

NERC Compliance Process Bulletin #2011-002 Timing of Audit Notifications

Posted: June 17, 2011

Background

The purpose of this Bulletin is to provide transparency to industry regarding the ERO's establishment of consistency for timing of audit notifications to registered entities. The NERC Compliance Monitoring and Enforcement Program (CMEP), in section 3.1.1 Compliance Audit Process Steps, currently requires "at least two months" notice of upcoming audits¹. However, the number of days' notice provided to registered entities differed from Region to Region.

ERO Consensus

Regions have collectively agreed to give at least 90 days' notice of an upcoming audit.

Effective Date

This practice has already been implemented. The bulletin is therefore in effect upon posting and will remain in effect until superseded by a future bulletin or change to the NERC CMEP or Rules of Procedure.

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This document is designed to convey compliance guidance from NERC's various activities. It is not intended to establish new requirements under NERC's Reliability Standards or to modify the requirements in any existing NERC Reliability Standards.

¹ Changes to the CMEP are in process that will document 90-day notification prior to audits.

Compliance will continue to be determined based on language in the NERC Reliability Standards as they may be amended from time to time.

Retired