

NERC

NORTH AMERICAN ELECTRIC
RELIABILITY CORPORATION

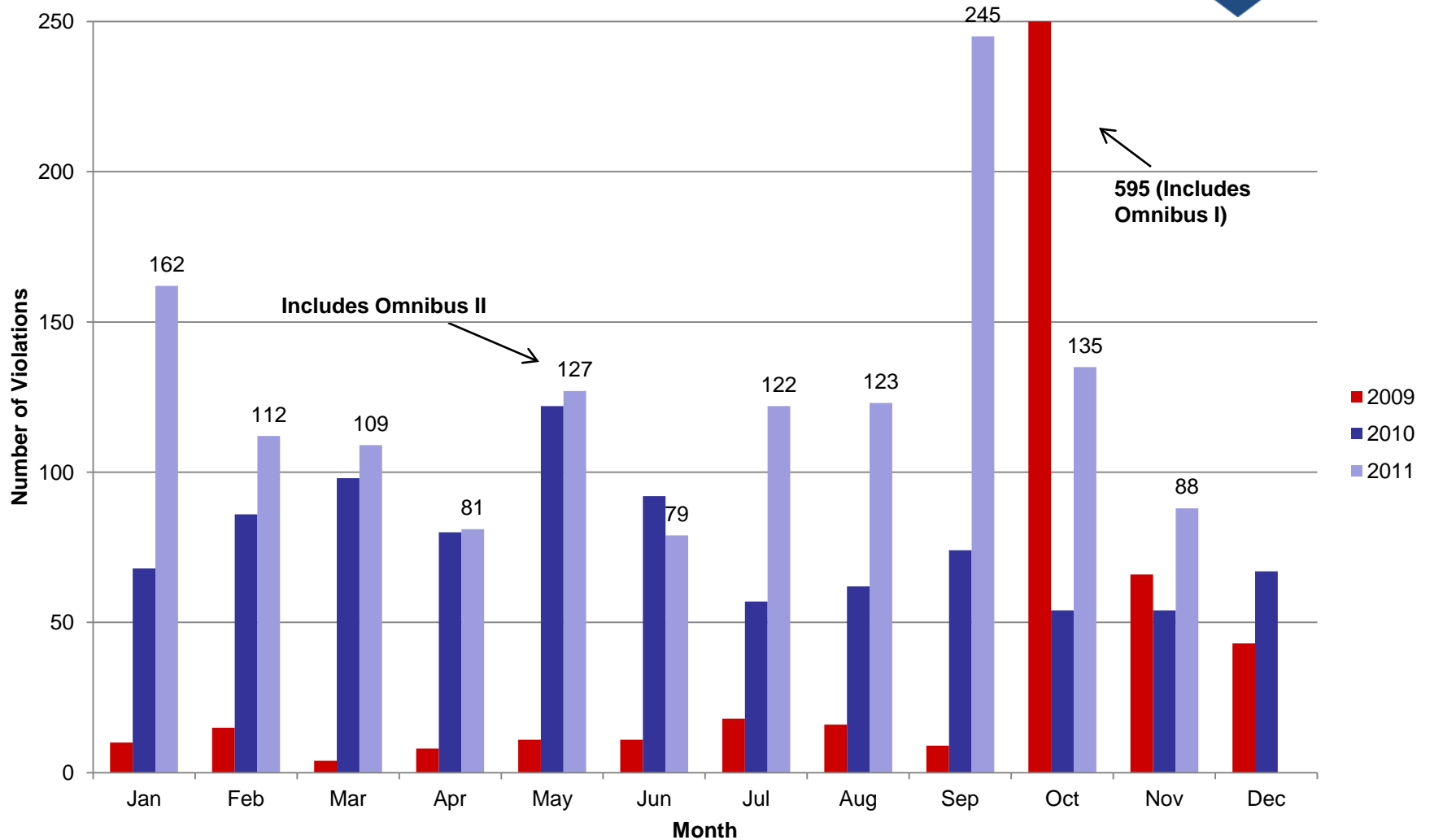
Key Compliance Trends (Item 3.a)

RELIABILITY | ACCOUNTABILITY



- The number of new violations received in November was 212; the six month average was 220.
- November 30th FERC filing
 - 50 FFT violations
 - 81 violations (60 via spreadsheet NOPs and 21 via full NOPs)
- 6 month violation receipt average (June 1, 2011 through November 30, 2011)-- 220 violations/month

Violations Approved by BOTCC



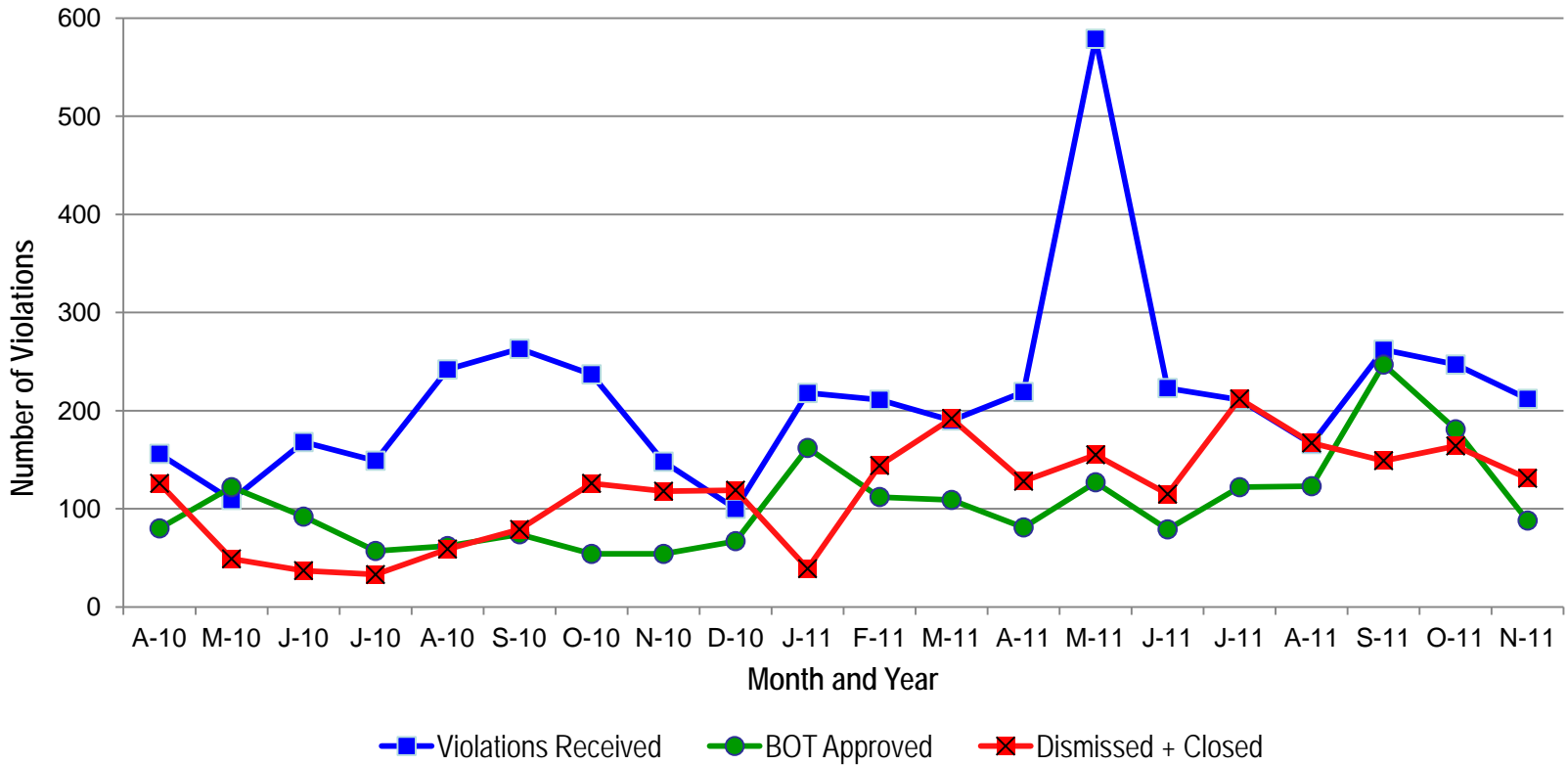
	Total Approved by the BOTCC		Total Filed
	NOP Violations	FFTs	with FERC
September	119	128	219
October	48	87	159
November	44	45	131

CEI Processing Statistics by Region

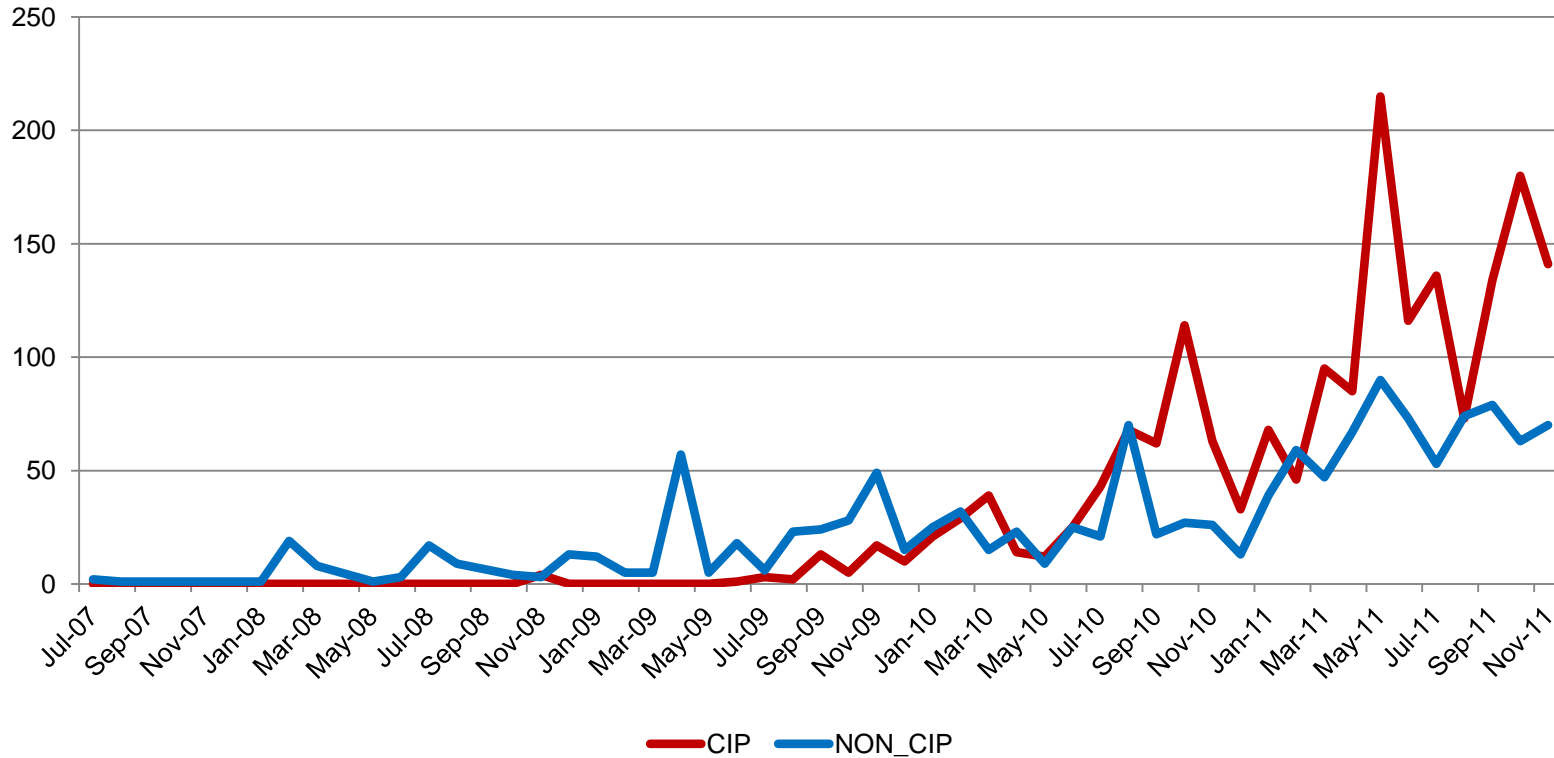
	Total Approved by the BOTCC (NOP/FFT)		
	September	October	November
FRCC	12/30	0/25	0/2
MRO	0/24	1/9	0/11
NPCC	0/2	0/4	0/7
RFC	58/8	15/17	29/14
SERC	0/22	0/2	0/3
SPP RE	8/24	0/8	0/6
TRE	0/12	0/13	0/1
WECC	41/6	32/9	15/1
NCEA	0/0	0/0	0/0

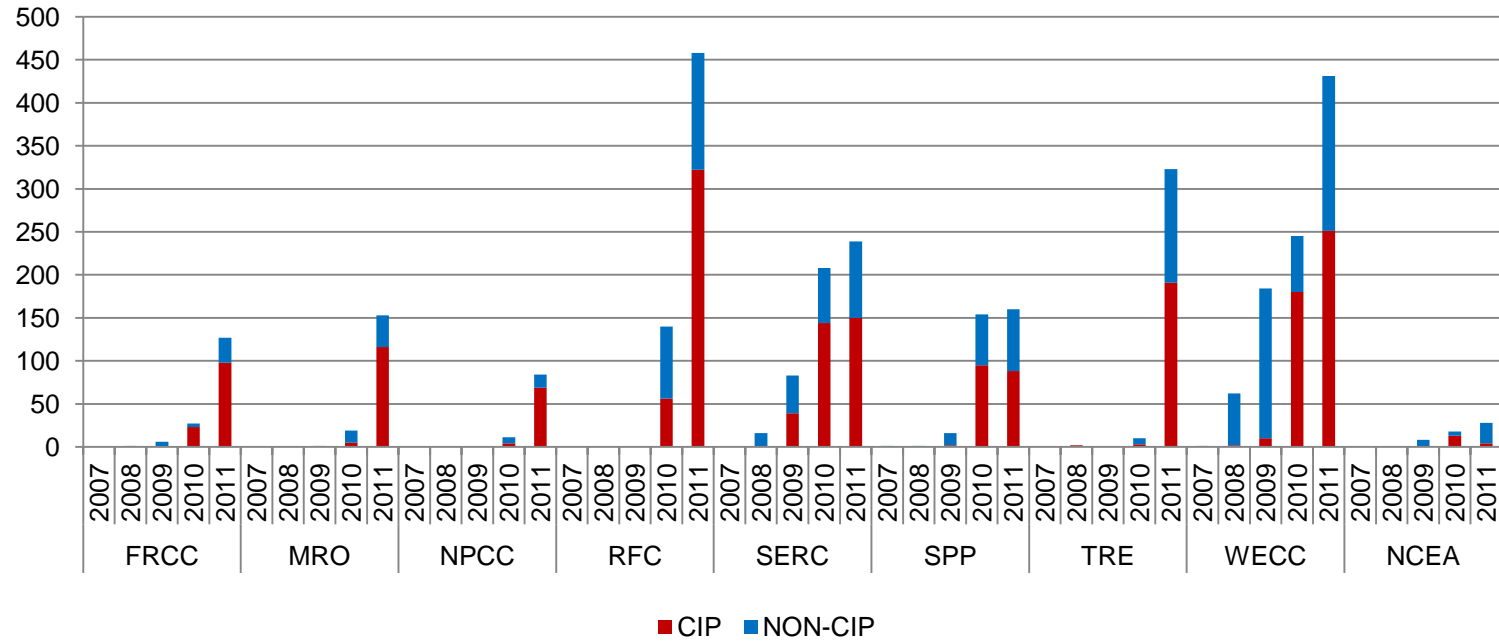
Violation Processing in 2011

	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov		Total
New	218	212	190	219	581	223	211	166	262	247	212		2741
Less Total Dismissed and Filed	97	277	163	159	193	297	214	243	274	263	205		2385
TOTAL	121	-65	27	60	388	-74	-3	-77	-12	-16	7		356



NERC Work CIP and Non-CIP Violations- Based on Submission Dates from June 2007 to November 2011





Top 20 Enforceable Standards – Violated Active and Closed Violations thru 11/30/11

