

NERC

NORTH AMERICAN ELECTRIC
RELIABILITY CORPORATION

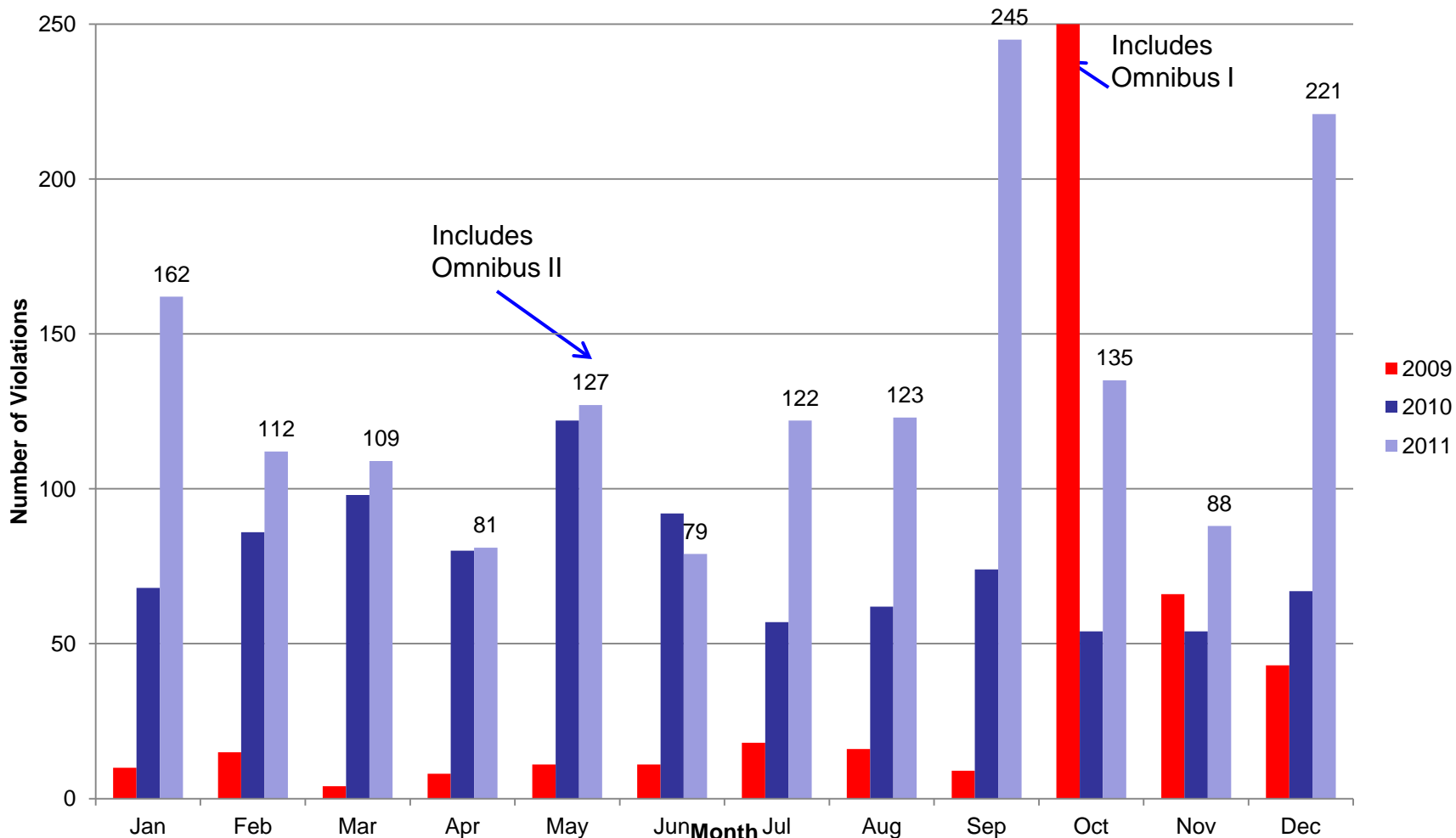
Key Compliance Trends (Item 2.a)

RELIABILITY | ACCOUNTABILITY



- The number of new violations received in December was 203
- December 30th FERC filing
 - 76 FFT violations
 - 69 violations (54 via spreadsheet NOPs and 15 via full NOPs)
- Violation monthly receipt average
 - Last six months— 217 violations per month
 - Overall 2011— 245
 - Overall 2010— 168

Violations Approved by BOTCC



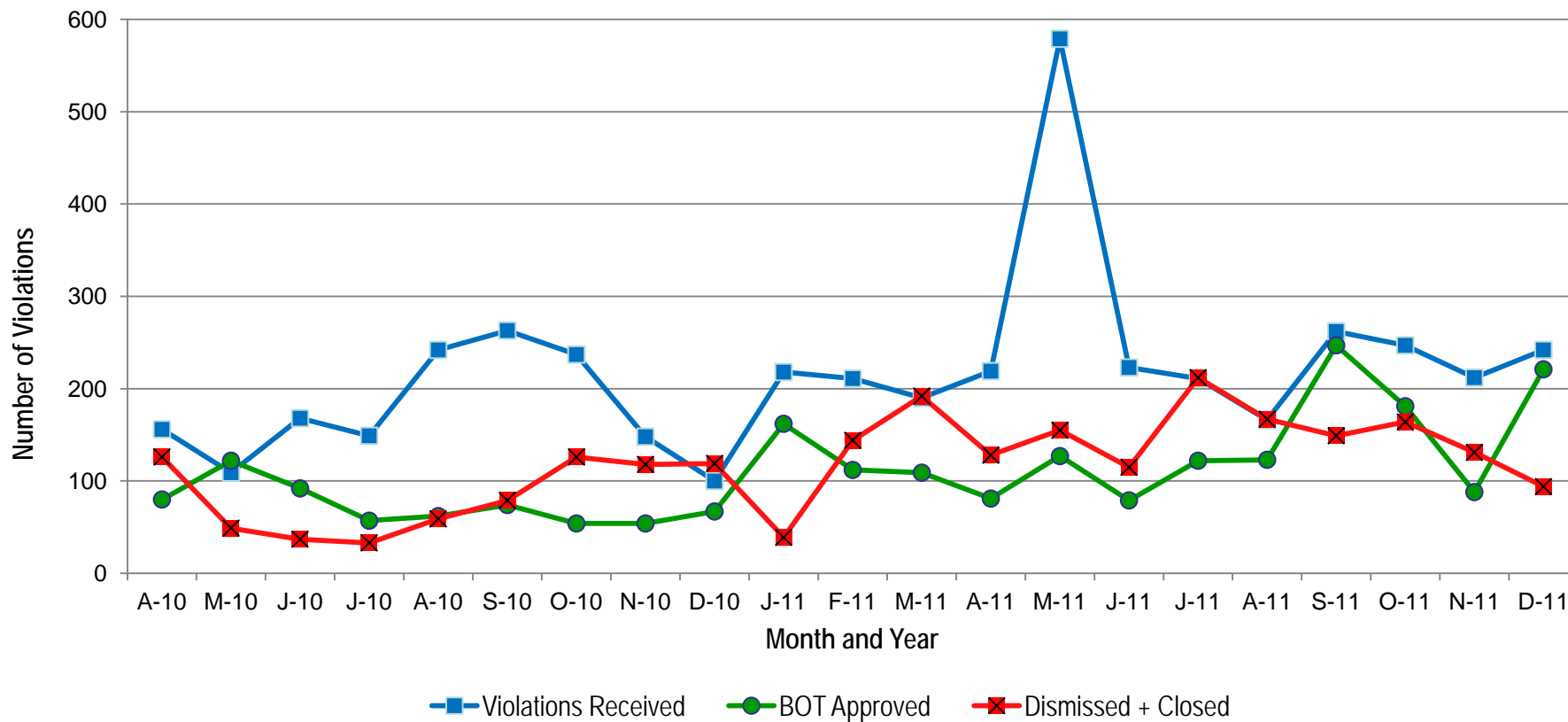
	Total Approved by the BOTCC		Total Filed with FERC
	NOP Violations	FFTs	
September	119	128	219
October	48	87	159
November	44	45	131
December	125	96	145

Total Approved by the BOTCC (NOP/FFT)

	September	October	November	December
FRCC	12/30	0/25	0/2	0/16
MRO	0/24	1/9	0/11	1/26
NPCC	0/2	0/4	0/7	7/0
RFC	58/8	15/17	29/14	21/19
SERC	0/22	0/2	0/3	2/2
SPP RE	8/24	0/8	0/6	12/3
TRE	0/12	0/13	0/1	17/10
WECC	41/6	32/9	15/1	59/1
NCEA	0/0	0/0	0/0	6/19

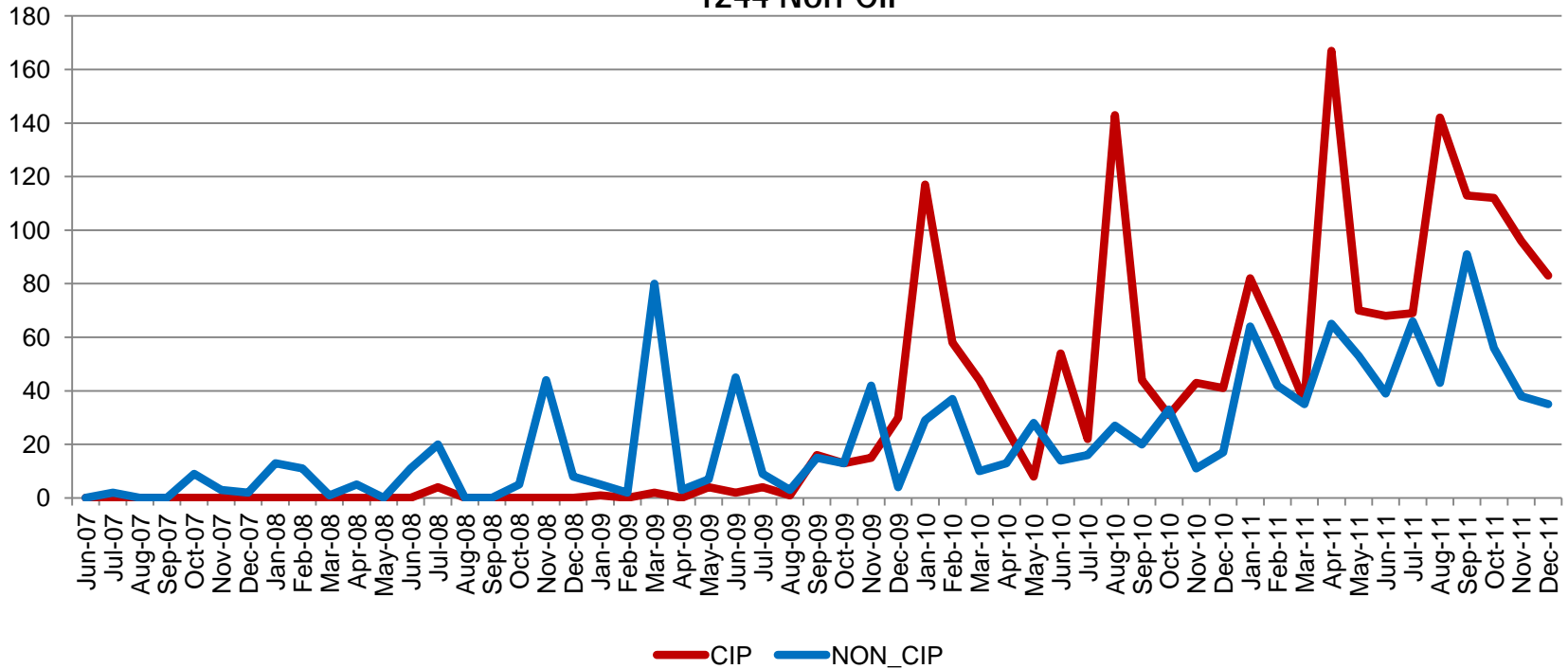
Violation Processing in 2011

	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Total
New	218	212	190	219	581	223	211	166	262	247	212	203	2944
Less Total Dismissed and Filed	97	277	163	159	193	297	214	243	274	263	205	182	2567
TOTAL	121	-65	27	60	388	-74	-3	-77	-12	-16	7	21	377

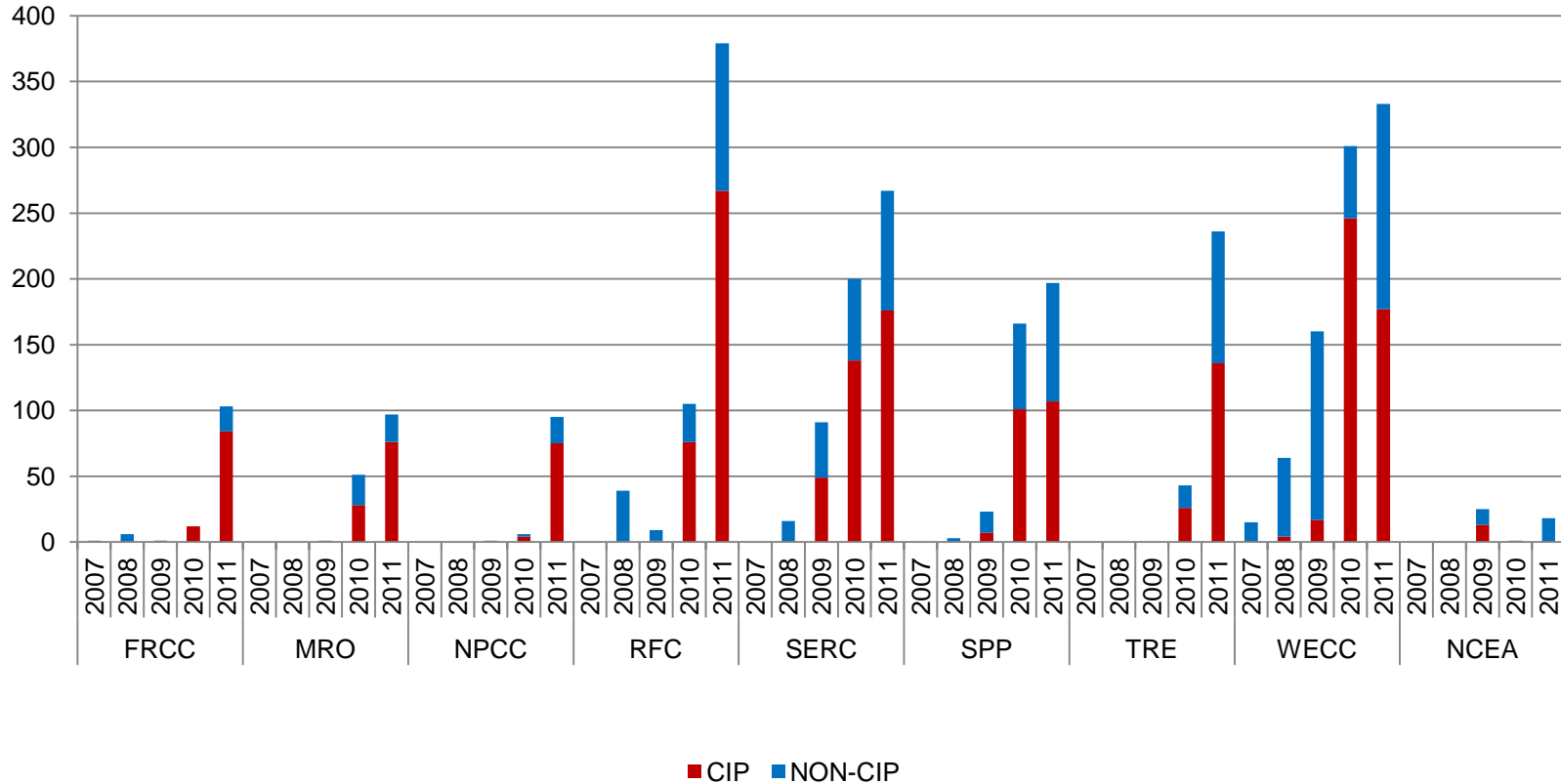


NERC Work CIP and Non-CIP Violations- Based on Discovery Dates from June 2007 to December 2011

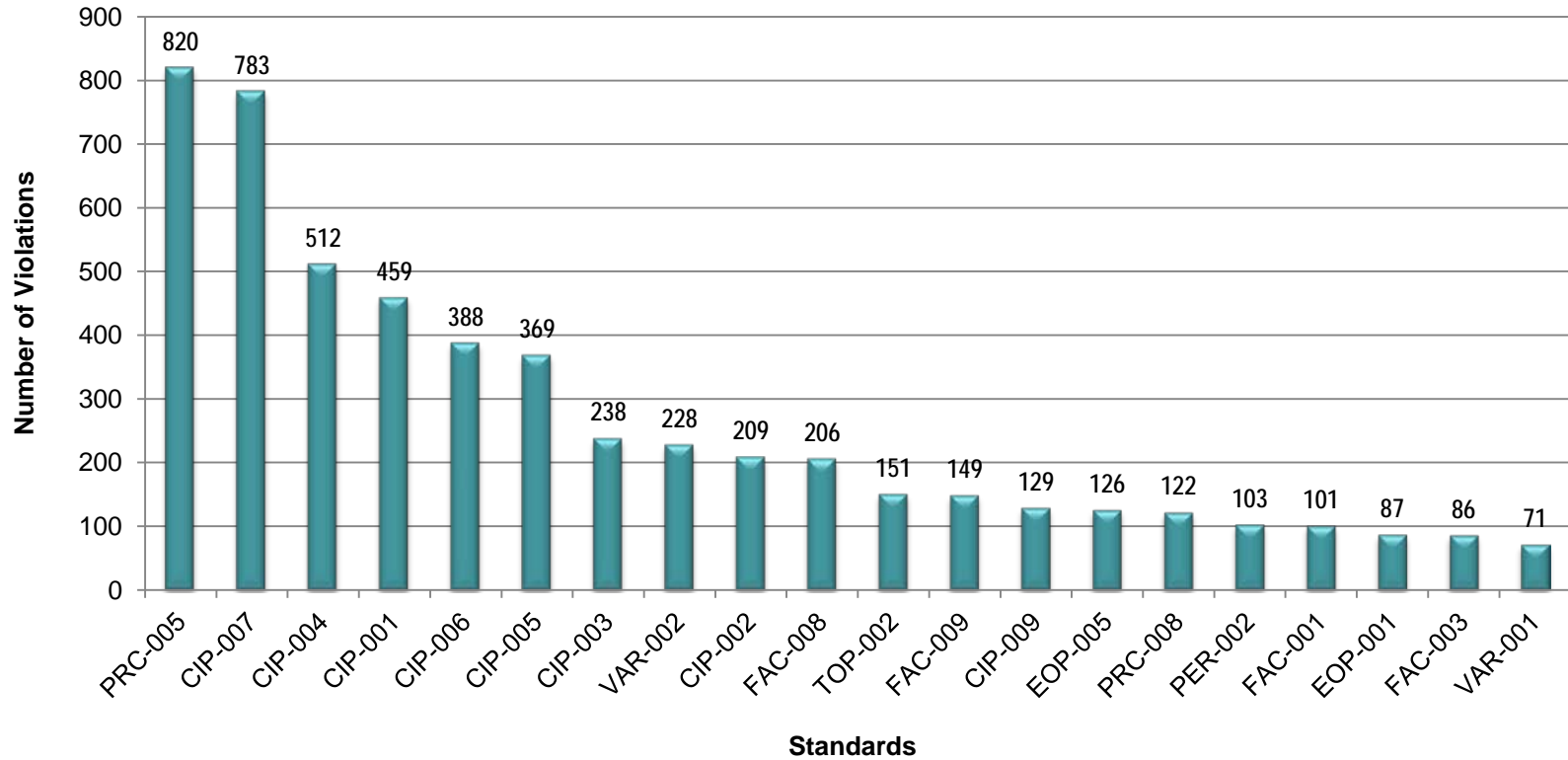
NERC Work Violations –3065 total violations
1821 CIP
1244 Non-CIP



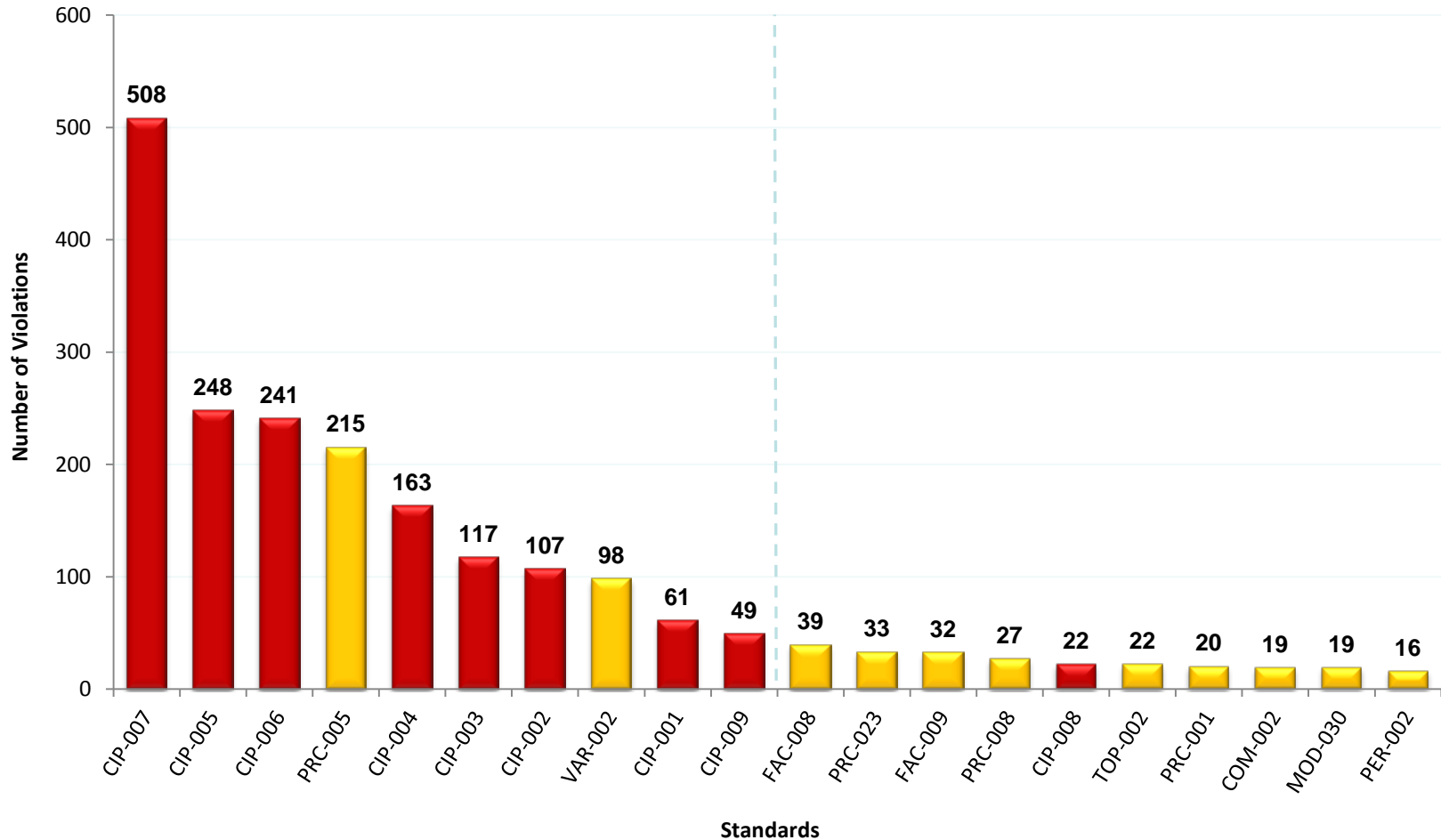
NERC Work Violations for CIP and NON CIP
from 2007 to December 2011



Top 20 Enforceable Standards – Violated Active and Closed Violations thru 12/31/11



12 Month Rolling Average Violations from 1/1/2011 to 12/31/2011



Analysis Complete: PRC-005, CIP-004, FAC-008, FAC-009, CIP-001, VAR-002, PER-002, CIP-006, CIP-007, EOP-005, TOP-002