

Standards, Compliance, and Enforcement Bulletin

February 17–23, 2020

INSIDE THIS EDITION

[Active Standards Postings](#) | [Other Active Comment Periods](#) | [Standards News](#) | [General Compliance and Enforcement News](#) | [Other News](#) | [Standards Subject to Future Enforcement](#) | [Board of Trustees and FERC Action](#) | [Upcoming Events](#) | [About the SC&E Bulletin](#)

ACTIVE STANDARDS POSTINGS

Current and Upcoming Ballots (ballot periods close at 8:00 p.m. Eastern)

Project	Action	Start Date	End Date
Project 2019-03 – Cyber Security Supply Chain Risks CIP-005-7, CIP-010-4, CIP-013-2	Initial Ballot and Non-Binding Poll	03/02/20	03/11/20
Join Ballot Pools			
Project	Action	Start Date	End Date
Project 2019-03 – Cyber Security Supply Chain Risks CIP-005-7, CIP-010-4, CIP-013-2	Join Ballot Pools	01/27/20	02/25/20
Posted for Comment			
Project	Action	Start Date	End Date

Project 2019-03 – Cyber Security Supply Chain Risks CIP-005-7, CIP-010-4, CIP-013-2	Comment Period	01/27/20	03/11/20
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OTHER ACTIVE COMMENT PERIODS

Posted for Comment			
Posting	Action	Start Date	End Date
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STANDARDS NEWS

With the implementation of the [Align Project](#) in 2020, there will be changes to the [Reliability Standards web page](#) and associated reports, including the One-Stop Shop, U.S. Effective Date Status/Functional Applicability spreadsheet, and VRF and VSL matrices. More details will be provided in the coming months.

There is no additional Standards news to report for this week.

Quick Links

- [Register in the SBS](#)
- [Projected Standards Posting Schedule](#)
- [Project Tracking Spreadsheet](#)
- [2019–2021 Reliability Standards Development Plan](#)
- [Quality Review Application](#)
- [One-Stop Shop \(Status, Purpose, Implementation Plans, FERC Orders, RSAWs\)](#)
- [CIP Standards Efficiency Review](#)

GENERAL COMPLIANCE AND ENFORCEMENT NEWS

NEW ERO Enterprise Revised CIP Evidence Request Tool Version 4.0 Posted

NERC posted a revised ERO Enterprise CIP Evidence Request Tool, which is a common request for information tool for CIP Compliance monitoring engagements. The purpose of the tool is to help the ERO Enterprise with consistency and transparency in its audit approach. It will also help responsible entities (especially those that operate in multiple Regions) fulfill these requests more efficiently, by understanding what types of evidence are useful in preparation for an audit.

NEW Centralized Organization Registration ERO System (CORES) Managed Rollout Webinar Announced

NERC will hold a [CORES](#) managed rollout webinar on February 18, 2020. To ensure appropriate training opportunities and a good user experience for registered entities, the ERO Enterprise has been executing the launch of the software with additional outreach, training, and engagements. The focus right now is on having registered entity contacts complete initial registrations in the ERO Portal and activate MFA for their devices. The next steps will be regional engagement and/or webinars, which will be conducted by the ERO Enterprise. Additional details about the rollout plans will be communicated briefly during the webinar. Registration staff will continue reaching out to registered entity contacts that have not registered in the ERO Portal to ensure a smooth transition. Register for the webinar [here](#) or under [Upcoming Events](#).

Quick Links

- [Risk-Based CMEP](#)
- [CIP V5 Transition Program](#)
- [ERO Enterprise Program Alignment Process](#)
- [Consistency Reporting Tool \(EthicsPoint\)](#)
- [Risk-Based Registration Initiative](#)
- [Reliability Standard Audit Worksheets](#)
- [Enforcement & Mitigation: Enforcement Actions](#)
- [Align Project \(formerly CMEP Technology Project\)](#)

OTHER NEWS

NEW Lauby Elected to National Academy of Engineering

The [National Academy of Engineering](#) (NAE) announced that it has elected Mark Lauby, NERC's senior vice president and chief engineer, to its 2020 class for a career of distinguished contributions to his field. NAE cited Lauby's development and application of techniques for electric grid reliability analysis in its election notice.

"Mark has truly had a distinguished technical career with his work at EPRI and NERC, where he serves as our chief engineer. He is a unique talent and very passionate about his work and the challenges facing the North American power grid," said Jim Robb, NERC's president and CEO. "His colleagues at NERC congratulate him on this terrific recognition of his contributions to the reliability of the bulk power system." The full announcement is available [here](#).

STANDARDS SUBJECT TO FUTURE ENFORCEMENT

Please refer to the [U.S. Effective Dates page](#) for more detail on the standards listed below.

U.S. Effective Dates for Reliability Standards	
U.S. Effective Date	Standard(s)
April 1, 2020	CIP-003-8 – Cyber Security – Security Management Controls
July 1, 2020	CIP-005-6 – Cyber Security – Electronic Security Perimeter(s) CIP-010-3 – Cyber Security – Configuration Change Management and Vulnerability Assessments CIP-013-1 – Cyber Security – Supply Chain Risk Management PRC-002-2 – Disturbance Monitoring and Reporting Requirements (50% compliance for Requirements R2–R4, R6–R11)
October 1, 2020	PER-006-1 – Specific Training for Personnel PRC-027-1 – Coordination of Protection Systems for Performance During Faults
January 1, 2021	CIP-008-6 – Cyber Security – Incident Reporting and Response Planning PRC-012-2 – Remedial Action Schemes
July 1, 2021	TPL-007-3 – Transmission System Planned Performance for Geomagnetic Disturbance Events (Requirements R11 and R12)
January 1, 2022	TPL-007-3 – Transmission System Planned Performance for Geomagnetic Disturbance Events (Requirements R6, 6.1–6.4, R10, 10.1–10.4)
July 1, 2022	PRC-002-2 – Disturbance Monitoring and Reporting Requirements (100% compliance for Requirements R2–R4, R6–R11)
January 1, 2023	TPL-007-3 – Transmission System Planned Performance for Geomagnetic Disturbance Events (Requirements R3, R4, 4.1. 4.1.1–4.1.2, 4.2, 4.3, 4.3.1, R8, 8.1, 8.1.1–8.1.2, 8.3, 8.4, and 8.4.1)
January 1, 2024	TPL-007-3 – Transmission System Planned Performance for Geomagnetic Disturbance Events (Requirements R7, 7.1, 7.2, 7.3, 7.3.1–7.3.2, 7.4, 7.4.1–7.4.3, 7.5, and 7.5.1.)

BOARD OF TRUSTEES AND FERC ACTION

NEW NERC Filing

On February 14, 2020, NERC submitted to FERC a compliance [filing](#) consisting of the unaudited report of the NERC budget-to-actual spending variances during the preceding quarter. This compliance filing was submitted in accordance with FERC's January 16, 2013 Order, which approved a settlement agreement between the FERC Office of Enforcement and NERC, related to findings and recommendations arising out of its 2012 performance audit of NERC.

NERC's 2020 filings to FERC are available [here](#).

NEW FERC Open Meeting Agenda Posted

FERC has posted the [open meeting agenda](#) for February 20, 2020. There are two items of interest to NERC:

- Agenda Item E-22 in Docket No. RR20-2-000, North American Electric Reliability Corporation
- Agenda Item E-19 in Docket No. RM20-8-000, Virtualization and Cloud Computing Services

UPCOMING EVENTS

For information about other NERC events, such as meetings and conference calls for other standing committees, subcommittees, task forces, and working groups, please refer to the [NERC calendar](#).

Workshops and Conferences

- NATF-EPRI-NERC Transmission Resiliency Summit – March 31–April 1, Tempe, AZ | [Register](#)
- Project 2016-02 – Modifications to CIP Standards Industry Workshop – April 1, 2020, Atlanta | Registration Coming Soon
- **UPDATED** [Electric Power Human Performance Improvement Symposium](#) – September 29–October 1, 2020, Denver, CO | [Register](#)
- [GridSecCon 2020](#) – October 20–23, 2020, Houston, Texas | Registration Coming Soon

Webinars

- **NEW** [CORES](#) Managed Rollout Webinar – 1:30–2:00 p.m. Eastern, February 18, 2020 | [Register](#)
- Project 2016-02 – Modifications to CIP Standards Webinar – 1:00–2:00 p.m. Eastern, February 19, 2020 | [Register](#)

Standing Committee Meetings and Conference Calls

- Operating Committee, Planning Committee, and Critical Infrastructure Protection Committee Meetings – March 3–4, 2020, Atlanta | [OC Registration](#) | [PC Registration](#) | [CIPC Registration](#)
- Reliability and Security Technical Committee Meeting – March 4, 2020, Atlanta | [Register](#)

Standard Drafting Team Meetings and Conference Calls

The [Standards calendar](#) provides dial-in information for the in-person meetings below. The calendar also provides information about conference calls that drafting teams may hold in addition to in-person meetings.

- Project 2019-02 – BES Cyber System Information Access Management Drafting Team Meeting – February 25–27, 2020, Little Rock, AR | [Register](#)
- Project 2016-02 – Modifications to CIP Standards Drafting Team Meeting – February 25–27, 2020, San Antonio, TX | [Register](#)

ABOUT THE STANDARDS, COMPLIANCE, AND ENFORCEMENT BULLETIN

This weekly bulletin compiles a list of standards, compliance, and enforcement projects with actionable deadlines, as well as upcoming events, recently posted resources, other NERC documents posted for comment, and other relevant news and information. Please email [Amy Klagholz](#) with feedback on this bulletin. The current bulletin and old bulletins are available under “Program News” on both the [Standards home page](#) and the [Compliance & Enforcement home page](#).

If you would like to receive this bulletin or be added to the distribution list for a particular project, please submit a ticket through the [Help Desk](#). Select the “NERC Email Distribution List” option under the “Applications” menu. In the Description Box, please specify which lists you would like your email address to be added to or note which announcements you would like to receive. This bulletin is distributed to several lists, including the Standards distro list (Standards-specific announcements) and the NERC-info distro list (most NERC announcements).