

Standards, Compliance, and Enforcement Bulletin

June 10–16, 2019

INSIDE THIS EDITION

[Active Standards Postings](#) | [Other Active Comment Periods](#) | [Standards News](#) | [General Compliance and Enforcement News](#) | [Other News](#) | [Standards Subject to Future Enforcement](#) | [Board of Trustees and FERC Action](#) | [Upcoming Events](#) | [About the SC&E Bulletin](#)

ACTIVE STANDARDS POSTINGS

Current and Upcoming Ballots (ballot periods close at 8:00 p.m. Eastern)

Project	Action	Start Date	End Date
NEW Project 2016-02 – Modifications to CIP Standards CIP-002-6	Additional Ballot and Non-binding Poll	07/08/19	07/17/19
Project	Action	Start Date	End Date
NEW Project 2016-02 – Modifications to CIP Standards CIP-002-6	Join Ballot Pools	06/03/19	07/02/19
Posted for Comment			
Project	Action	Start Date	End Date
NEW Project 2016-02 – Modifications to CIP Standards Virtualization and Future Technologies: Case for Change White Paper	Comment Period	05/30/19	06/28/19

NEW Project 2016-02 – Modifications to CIP Standards CIP-002-6	Comment Period	06/03/19	07/17/19
---	--------------------------------	----------	----------

OTHER ACTIVE COMMENT PERIODS

Posted for Comment			
Posting	Action	Start Date	End Date
<p>Comment Period Open for Regional Reliability Standard PRC-006-NPCC-2 – Automatic Underfrequency Load Shedding: The Northeast Power Coordinating Council, Inc. (NPCC) requested that NERC post Regional Reliability Standard PRC-006-NPCC-2 – Automatic Underfrequency Load Shedding for industry review and comment in accordance with the NERC Rules of Procedure.</p> <p>The NPCC drafting team reviewed Regional Reliability Standard PRC-006-NPCC-1. The revisions made to PRC-006-NPCC-1 can be found in the Summary of Changes.</p>	<p>Use the Standards Balloting and Commenting System (SBS) to submit comments. If you experience any difficulties using the electronic form, contact Nasheema Santos.</p>	05/08/19	06/21/19
<p>Comment Period Open for First Draft of NERC’s 2020 Business Plan and Budget: The first draft of NERC’s 2020 business plan and budget and the first drafts of the Regional Entities’ 2020 business plans and budgets are now available on NERC’s website. A presentation providing a summary of the NERC 2020 business plan and budget and ERO Enterprise combined 2020 budgets and assessments are posted as part of these materials. Presentations providing a summary of each Regional Entity business plan and budget are also posted.</p> <p>NERC has posted the following documents for review and/or comment:</p>	<p>Please direct all comments and/or questions to Erika Chanzas.</p>	05/17/19	06/28/19

<ul style="list-style-type: none"> • Cover Letter from Scott Jones, senior vice president, chief financial and administrative officer, and treasurer • NERC 2020 Business Plan and Budget Overview • ERO Enterprise Combined 2020 Budgets and Assessments Overview 			
---	--	--	--

STANDARDS NEWS

NEW 2019 Registered Ballot Body Self-Select Attestation Process Initiated

[Appendix 3D Registered Ballot Body \(RBB\) Criteria](#) of the NERC Rules of Procedure states:

“Each participant, when initially registering to join the Registered Ballot Body, **and annually thereafter**, shall self-select to belong to one of the segments...”

Therefore, NERC Standards staff has initiated the 2019 annual RBB self-select process.

- **June 4, 2019** – Each RBB voting member has been sent a notification* with a link to the Standards Balloting and Commenting System (SBS) attestation page to confirm there have been no material changes in the last 12 months that affect the entity’s current segment selection(s), thus the entity continues to meet the segment qualifications (as outlined in the qualifications in Appendix 3D: *RBB Criteria* referenced above). **Note that each RBB member must log into the system first, then click the [link](#) provided to open the attestation page.**
- **August 6, 2019** – Deadline for all RBB members to self-select their segment via the SBS. Those entities with segment(s) not attested for will be removed from the system. Anyone removed (un-vetted) can re-apply at any time.

Quick Links

- [Register in the SBS](#)
- [Projected Standards Posting Schedule](#)
- [Project Tracking Spreadsheet](#)
- [Standards Related Questions – Single Portal](#)
- [2017–2019 Reliability Standards Development Plan](#)
- [Quality Review Application](#)
- [One-Stop Shop \(Status, Purpose, Implementation Plans, FERC Orders, RSAWs\)](#)

GENERAL COMPLIANCE AND ENFORCEMENT NEWS

NEW Updated Compliance Oversight Plan Process

In support of the implementation of Align and the sustainable creation of Compliance Oversight Plans (COPs), the ERO Enterprise has harmonized how COPs are summarized and presented to registered entities.

Beginning in July 2019, registered entities should expect to see updated COPs; however, implementation of new COP processes will vary from Region to Region. Registered entities should contact their regional representative to gain a better understanding of the implementation timeline.

NEW RSAW Posted

One new Reliability Standard Audit Worksheet (RSAW) has been posted to the [RSAW page](#) under “Current RSAWs for Use.”

CIP-003-7 – Cyber Security – Security Management Controls applies to Balancing Authorities, Distribution Providers, Generator Operators, Generator Owners, Interchange Coordinators or Interchange Authorities, Reliability Coordinators, Transmission Operators, and Transmission Owners. The standard becomes effective on January 1, 2020.

Quick Links

[Risk-Based CMEP](#)
[CIP V5 Transition Program](#)
[ERO Enterprise Program Alignment Process](#)
[Consistency Reporting Tool \(EthicsPoint\)](#)
[Risk-Based Registration Initiative](#)
[Reliability Standard Audit Worksheets](#)
[Enforcement & Mitigation: Enforcement Actions](#)
[Align Project \(formerly CMEP Technology Project\)](#)

OTHER NEWS

NEW Resources Sufficient to Meet Summer Peak Demand in Most Areas, NERC Finds

Projected resources are at or above the levels needed to satisfy summer peak demand under anticipated weather in most assessment areas, NERC's [2019 Summer Reliability Assessment](#) finds. However, resource adequacy challenges in Texas, potential limits on natural gas supplies in Southern California, and wildfire risk in Northern California increase the likelihood of grid emergency procedures this summer.

The assessment concludes that planning reserve margins—a key metric that helps evaluate the potential for resource deficiencies—in most areas of North America indicate that bulk power system owners and operators can manage their reliability risk effectively. The risks to seasonal reliability include higher-than-expected generation or transmission outages and higher-than-expected electricity demand, though many areas have enough resources to serve even these very extreme conditions. For more information, please see the [full announcement](#).

NEW NERC News Posted

NERC News, NERC's monthly newsletter, is now available for [May](#). Highlights in this edition include:

- ERO Executive Spotlight Features Sara Patrick, President and CEO of Midwest Reliability Organization
- Priority Placed on Efficiency, Effectiveness, Collaboration; NERC Appoints 2 New Vice Presidents
- Supply Chain Risk Report Available
- Upcoming Events

NEW Nomination Period Open for Operating Committee and Planning Committee

The period for nominating candidates for the NERC [Operating Committee \(OC\)](#) and [Planning Committee \(PC\)](#) began June 3, 2019 and ends on June 24, 2019.

Nominees need not be NERC members in the sector for which they are nominated; however, they must be eligible to be a member in that sector. Canadian sector nominations are encouraged. Only [NERC members](#) may elect the OC and PC members in each sector.

For more information, please see:

- [Operating Committee and Planning Committee Selection Process](#)
- Nomination forms – [OC](#) | [PC](#)
- A list of open committee member positions – [OC](#) | [PC](#)
- The committee charters – [OC](#) | [PC](#)

If you have any questions about the OC and PC nomination or election process, please e-mail to ocelection@nerc.net or pcelection@nerc.net or call **Stephen Crutchfield (OC)** at (404) 446-9646 or **Mark Olson (PC)** at (404) 446-9760.

STANDARDS SUBJECT TO FUTURE ENFORCEMENT

Please refer to the [U.S. Effective Dates page](#) for more detail on the standards listed below.

U.S. Effective Dates for Reliability Standards	
U.S. Effective Date	Standard(s)
July 1, 2019	PER-003-2 – Operating Personnel Credentials
	TPL-007-3 – Transmission System Planned Performance for Geomagnetic Disturbance Events (Requirements R1 and R2)
January 1, 2020	CIP-003-7 – Cyber Security — Security Management Controls
	PRC-026-1 – Relay Performance During Stable Power Swings (Requirements R2–R4)
	TPL-007-3 – Transmission System Planned Performance for Geomagnetic Disturbance Events (Requirements R5, 5.1, 5.2, R9, 9.1, and 9.2)
July 1, 2020	CIP-005-6 – Cyber Security – Electronic Security Perimeter(s)
	CIP-010-3 – Cyber Security — Configuration Change Management and Vulnerability Assessments
	CIP-013-1 – Cyber Security — Supply Chain Risk Management
	PRC-002-2 – Disturbance Monitoring and Reporting Requirements (50% compliance for Requirements R2–R4, R6–R11)
October 1, 2020	PER-006-1 – Specific Training for Personnel
	PRC-027-1 – Coordination of Protection Systems for Performance During Faults
January 1, 2021	PRC-012-2 – Remedial Action Schemes
July 1, 2021	TPL-007-3 – Transmission System Planned Performance for Geomagnetic Disturbance Events (Requirements R11 and R12)
January 1, 2022	TPL-007-3 – Transmission System Planned Performance for Geomagnetic Disturbance Events (Requirements R6, 6.1–6.4, R10, 10.1–10.4)
July 1, 2022	PRC-002-2 – Disturbance Monitoring and Reporting Requirements (100% compliance for Requirements R2–R4, R6–R11)
January 1, 2023	TPL-007-3 – Transmission System Planned Performance for Geomagnetic Disturbance Events (Requirements R3, R4, 4.1, 4.1.1–4.1.2, 4.2, 4.3, 4.3.1, R8, 8.1, 8.1.1–8.1.2, 8.3, 8.4, and 8.4.1)
January 1, 2024	TPL-007-3 – Transmission System Planned Performance for Geomagnetic Disturbance Events (Requirements R7, 7.1, 7.2, 7.3, 7.3.1–7.3.2, 7.4, 7.4.1–7.4.3, 7.5, and 7.5.1.)

BOARD OF TRUSTEES AND FERC ACTION

NEW NERC Filings

On June 7, 2019, NERC submitted three filings to FERC following phase one of the [Standards Efficiency Review initiative](#):

1. A [petition for approval of revised and retired Reliability Standards under the NERC Standards Efficiency Review \(INT, FAC, PRC, and MOD\)](#) (docket pending);
2. A [petition for approval of Reliability Standards IRO-002-7, TOP-001-5, and VAR-001-6](#) (docket pending); and
3. A [notice of withdrawal of NERC for proposed Reliability Standard MOD-001-2](#) filed in Docket No. RM14-7-000.

NERC's 2019 filings to FERC are available [here](#).

UPCOMING EVENTS

For information about other NERC events, such as meetings and conference calls for standard drafting teams, other standing committees, subcommittees, task forces, and working groups, please refer to the [NERC calendar](#).

Workshops and Conferences

- NERC–NATF–EPRI 2019 Power System Modeling Conference Workshop – June 18–19, 2019, Novi, MI | **SOLD OUT**
- 2019 Industry Standards and Compliance Workshop – July 23–24, 2019, Minneapolis, MN | [In-person Registration](#) | [Webinar Registration](#) | [Hotel Registration](#)

Webinars

- “Substation Fires: Working with First Responders” Lessons Learned Webinar – 1:30–2:30 p.m. Eastern, June 19, 2019 | [Register](#)

Standing Committee Meetings and Conference Calls

- **NEW** Board of Trustees Conference Call – 1:00–2:00 p.m. Eastern, June 13, 2019 | [Register](#)
- Compliance and Certification Committee Meeting – June 18–19, 2019, Chicago | [Register](#)
- Standards Committee Meeting – 10:00 a.m.–3:00 p.m. Eastern, June 26, 2019 | [Register](#)
- Board of Trustees Committees, Member Representatives Committee, and Board of Trustees Meetings – August 14–15, 2019, Québec, QC | [Meeting Registration and Hotel Information](#)

Standard Drafting Team Meetings and Conference Calls

The [Standards calendar](#) provides dial-in information for the in-person meetings below. The calendar also provides information about conference calls that drafting teams may hold in addition to in-person meetings.

- Project 2018-04 Modifications to PRC-024-2 Conference Call – Noon–2:00 p.m. Eastern, June 11, 2019 | [Register](#)
- Standards Efficiency Review - Phase 2 Meeting – 10:00 a.m.–3:00 p.m. Eastern, June 11, 2019 | [Register](#)
- EMP Task Force Meeting – 8:00 a.m.–5:00 p.m. Eastern, June 12, 2019, Washington, D.C. | [Register](#)
- Project 2018-04 Modifications to PRC-024-2 Conference Call – Noon–2:00 p.m. Eastern, June 12, 2019 | [Register](#)
- Project 2018-04 Modifications to PRC-024-2 Conference Call – Noon–2:00 p.m. Eastern, June 14, 2019 | [Register](#)
- **NEW** Standards Efficiency Review - Phase 2 Conference Call – 11:00 a.m.–12:30 p.m. Eastern, June 17, 2019 | [Register](#)
- Project 2018-04 – Modifications to PRC-024-2 Meeting – June 18–20, 2019, Montreal, Quebec | [Register](#)
- Project 2019-02 – BES Cyber System Information Access Management SAR Drafting Team Meeting – June 18–20, 2019, Atlanta | [Register](#)
- Project 2017-01 – Modifications to BAL-003-1.1 Meeting – June 19–20, 2019, Louisville, KY | [Register](#)
- Project 2016-02 – Modifications to CIP Standards Drafting Team Meeting – June 25–27, 2019, Tacoma, WA | [Register](#)

ABOUT THE STANDARDS, COMPLIANCE, AND ENFORCEMENT BULLETIN

This weekly bulletin compiles a list of standards, compliance, and enforcement projects with actionable deadlines, as well as upcoming events, recently posted resources, other NERC documents posted for comment, and other relevant news and information. Please email [Amy Desselle](#) with feedback on this bulletin. The current bulletin and old bulletins are available under “Program News” on both the [Standards home page](#) and the [Compliance & Enforcement home page](#).

If you would like to receive this bulletin or be added to the distribution list for a particular project, please submit a ticket through the [Help Desk](#). Select the “NERC Email Distribution List” option under the “Applications” menu. In the Description Box, please specify which lists you would like your email address to be added to or note which announcements you would like to receive. This bulletin is distributed to several lists, including the Standards distro list (Standards-specific announcements) and the NERC-info distro list (most NERC announcements).