

Key Compliance Trends

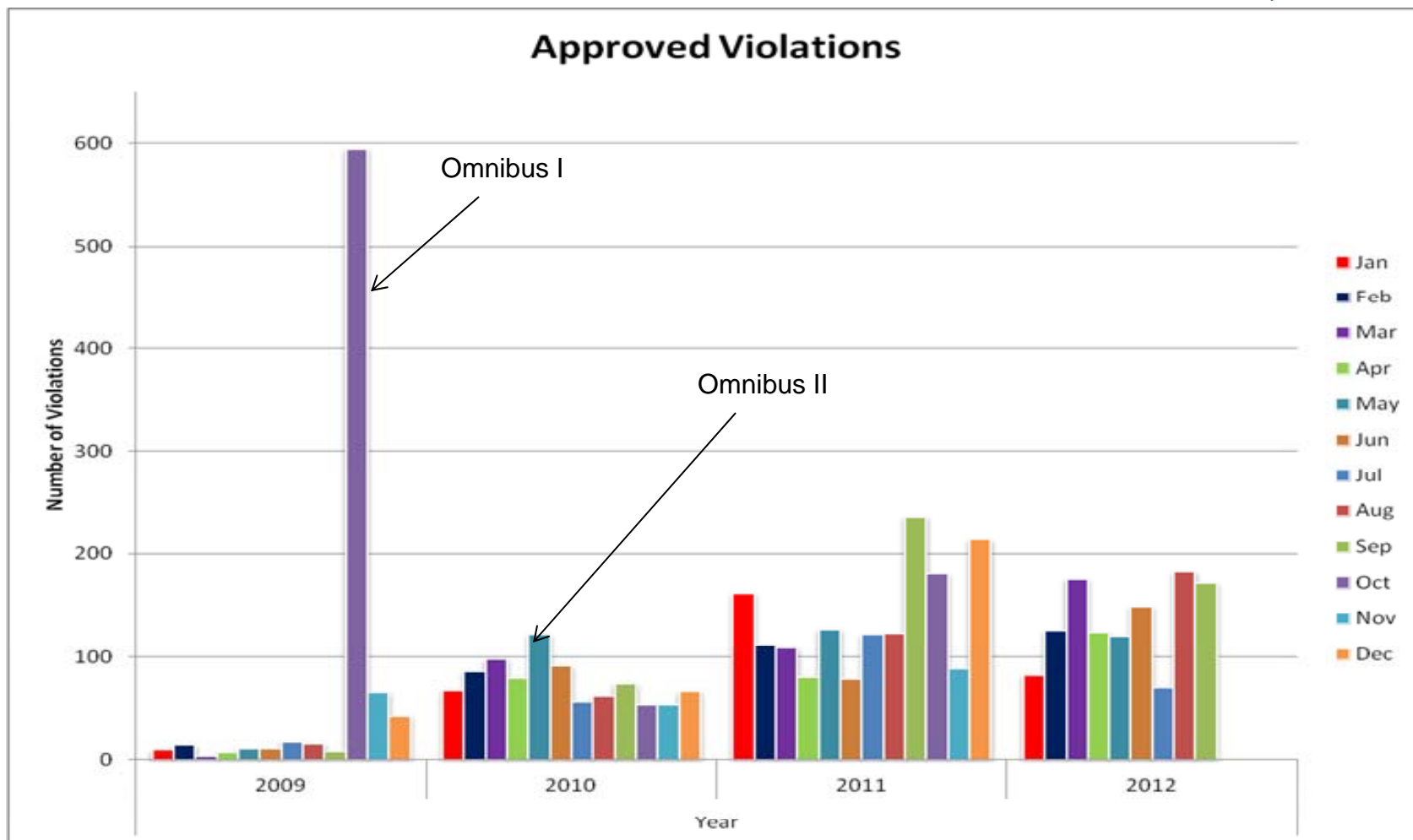
Item 2.a

October 10, 2012

RELIABILITY | ACCOUNTABILITY

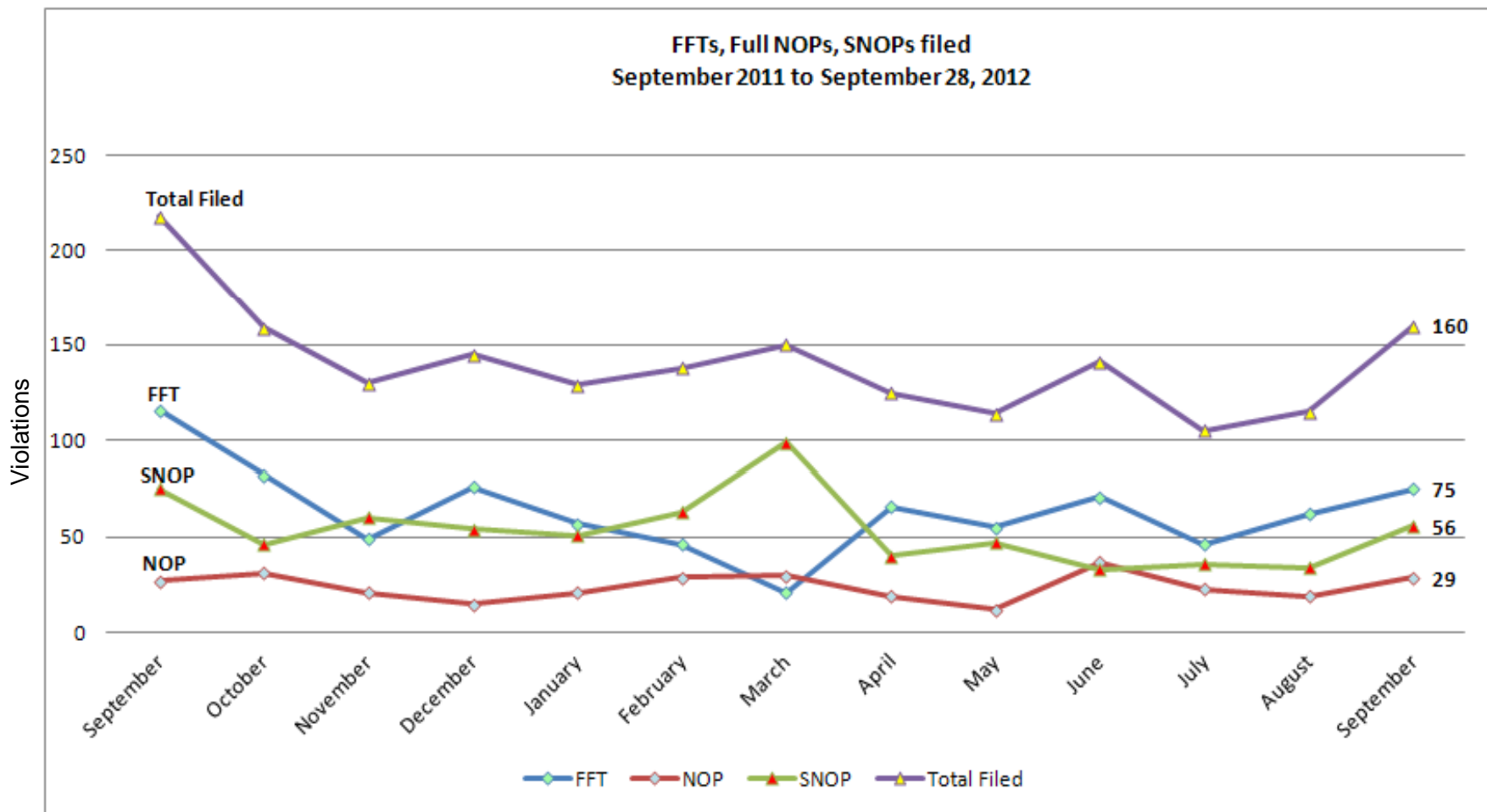


- 138 new violations received in September
- 160 items filed with FERC in September
 - 75 FFT remediated issues
 - 85 violations (56 via spreadsheet NOPs and 29 via full NOPs)
- Six-month violation receipt average (through September 28, 2012)
 - 174 violations per month

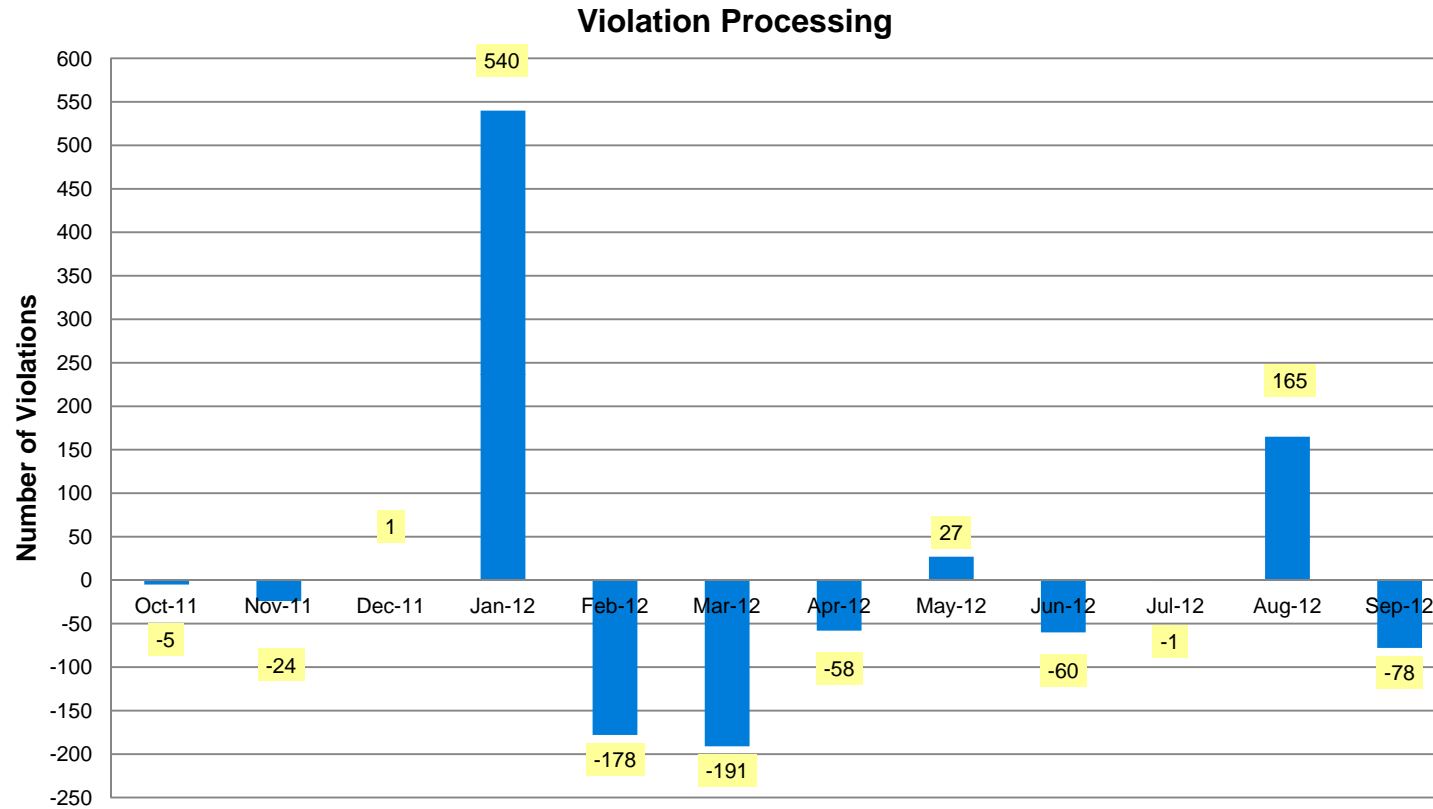


Includes FFTs and NOPs

CEI Processing Statistics



Violation Processing Within 12 Months



	Oct-11	Nov-11	Dec-11	Jan-12	Feb-12	Mar-12	Apr-12	May-12	Jun-12	Jul-12	Aug-12	Sep-12	For the Year 2012	End of year 2011
New	247	212	203	693	229	168	106	194	149	122	332	138	2131	2944
Dismissed	93	105	57	24	269	209	39	53	68	18	52	56	788	962
Filed	159	131	145	129	138	150	125	114	141	105	115	160	1177	1695
Total Dismissed and Filed	252	236	202	153	407	359	164	167	209	123	167	216	1965	2657
Violation Processing	-5	-24	1	540	-178	-191	-58	27	-60	-1	165	-78	166	287

- NERC Caseload Index--Total number of Active Violations divided by the sum of the 6-month average of number of violations filed with FERC plus the 6-month average number of dismissals
- Regional Caseload Index-- Total number of Active Violations divided by the sum of the 6-month average of number of violations filed with NERC plus the 6-month average number of dismissals
- Active violations include all violations as of September 28, 2012 that are not closed or dismissed. This includes violations that are being processed by NERC or have been sent to FERC and are awaiting closing actions

ERO Caseload Index

Rolling 6 Months Average Processing for April 1, 2012 to September 28, 2012

ERO Caseload Index – As of September 28, 2012 (Months)				
	Active Violations	Violations Filed with FERC (April 1, 2012 to September 28, 2012)	Total # of Dismissals (April 1, 2012 to September 28, 2012)	Caseload Index (Months)
ERO	3320	760	286	19.0*

•When violations already filed with FERC are excluded, the number of active violations equals 2973, and the Caseload Index is 17.1.

Regional Caseload Index

Rolling 6 Months Average Processing for April 1, 2012 to September 28, 2012

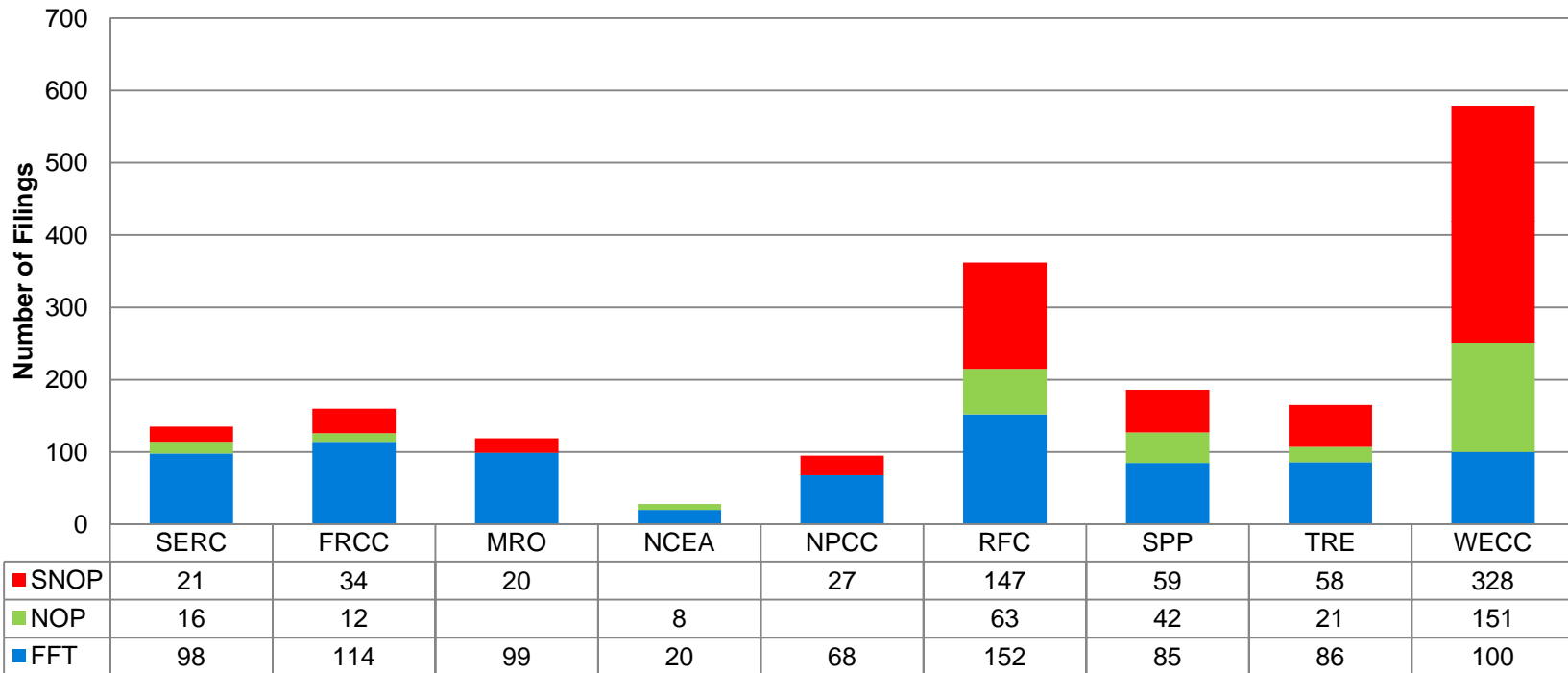
Regional Caseload Index –as of September 28, 2012 (months)

	Active Violations	Violations Filed with NERC (April 1, 2012 to September 28, 2012)	Total # of Dismissals (April 1, 2012 to September 28, 2012)	Caseload Index (Months)
FRCC	104	61	3	9.8
MRO	168	45	21	15.3
NPCC	215	73	29	12.6
RFC	763*	246	32	16.5
SERC	534	167	39	15.6
SPP RE	281*	104	28	12.8
TRE	374	85	9	23.9
WECC	663*	258	109	10.8

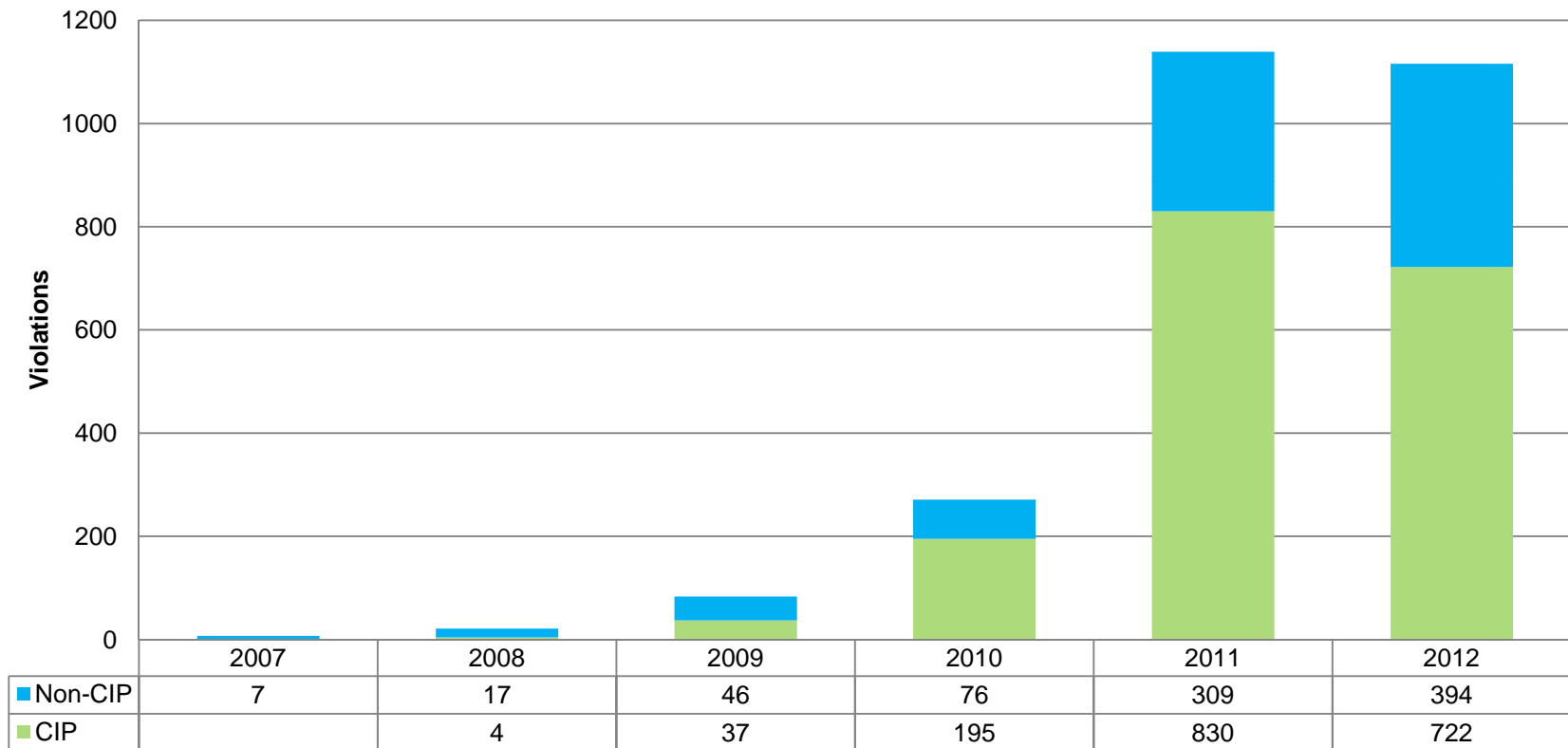
* Excludes 'On-hold' violations
RFC= 60, SPP-RE= 26, WECC= 212

FFTs/SNOPs/Full NOPs Filed by Region

**FFTs, SNOPs, and Full NOPs Filed
From September 2011 through September 28, 2012**

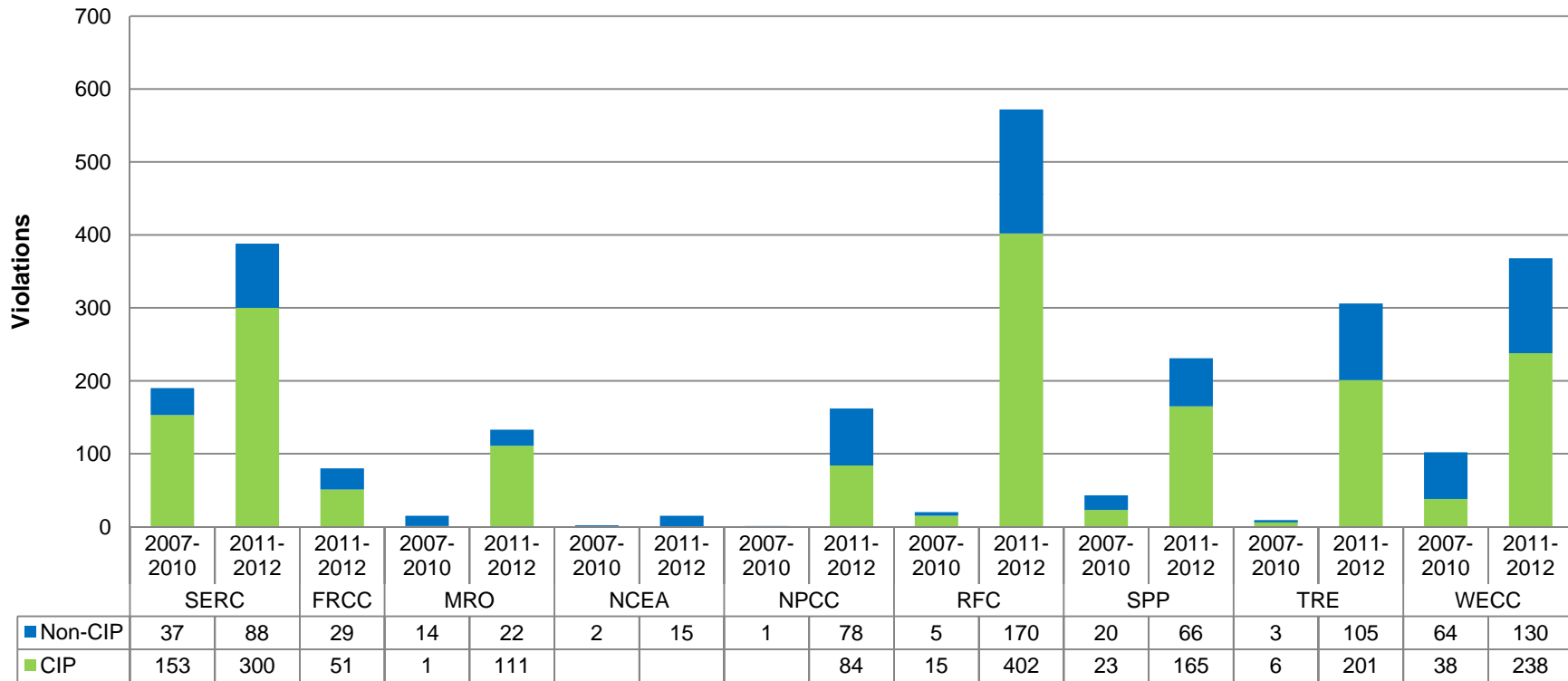


ERO Inventory CIP and Non-CIP Violations
Based on Discovery Dates from June 2007 to September 28, 2012
Reflects Violations for Federal Entities Being Processed



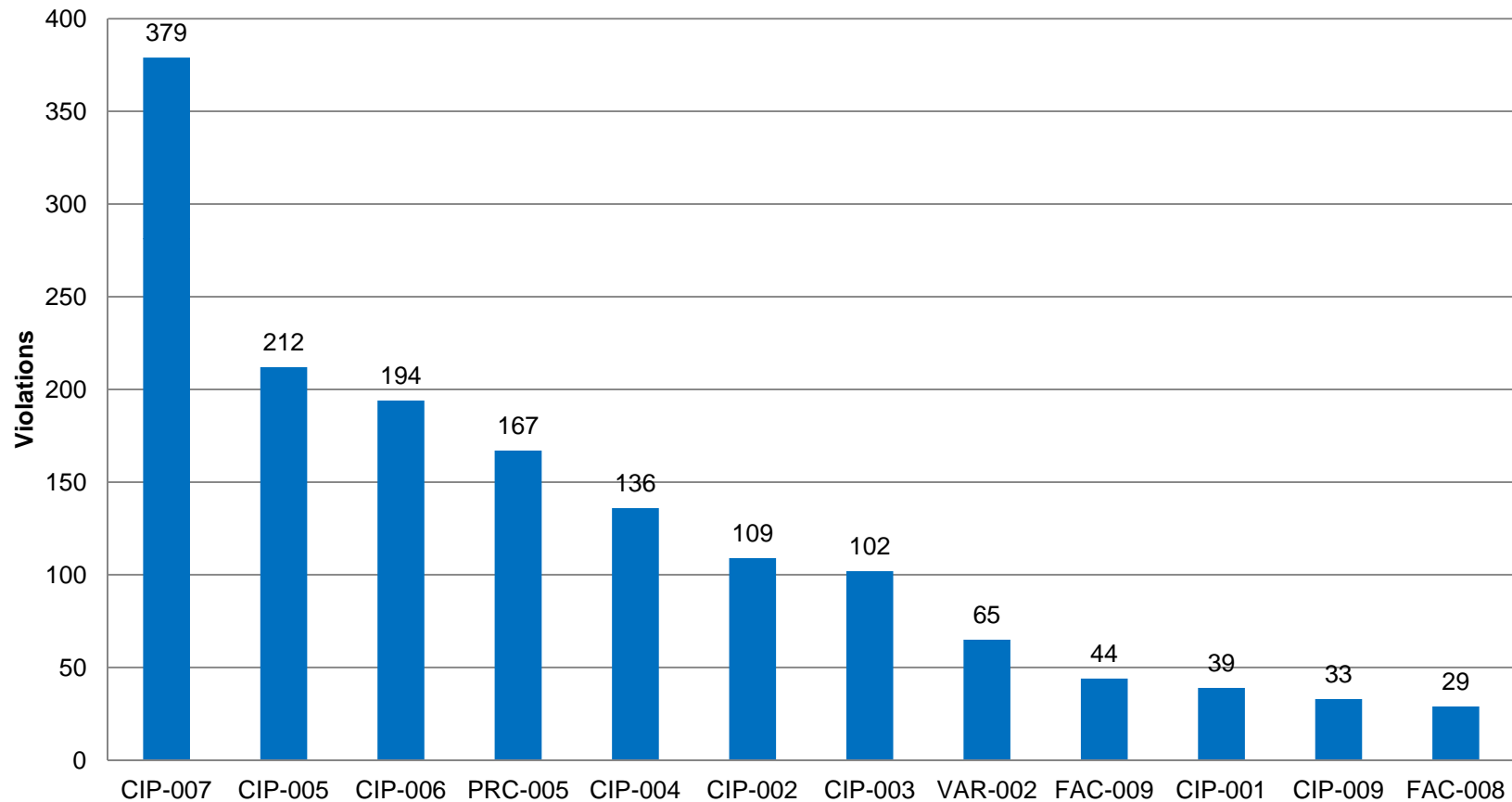
ERO Inventory Regional Breakdown CIP and Non-CIP Violations

**ERO Inventory Regional Breakdown CIP and Non-CIP Violations
Based on Discovery Dates from June 2007 to September 28, 2012
Reflects Violations for Federal Entities Being Processed**



Top 12 Enforceable Standards

**Top 12 Enforceable Standards Violated
(Active and Closed)
From October 2011 through September 28, 2012**



Top 20 Enforceable Standards

**Top 20 Enforceable Standards Violated
(Active and Closed)
From 2007 through September 28, 2012**

