

Key Compliance Trends

Item 2.a

July 10, 2012

RELIABILITY | ACCOUNTABILITY



149 new violations received in June

3 additional Canadian violations

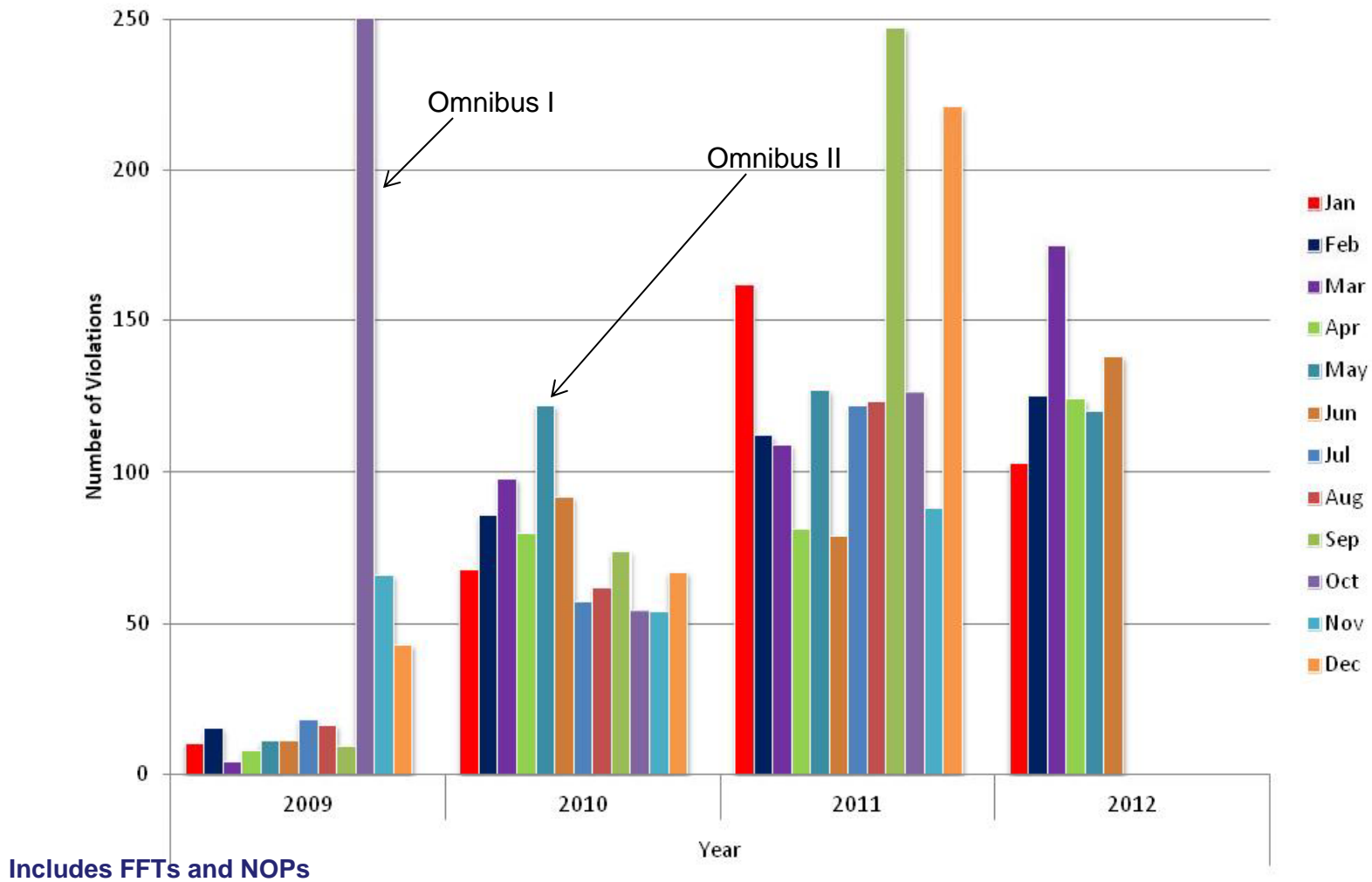
142 FERC filings in June

– 71 FFT remediated issues

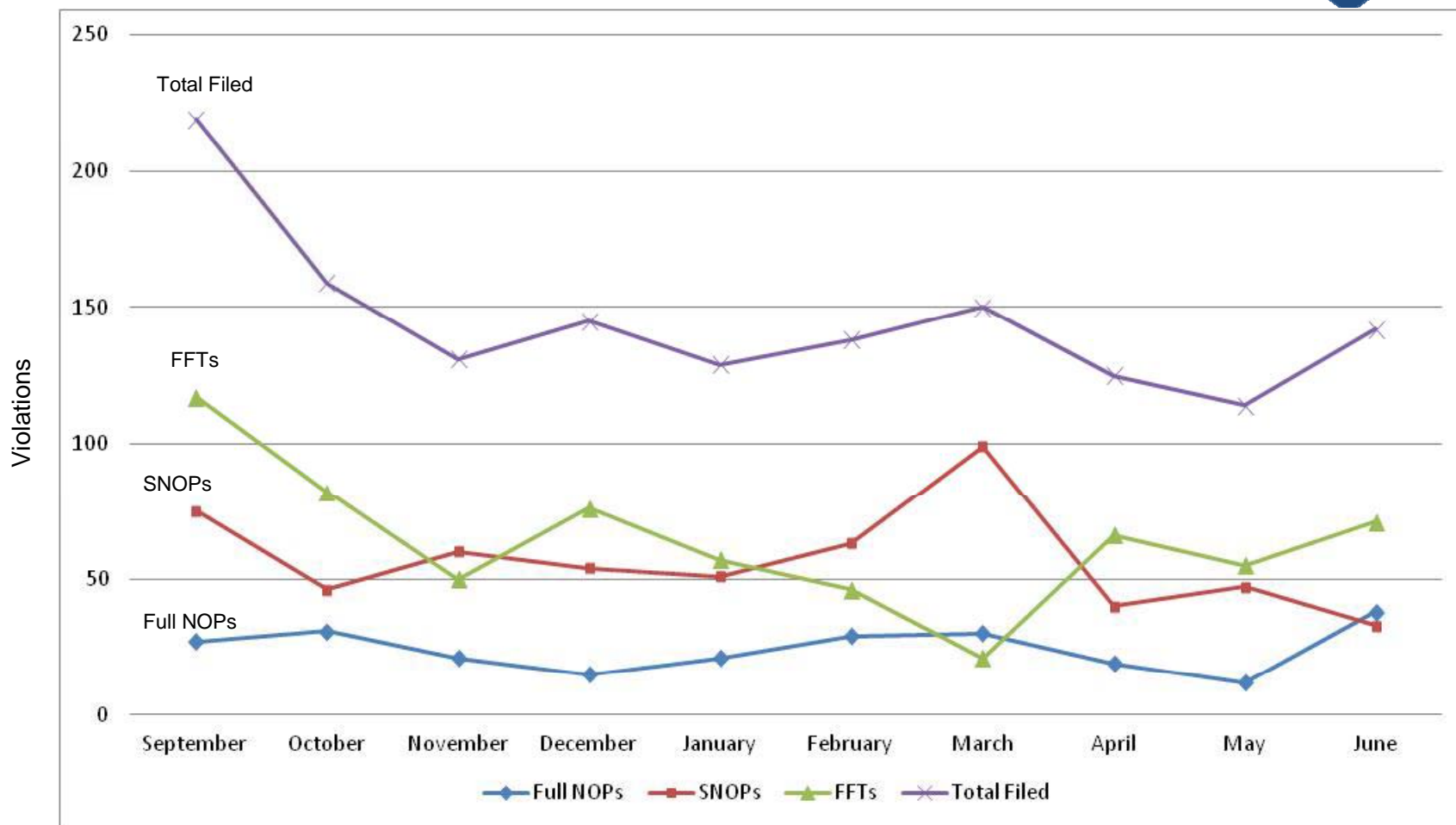
– 71 violations (33 via spreadsheet NOPs and
38 via full NOPs)

- Violation monthly receipt average

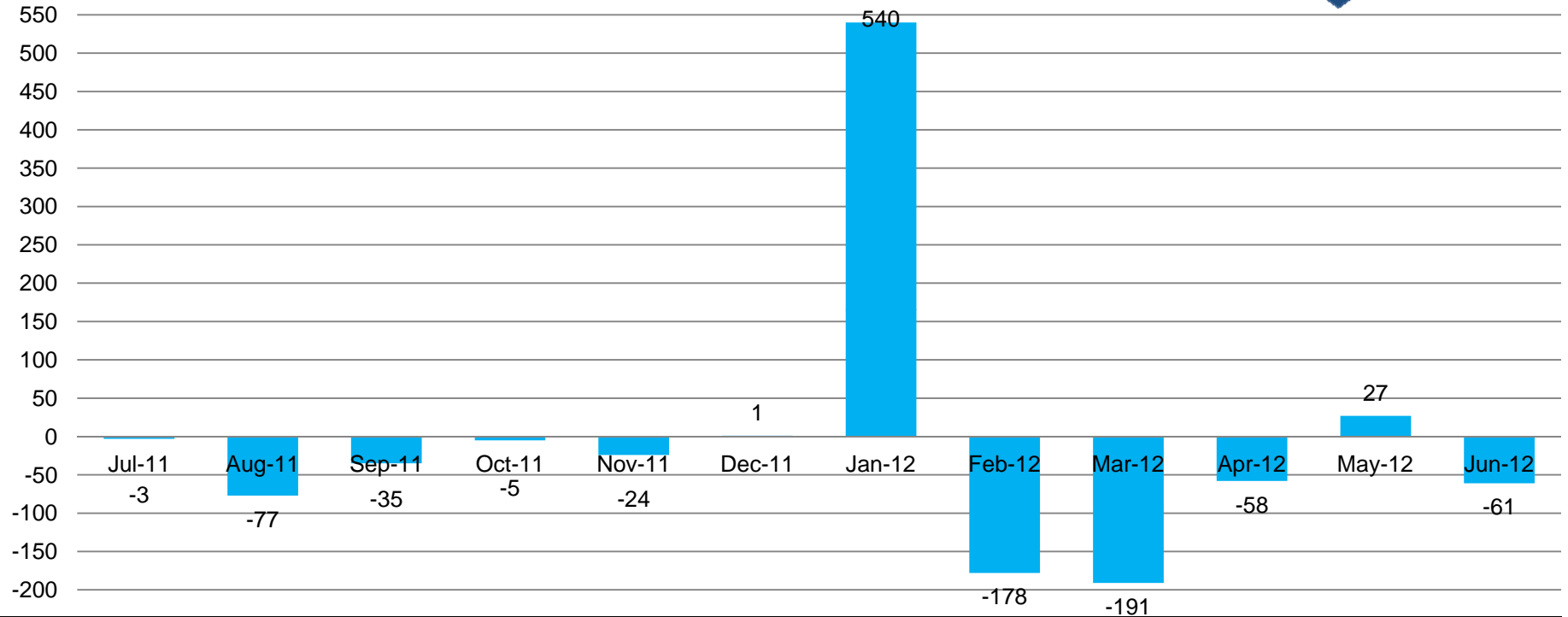
– Last six months— 257 violations per month



CEI Processing Statistics



Violation Processing Within 12 Months



	Jul-11	Aug-11	Sep-11	Oct-11	Nov-11	Dec-11	Jan-12	Feb-12	Mar-12	Apr-12	May-12	Jun-12
New	211	166	262	247	212	203	693	229	168	106	194	149
Dismissed	89	123	79	93	105	57	24	269	209	39	53	68
Filed	125	120	218	159	131	145	129	138	150	125	114	142
Total Dismissed and Filed	214	243	297	252	236	202	153	407	359	164	167	210
Violation Processing	-3	-77	-35	-5	-24	1	540	-178	-191	-58	27	-61

Caseload Index Description

- NERC Caseload Index--Total number of Active Violations divided by the sum of the 6-month average of number of violations filed with FERC plus the 6-month average number of dismissals
- Regional Caseload Index-- Total number of Active Violations divided by the sum of the 6-month average of number of violations filed with NERC plus the 6-month average number of dismissals
- Active violations include all violations as of June 30, 2012 that are not closed or dismissed. This includes violations that are being processed by NERC or have been sent to FERC and are awaiting closing actions

ERO Caseload Index

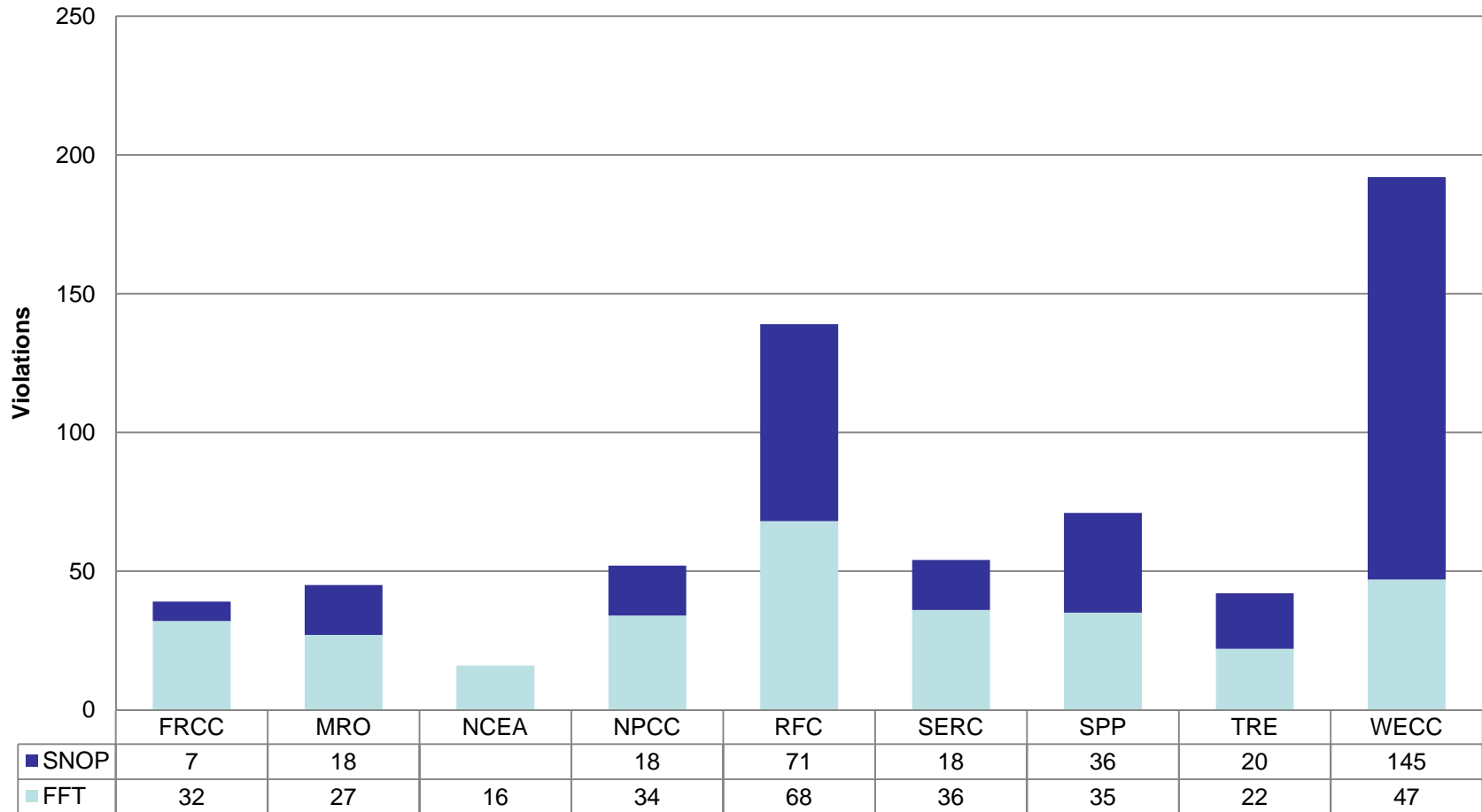
Rolling 6 Months Average Processing for January 1, 2012 to June 30, 2012

ERO Caseload Index – As of June 30, 2012 (Months)				
	Active Violations	Violations Filed with FERC (January 1, 2012 to June 30, 2012)	Total # of dismissals (January 1, 2012 to June 30, 2012)	Caseload Index (Months)
ERO	3693	798	662	15.2

Regional Caseload Index

Rolling 6 Months Average Processing for January 1, 2012 to June 30, 2012

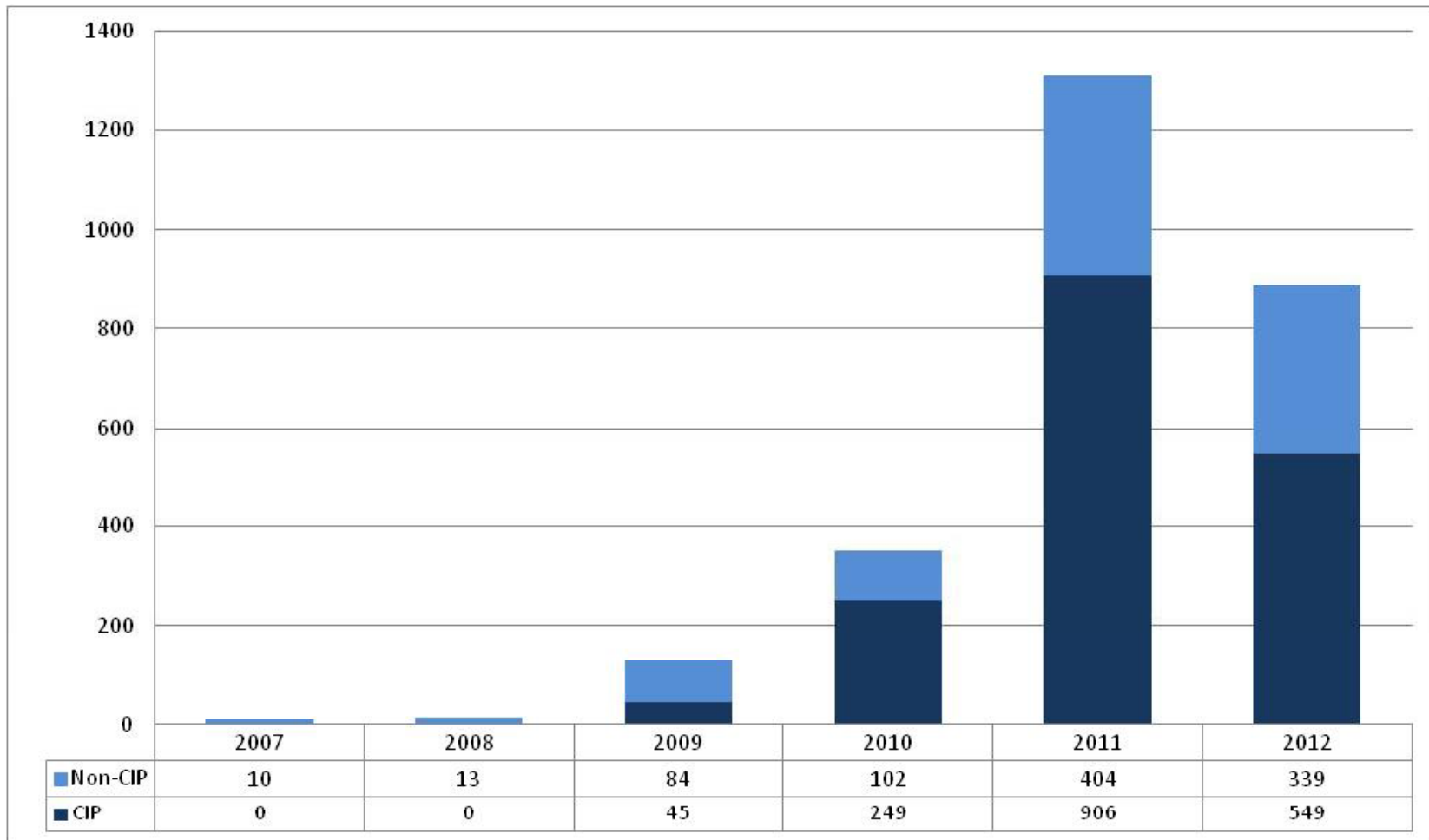
	Regional Caseload Index –as of June 30, 2012 (Months)			
	Active Violations	Violations Filed with NERC (January 1, 2012 to June 30, 2012)	Total # of Dismissals (January 1, 2012 to June 30, 2012)	Caseload Index (Months)
FRCC	95	39	7	12.4
MRO	186	46	40	13.0
NPCC	218	53	5	22.6
RFC	679	276	69	11.8
SERC	527 34 'On-hold' violations excluded	75	67	22.3
SPP RE	359	48	23	30.4
TRE	340	56	21	26.5
WECC	695 210 'On-hold' violations excluded	315	422	5.7



This data is for January 2012 through June 30, 2012

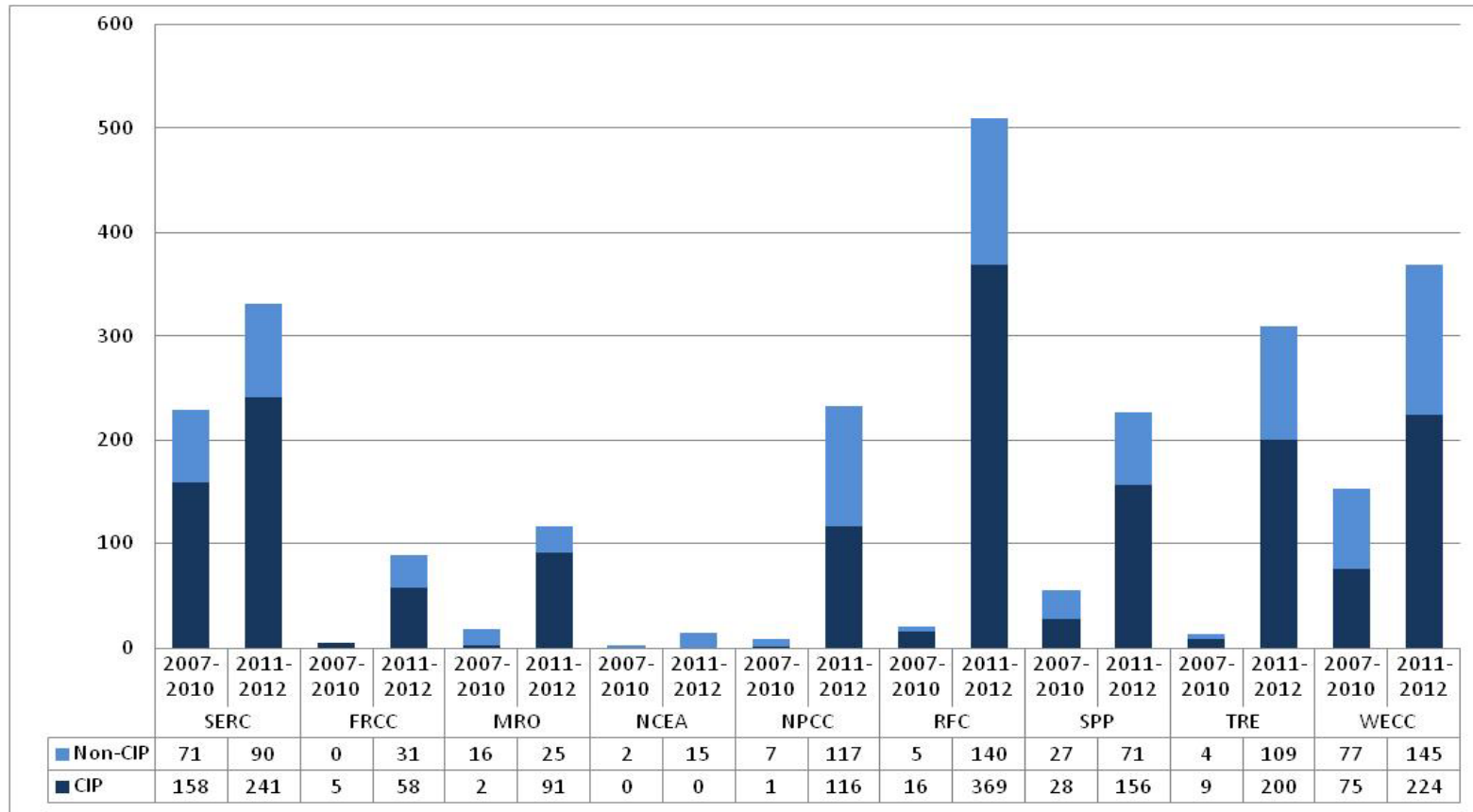
ERO Inventory CIP and Non-CIP Violations

Based on Discovery Dates from June 2007 to June 2012
Excludes Federal Entities



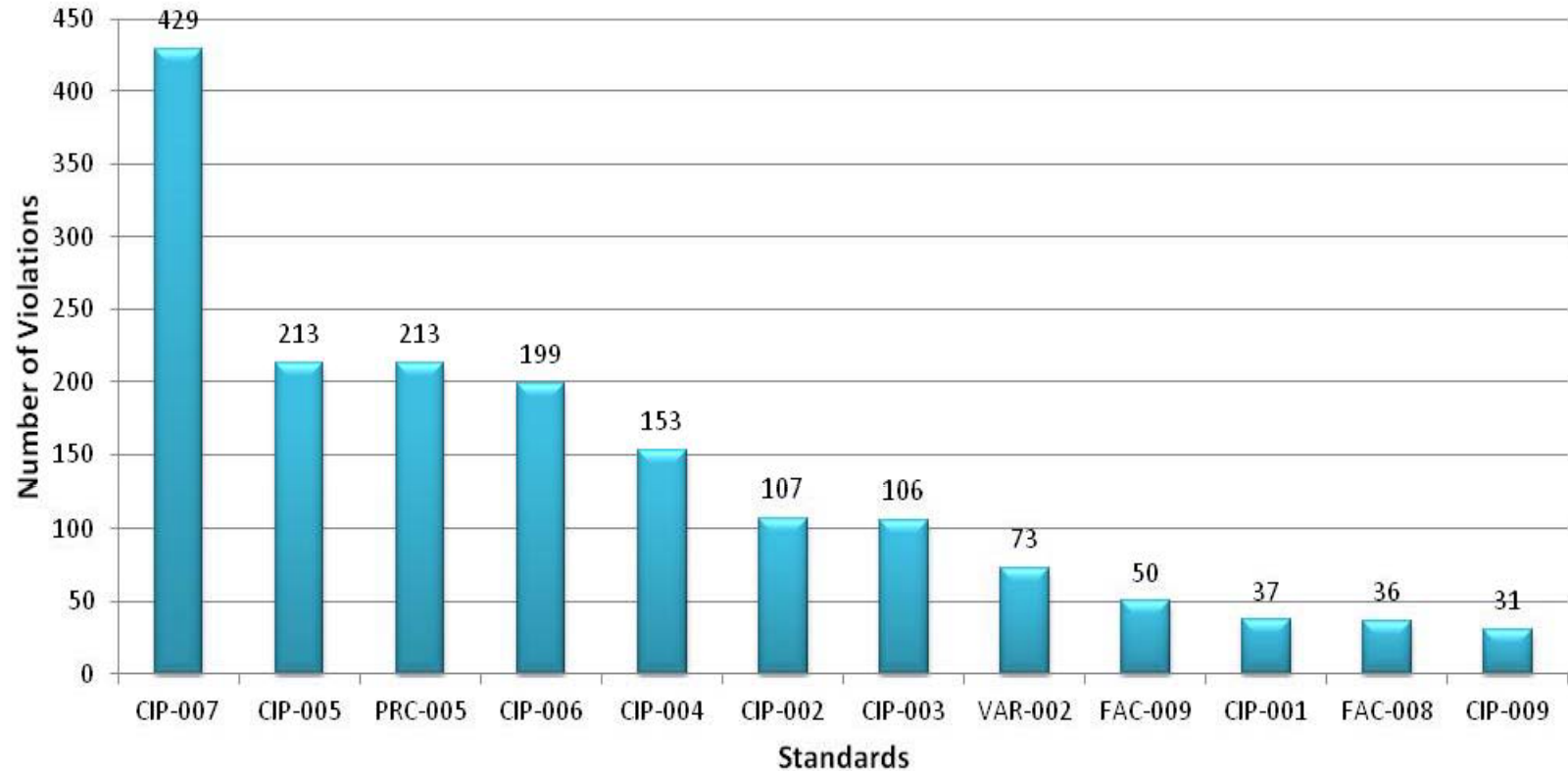
ERO Inventory Regional Breakdown CIP and Non-CIP Violations

Based on Discovery Dates from June 2007 to 2012
Excludes Federal Entities



Top 12 Enforceable Standards

July 1, 2011 to June 30, 2012



Top 20 Enforceable Standards

All Time Through June 30, 2012

