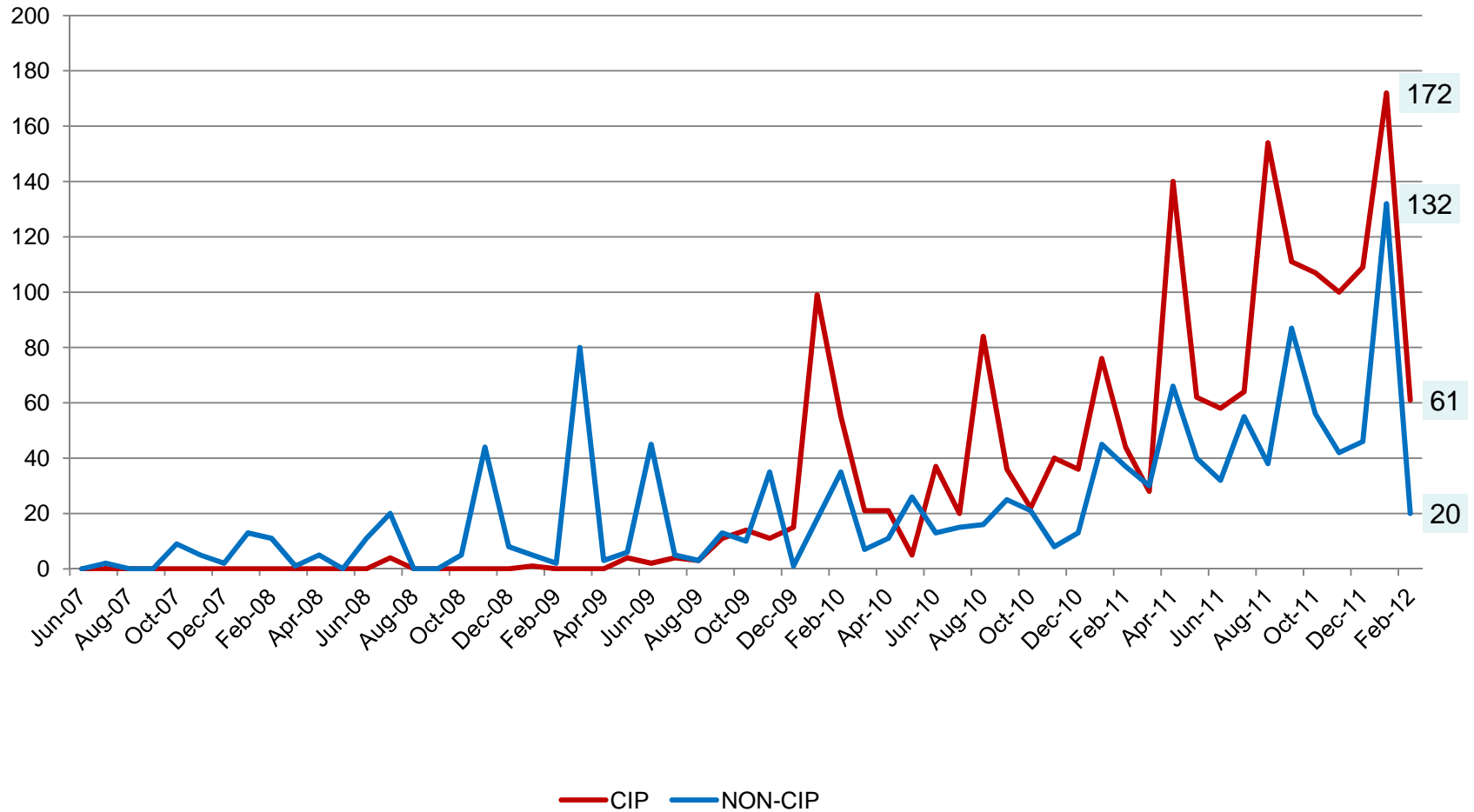
New	218	212	190	219	581	223	211	166	262	247	212	203	545	131	3620
Dismissed and Filed	97	277	163	159	193	297	214	243	297	252	236	181	159	407	3175

# Violation In/Out Trend



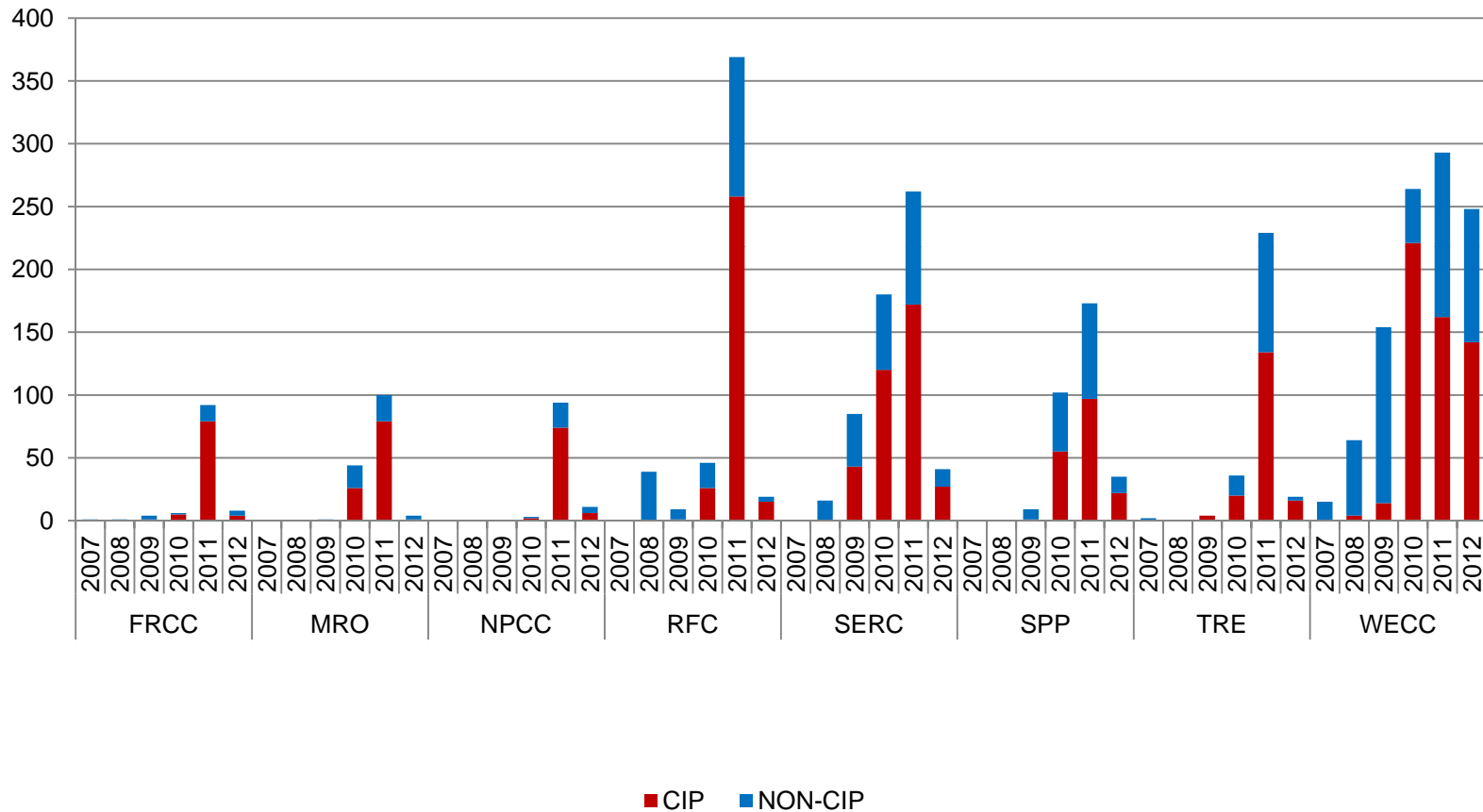
# NERC Work CIP and Non-CIP Violations- Based on Discovery Dates from June 2007 to February 2012

NERC Work Violations - 3109 total violations  
1831 CIP      1278 Non-CIP



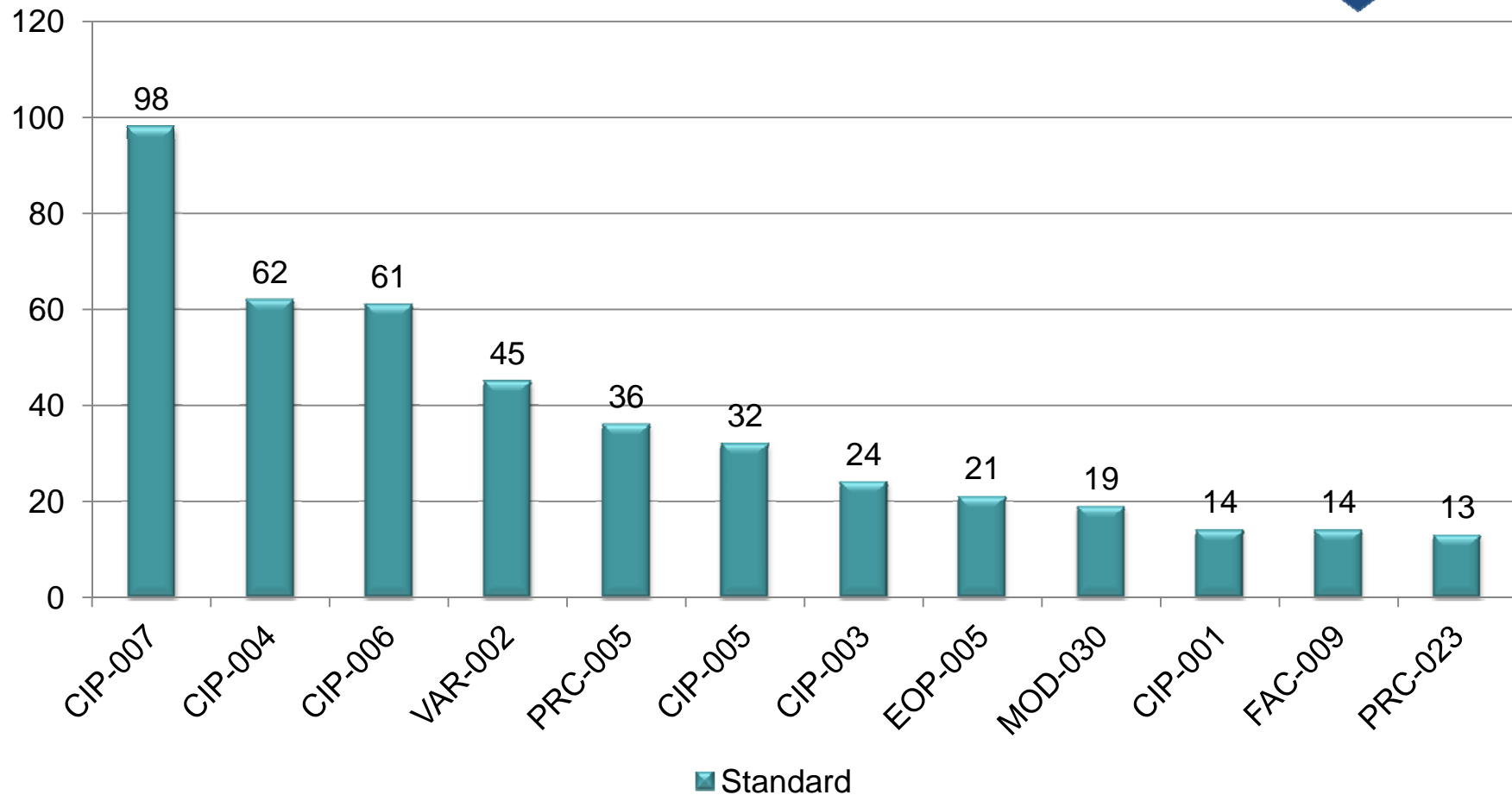
# NERC Work CIP and Non-CIP Violations by Region

NERC Work Violations for CIP and NON CIP from 2007 to February 2012





# Top 12 Enforceable Standards – Violated Active and Closed from March 1, 2011 to February 29, 2012



# Top 20 Enforceable Standards – Violated Active and Closed Violations thru February 29, 2012

