

Standards, Compliance, and Enforcement Bulletin

March 16–22, 2020

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ACTIVE STANDARDS POSTINGS

Current and Upcoming Ballots (ballot periods close at 8:00 p.m. Eastern)			
Project	Action	Start Date	End Date
Technical Rationale for Reliability Standards	Non-Binding Poll	04/10/20	04/20/20
Join Ballot Pools			
	Action	Start Date	End Date
Technical Rationale for Reliability Standards	Join Ballot Pools	03/05/20	04/03/20
Posted for Comment			
Project	Action	Start Date	End Date
Project 2019-06 – Cold Weather Standard Authorization Request	Comment Period	02/19/20	03/19/20

Technical Rationale for Reliability Standards	Comment Period	03/05/20	04/20/20
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OTHER ACTIVE COMMENT PERIODS

Posted for Comment			
Posting	Action	Start Date	End Date
<p>NEW Comment Period Open for Distributed Energy Resources (DER) Data Collection for Modeling in Transmission Planning Studies Draft Reliability Guideline:</p> <p>The NERC System Planning Impacts from Distributed Energy Resources Working Group (SPIDERWG) is developing a draft Reliability Guideline to provide recommendations and guidance for establishing effective data specifications for the collection of aggregate DER data for reliability studies. As the penetration of DERs continues to grow, representing DERs in planning assessments becomes increasingly important. Steady-state powerflow, dynamics, short-circuit, electromagnetic transient (EMT), and other types of planning studies may need information and data that enable Transmission Planners (TPs) and Planning Coordinators (PCs) to develop models of aggregate amounts of DERs for planning purposes. Data specifications developed by the TPs and PCs will help facilitate the transfer of information between the Distribution Providers (DPs) and other external parties performing DER forecasting for modeling purposes.</p> <p>The Planning Committee reviewed the initial draft of the guideline and approved posting for industry comment.</p>	<p>Submit comments via email using the comment form.</p>	03/11/20	04/24/20
<p>NEW Comment Period Open for Notice of Proposed Organization Registration, Organization Certification, and BES Exceptions Revisions to the NERC Rules of Procedure (ROP): NERC is proposing revisions to the following sections and appendices to update the ROP</p>	<p>Submit comments to ROPcomments@nerc.net.</p>	03/12/20	04/27/20

to reflect current business practices, provide further clarification and transparency to industry stakeholders, and form the basis of ERO training to support consistent registration-related procedures and processes: Joint Registration Organizations (507), Coordinated Functional Registration (508), Definitions (2), Organizational Registration and Certification Manual (5A), the Statement of Compliance Registry Criteria (5B), and the Procedure for BES Exceptions (5C). NERC also notes that there are no proposed revisions to Appendix 5B with regards to the retirement of the Functional Model. If you have comments or are support of this, please provide that feedback during this comment period.

- [Summary of Proposed Revisions](#)
- [Redlined Text of Proposed Revisions to Rules of Procedure Section 500](#)
- [Redlined Text of Proposed Revisions to Rules of Procedure Appendix 2](#)
- [Redlined Text of Proposed Revisions to Rules of Procedure Appendix 5A](#)
- [Redlined Text of Proposed Revisions to Rules of Procedure Appendix 5B](#)
- [Redlined Text of Proposed Revisions to Rules of Procedure Appendix 5C](#)

NERC intends to submit these changes to the NERC Board of Trustees for approval at their August 2020 meeting. For further information, please contact [Alain Rigaud](#).

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STANDARDS NEWS

With the implementation of the [Align Project](#) in 2020, there will be changes to the [Reliability Standards web page](#) and associated reports, including the One-Stop Shop, U.S. Effective Date Status/Functional Applicability spreadsheet, and VRF and VSL matrices. More details will be provided in the coming months.

NEW Survey Opens for Standards Efficiency Review Phase 2 Operational Data Exchange Simplification Standard Authorization Request

The Standards Efficiency Review (SER) Phase 2 Team seeks industry input on the [Operational Data Exchange Simplification Standard Authorization Request](#). Please coordinate feedback into a single response for each organization or entity and complete the [survey](#) by **8:00 p.m. Eastern, Monday, April 27, 2020**. See the [SER project page](#) for more information.

Quick Links

- [Register in the SBS](#)
- [Projected Standards Posting Schedule](#)
- [Project Tracking Spreadsheet](#)
- [2019–2021 Reliability Standards Development Plan](#)
- [Quality Review Application](#)
- [One-Stop Shop \(Status, Purpose, Implementation Plans, FERC Orders, RSAWs\)](#)
- [CIP Standards Efficiency Review](#)

GENERAL COMPLIANCE AND ENFORCEMENT NEWS

NEW Reliability Standard Audit Worksheet Posted

A new Reliability Standard Audit Worksheet (RSAW)—CIP-003-8 – Cyber Security – Security Management Controls—is now available on the [NERC.com RSAW page](#) under “Current RSAWs for Use. The standards will become effective date on April 1, 2020.

NEW Proposed Implementation Guidance Endorsement Decisions

NERC has posted one new ERO Enterprise-endorsed Implementation Guidance document titled [TOP-010-1\(i\) R3 and IRO-018-1\(i\) R2 - Real-time Assessment Quality of Analysis \(OC\)](#) to the [Compliance Guidance page](#).

NERC has updated the [ERO Enterprise Non-Endorsed Implementation Guidance tracking spreadsheet](#) to include the following:

- CIP-005-6, R2.4 and R2.5, Vendor Remote Access (NATF)

Quick Links

- [Risk-Based CMEP](#)
- [CIP V5 Transition Program](#)
- [ERO Enterprise Program Alignment Process](#)
- [Consistency Reporting Tool \(EthicsPoint\)](#)
- [Risk-Based Registration Initiative](#)
- [Reliability Standard Audit Worksheets](#)
- [Enforcement & Mitigation: Enforcement Actions](#)
- [Align Project \(formerly CMEP Technology Project\)](#)

- CIP-008-6 Incident Reporting and Response Planning (2018-02 SDT)
- CIP-010-2, R4, Transient Cyber Assets (NATF)
- CIP-012-1, R1 – Communications Between Control Centers (2016-02 SDT)
- TOP-001-4 and IRO-002-5 - Data Exchange Infrastructure and Testing Requirements (OC)

OTHER NEWS

NEW NERC Takes Steps to Prevent Coronavirus Impacts

Planning for emergencies, specifically health-related emergencies, requires much preparation and forethought due to the potential for impacts to staff, supply chain and support services. With that in mind, NERC has taken on a number of initiatives to inform stakeholders and protect the health of its workforce regarding the novel coronavirus known as COVID-19. This is a rapidly developing situation, and NERC is balancing concerns for the health and welfare of its own workforce and the many stakeholders they interact with while staying focused on its mission to assure the reliability and security of the bulk power system across North America.

[Full Announcement](#) | [Level 2 NERC Alert](#) | [ESCC Guidance Document: Assessing and Mitigating the Novel Coronavirus \(COVID-19\)](#)

NEW Reliability and Security Technical Committee Meeting Presentations Posted

NERC has posted the [presentations](#) from the March 4, 2020 Reliability and Security Technical Committee Meeting.

STANDARDS SUBJECT TO FUTURE ENFORCEMENT

Please refer to the [U.S. Effective Dates page](#) for more detail on the standards listed below.

U.S. Effective Dates for Reliability Standards	
U.S. Effective Date	Standard(s)
April 1, 2020	CIP-003-8 – Cyber Security – Security Management Controls
July 1, 2020	CIP-005-6 – Cyber Security – Electronic Security Perimeter(s)
	CIP-010-3 – Cyber Security – Configuration Change Management and Vulnerability Assessments
	CIP-013-1 – Cyber Security – Supply Chain Risk Management
October 1, 2020	PRC-002-2 – Disturbance Monitoring and Reporting Requirements (50% compliance for Requirements R2–R4, R6–R11)
	PER-006-1 – Specific Training for Personnel

	PRC-027-1 – Coordination of Protection Systems for Performance During Faults
January 1, 2021	CIP-008-6 – Cyber Security – Incident Reporting and Response Planning
	PRC-012-2 – Remedial Action Schemes
July 1, 2021	TPL-007-3 – Transmission System Planned Performance for Geomagnetic Disturbance Events (Requirements R11 and R12)
January 1, 2022	TPL-007-3 – Transmission System Planned Performance for Geomagnetic Disturbance Events (Requirements R6, 6.1–6.4, R10, 10.1–10.4)
July 1, 2022	PRC-002-2 – Disturbance Monitoring and Reporting Requirements (100% compliance for Requirements R2–R4, R6–R11)
January 1, 2023	TPL-007-3 – Transmission System Planned Performance for Geomagnetic Disturbance Events (Requirements R3, R4, 4.1. 4.1.1–4.1.2, 4.2, 4.3, 4.3.1, R8, 8.1, 8.1.1–8.1.2, 8.3, 8.4, and 8.4.1)
January 1, 2024	TPL-007-3 – Transmission System Planned Performance for Geomagnetic Disturbance Events (Requirements R7, 7.1, 7.2, 7.3, 7.3.1–7.3.2, 7.4, 7.4.1–7.4.3, 7.5, and 7.5.1.)

BOARD OF TRUSTEES AND FERC ACTION

NEW NERC Filings

On March 11, 2020, NERC and Texas RE submitted to FERC [a joint petition](#) for approval of proposed regional Reliability Standard BAL-001-TRE-2 – Primary Frequency Response in the ERCOT Region. NERC’s 2020 filings to FERC are available [here](#).

UPCOMING EVENTS

For information about other NERC events, such as meetings and conference calls for other standing committees, subcommittees, task forces, and working groups, please refer to the [NERC calendar](#).

Workshops and Conferences

- **POSTPONED** NATF-EPRI-NERC Transmission Resiliency Summit
- **CANCELLED** Project 2016-02 – Modifications to CIP Standards Technical Workshop
- [Electric Power Human Performance Improvement Symposium](#) – September 29–October 1, 2020, Denver, CO | [Register](#)
- [GridSecCon 2020](#) – October 20–23, 2020, Houston, Texas | **Registration Coming Soon**

Webinars

There are no webinars currently scheduled.

Standing Committee Meetings and Conference Calls

- **WEBEX ONLY** Standards Committee Meeting – 10:00 a.m.–3:00 p.m. Eastern, March 18, 2020, | [Register](#)
- **WEBEX ONLY** [2020 Periodic Review Standing Review Team – Standards Grading](#) Public Meeting – April 21–22, 2020 | [Register](#)

Standard Drafting Team Meetings and Conference Calls

The [Standards calendar](#) provides dial-in information for the in-person meetings below. The calendar also provides information about conference calls that drafting teams may hold in addition to in-person meetings.

- **CANCELLED** Project 2019-02 – BES Cyber System Information Access Management Drafting Team Conference Call with Web Access – March 17–19, 2020
- **UPDATED** Project 2019-03 – Cyber Security Supply Chain Risks Drafting Team Meeting – March 23–26, 2020 | [Register](#)
- **UPDATED** Project 2019-06 – Cold Weather Drafting Team Conference Call with Web Access – March 24–26, 2020 | [Register](#)
- **UPDATED** Project 2016-02 – Modifications to CIP Standards Drafting Team Conference Call with Web Access – March 31–April 2, 2020 | [Register](#)

ABOUT THE STANDARDS, COMPLIANCE, AND ENFORCEMENT BULLETIN

This weekly bulletin compiles a list of standards, compliance, and enforcement projects with actionable deadlines, as well as upcoming events, recently posted resources, other NERC documents posted for comment, and other relevant news and information. Please email [Amy Klagholz](#) with feedback on this bulletin. The current bulletin and old bulletins are available under “Program News” on both the [Standards home page](#) and the [Compliance & Enforcement home page](#).

If you would like to receive this bulletin or be added to the distribution list for a particular project, please submit a ticket through the [Help Desk](#). Select the “NERC Email Distribution List” option under the “Applications” menu. In the Description Box, please specify which lists you would like your email address to be added to or note which announcements you would like to receive. This bulletin is distributed to several lists, including the Standards distro list (Standards-specific announcements) and the NERC-info distro list (most NERC announcements).