

**NERC COMPLIANCE WORKSHOP JUNE 21, 2011, CHICAGO, IL**

**PURPOSE:**

The purpose of the NERC Compliance Workshop is to provide a transparent, open forum for discussion of NERC Compliance Operations and Enforcement.

**OBJECTIVE:**

1. Provide Industry with detailed information on compliance processes.
2. Provide an opportunity for Industry to raise and discuss compliance-related questions.
3. Capture feedback to enable NERC Compliance to develop further refined guidance to enhance consistency.

**PLEASE RATE EACH SESSION**

Please rate each session in two categories: presentation delivery and presentation content.

Presentation delivery= clearly communicated, slides readable, questions answered.

Presentation content= useful and informative, will be helpful in improving compliance processes.

Please use a scale of 1-5: 1= poor; 2= fair; 3= ok; 4= good; and 5= very good.

<b>Session; Topic and Speaker</b>	<b>Delivery</b>	<b>Content</b>
Introduction to NERC Compliance Workshop – Tom Galloway		
Comments:		
Topic 1: Risk-Based Reliability Monitoring and Entity Assessment – Mike Moon		
Comments:		
Internal Compliance Program – Val Agnew		
Comments:		

## NERC COMPLIANCE WORKSHOP SURVEY

Topic and Speaker	Delivery	Content
Transparency of the Compliance Process to Industry and Others – Jim Hughes		
Comments:		
Event Analysis Process – Earl Shockley		
Comments:		
NERC Enforcement Violation Processing – Rebecca Michael		
Comments:		
NERC Enforcement Violation Processing – Ed Kichline		
Comments:		
Summary Q&A		
Comments:		

Any additional comments or suggestions concerning the workshop:

Suggested topics for the next Compliance workshop and seminar: