

Standards, Compliance, and Enforcement Bulletin

June 1–7, 2020

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ACTIVE STANDARDS POSTINGS

Current and Upcoming Ballots (ballot periods close at 8:00 p.m. Eastern)

Project	Action	Start Date	End Date
Project 2019-03 – Cyber Security Supply Chain Risks CIP-005-7, CIP-010-4, and CIP-013-2	Additional Ballot and Non-binding Poll	06/12/20	06/22/20
Join Ballot Pools			
Project	Action	Start Date	End Date
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Posted for Comment			
Project	Action	Start Date	End Date

Project 2020-03 – Supply Chain Low Impact Revisions Standard Authorization Request	Comment Period	04/03/20	06/03/20
Project 2020-04 – Modifications to CIP-012 Standard Authorization Request	Comment Period	04/08/20	06/11/20
Project 2019-03 – Cyber Security Supply Chain Risks CIP-005-7, CIP-010-4, and CIP-013-2	Comment Period	05/07/20	06/22/20
Regional Reliability Standard PRC-006-5 WECC Variance	Comment Period	05/21/20	07/06/20

OTHER ACTIVE COMMENT PERIODS

Posted for Comment			
Posting	Action	Start Date	End Date
<p>Comment Period Open for First Draft of NERC’s 2021 Business Plan and Budget: The first draft of NERC’s 2021 business plan and budget and the first drafts of the Regional Entities’ 2021 business plans and budgets are now available on NERC’s website. A presentation providing a summary of the NERC 2021 business plan and budget and ERO Enterprise combined 2021 budgets and assessments are posted as part of these materials. Presentations providing a summary of each Regional Entity business plan and budget are also posted.</p> <p>NERC has posted the following documents for review and/or comment:</p> <ul style="list-style-type: none"> • Cover Letter from Andy Sharp, Vice President and Interim CFO • NERC 2021 Business Plan and Budget Overview • ERO Enterprise Combined 2021 Budgets and Assessments Overview • First Draft of NERC's 2021 Business Plan and Budget 	Submit comments and/or questions to Erika Chanzas .	05/19/20	06/26/20

<p>Comment Period Open for Proposed Changes to NERC Rules of Procedure: NERC is proposing revisions to section 1003 and Appendix 4B of its Rules of Procedure (ROP) in accordance with the directive in FERC’s order accepting NERC’s Five-Year Performance Assessment.</p> <p>Section 1003 of the ROP describes NERC’s infrastructure security program, including, among other things, its operation of the Electricity Information Sharing and Analysis Center and its relationship with the Electricity Subsector Coordinating Council. The purpose of the proposed revisions is to update section 1003 to correct inconsistencies and accurately reflect current operational practices related to NERC’s infrastructure security program.</p> <p>Appendix 4B of the ROP describes the NERC Sanction Guidelines, which NERC and the Regional Entities use to determine appropriate monetary and non-monetary sanctions for violations of the NERC Reliability Standards and Regional Reliability Standards by registered entities. The purpose of the proposed revisions is to update Appendix 4B to reflect the current practices of NERC and the Regional Entities in levying monetary and non-monetary sanctions for violations of the NERC Reliability Standards and Regional Reliability Standards and address specific directives from FERC in its order accepting NERC’s Five-Year Performance Assessment. NERC requests comments on the proposed revisions to the NERC Rules of Procedure. The posted sections are:</p> <ul style="list-style-type: none"> • Summary of Proposed Revisions to Section 1003 • Summary of Proposed Revisions to Appendix 4B • Redlined Text of Proposed Revisions to Rules of Procedure Section 1003 	<p>Submit comments electronically to ROPcomments@nerc.net.</p>	<p>05/21/20</p>	<p>07/10/20</p>
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- [Redlined Text of Proposed Revisions to Appendix 4B of the NERC Rules of Procedure](#)

STANDARDS NEWS

With the implementation of the [Align Project](#) in 2020, there will be changes to the [Reliability Standards web page](#) and associated reports, including the One-Stop Shop, U.S. Effective Date Status/Functional Applicability spreadsheet, and VRF and VSL matrices. More details will be provided in the coming months.

NEW 2020 Registered Ballot Body Self-Select Attestation Process Coming Soon

NERC Standards staff will be initiating the 2020 Annual RBB Self-Select Process in the coming weeks.

- **June 2020** – Each Registered Ballot Body (RBB) voting member will receive a notification with a link to the Standards Balloting and Commenting System (SBS) electronic attestation page to confirm that there have been no material changes in the last 12 months that affect the entity’s current Segment selection(s), thus the entity continues to meet the Segment qualifications (as outlined in the qualifications in [Appendix 3D: RBB Criteria](#)).
- **August 2020** – Deadline for all RBB members to self-select their segments via the SBS.

Notifications regarding the process will be sent via SBS/RBB email, Standards Committee communications, Standards, Compliance, and Enforcement Bulletins, and other NERC email alerts. Specific dates to be determined.

Quick Links

- [Register in the SBS](#)
- [Projected Standards Posting Schedule](#)
- [Project Tracking Spreadsheet](#)
- [2019–2021 Reliability Standards Development Plan](#)
- [Quality Review Application](#)
- [One-Stop Shop \(Status, Purpose, Implementation Plans, FERC Orders, RSAWs\)](#)
- [CIP Standards Efficiency Review](#)

GENERAL COMPLIANCE AND ENFORCEMENT NEWS

NEW ERO Enterprise Releases New Guidance Temporarily Expanding Self-Logging Program Due to Coronavirus Impacts

The ERO Enterprise released new [guidance](#) providing additional regulatory relief related to registered entities' coronavirus response. The relief temporarily expands the Self-Logging Program to allow all registered entities to self-log instances of potential noncompliance with minimal or moderate risk related to their coronavirus response. While registered entities remain responsible for maintaining compliance with NERC Reliability Standards, this expansion allows them to focus their immediate efforts and resources on maintaining the safety of their workforce and communities to assure the reliability of the bulk power system during this public health emergency. Under this temporary expansion of the Self-Logging Program, potential noncompliance related to coronavirus impacts and logged consistently with this guidance is expected to be resolved without further action. See the full announcement [here](#).

Quick Links

[Risk-Based CMEP](#)
[CIP V5 Transition Program](#)
[ERO Enterprise Program Alignment Process](#)
[Consistency Reporting Tool \(EthicsPoint\)](#)
[Risk-Based Registration Initiative](#)
[Reliability Standard Audit Worksheets](#)
[Enforcement & Mitigation: Enforcement Actions](#)
[Align Project \(formerly CMEP Technology Project\)](#)

NEW NERC Receives New Alignment Issue via Consistency Reporting Tool

NERC received a new alignment issue pertaining to disposition of non-compliance described in March 18, 2020 joint FERC/NERC industry guidance to ensure grid reliability amid potential coronavirus impacts. The alleged issue is resolved, and [the Issues and Recommendations Tracking](#) document has been updated.

The ERO Enterprise Program Alignment Process is intended to enhance efforts to identify, prioritize, and resolve alignment issues across the ERO Enterprise. Using this process, NERC captures identified issues from the various resources in a [centralized repository](#). The Consistency Reporting Tool uses a third-party application, EthicsPoint, which allows stakeholders to submit consistency issues—anonously, if desired.

OTHER NEWS

NEW Nomination Period Open for Personnel Certification Governance Committee

The NERC System Operator Certification Program is holding an [open nomination](#) to fill one vacancy on the [Personnel Certification Governance Committee \(PCGC\)](#). The successful candidate is expected to protect the integrity and independence of the System Operator Certification Program, serve a two-year term, attend and participate in four in-person PCGC meetings, and participate in various conference calls and

subcommittee tasks. Note: Due to COVID-19 all PCGC meetings are via WebEx until future notice. Please submit nominations to [Barb Nutter](#) using the [nomination form](#) by July 7, 2020.

The PCGC Nomination Task Force will consider nominees with the following qualifications:

- System operations experience
- Representation for the four system operator credentials
- Certification program administration experience
- Experience in budget administration
- Geographic diversity

NEW Nomination Period Open for Exam Working Group

The [Exam Working Group \(EWG\)](#) reports to the Personnel Certification Governance Committee (PCGC). The NERC System Operator Certification Program is holding an [open nomination](#) to fill two vacancies on the EWG. Successful candidates are expected to develop and maintain the NERC System Operator Certification Program exams under the general guidelines set by the PCGC, serve a two-year term, attend and participate in up to two in-person EWG meetings a year, attend various conference calls, and participate in subcommittee tasks. Note: Due to COVID-19 all EWG meetings are via WebEx until future notice. Please submit nominations to [Barb Nutter](#) using the [nomination form](#) by July 7, 2020.

The Personnel Certification Governance Committee Nomination Task Force will consider nominees with the following qualifications:

- Recent system operations experience
- Balance between managerial and training staff and real-time system operators
- Representation for each of the system operator credentials
- Exam development experience
- Geographic diversity

STANDARDS SUBJECT TO FUTURE ENFORCEMENT

Please refer to the [U.S. Effective Dates page](#) for more detail on the standards listed below.

U.S. Effective Dates for Reliability Standards	
U.S. Effective Date	Standard(s)
October 1, 2020	CIP-005-6 – Cyber Security – Electronic Security Perimeter(s) CIP-010-3 – Cyber Security – Configuration Change Management and Vulnerability Assessments CIP-013-1 – Cyber Security – Supply Chain Risk Management TPL-007-4 – Transmission System Planned Performance for Geomagnetic Disturbance Events (Requirements R1, R2, R5, 5.1–5.2, R9, 9.1–9.2)
January 1, 2021	CIP-008-6 – Cyber Security – Incident Reporting and Response Planning PRC-002-2 – Disturbance Monitoring and Reporting Requirements (50% compliance for Requirements R2–R4, R6–R11) PRC-012-2 – Remedial Action Schemes PRC-025-2 – Generator Relay Loadability (phased-in implementation of Attachment 1: Relay Settings, Table 1 Options 5b, 14b, 15b, and 16b)
April 1, 2021	PER-006-1 – Specific Training for Personnel PRC-027-1 – Coordination of Protection Systems for Performance During Faults
July 1, 2021	TPL-007-4 – Transmission System Planned Performance for Geomagnetic Disturbance Events (Requirements R12 and R13)
January 1, 2022	TPL-007-4 – Transmission System Planned Performance for Geomagnetic Disturbance Events (Requirements R6, 6.1–6.4, R10, 10.1–10.4)
July 1, 2022	CIP-012-1 – Cyber Security – Communications between Control Centers PRC-002-2 – Disturbance Monitoring and Reporting Requirements (100% compliance for Requirements R2–R4, R6–R11)
January 1, 2023	TPL-007-4 – Transmission System Planned Performance for Geomagnetic Disturbance Events (Requirements R3, R4, 4.1.4.1.1–4.1.2, 4.2, 4.3, 4.3.1, R8, 8.1, 8.1.1–8.1.2, 8.2, 8.3, 8.3.1)
July 1, 2023	TPL-001-5 – Transmission System Planning Performance Requirements Implementation Plan
January 1, 2024	TPL-007-4 – Transmission System Planned Performance for Geomagnetic Disturbance Events (Requirements R7, 7.1–7.3, 7.3.1–7.3.2, 7.4, 7.4.1–7.4.3, 7.5, 7.5.1, R11, 11.1–11.3, 11.3.1–11.3.2, 11.4, 11.4.1–11.4.3, 11.5, and 11.5.1)

BOARD OF TRUSTEES AND FERC ACTION

Opportunity to Suggest Candidates for NERC Board of Trustees

The Nominating Committee for the NERC Board of Trustees is providing an opportunity for stakeholders to suggest candidates to serve as an independent member of NERC's Board of Trustees.

A link to the summary memo from Ken DeFontes, chair of the Nominating Committee, outlining the role of the Committee and the process for the nomination and election of independent members of the NERC Board is available [here](#). Russell Reynolds has been retained by the Nominating Committee to assist in the search for and screening of candidates. Please submit all suggestions for candidates to [Jennifer Rockwood](#) of Russell Reynolds. All suggestions for candidates must be received by **June 5, 2020**.

UPCOMING EVENTS

For information about other NERC events, such as meetings and conference calls for other standing committees, subcommittees, task forces, and working groups, please refer to the [NERC calendar](#).

Workshops and Conferences

There are no workshops or conferences currently scheduled.

Webinars

- **NEW Technical Report:** [BPS-Connected Inverter-Based Resource Modeling and Studies](#) Webinar – 12:00–1:30 p.m. Eastern, June 8, 2020 | [Register](#)

Standing Committee Meetings and Conference Calls

- Finance and Audit Committee Informational Session and Webinar – 1:00–3:00 p.m. Eastern, June 4, 2020 | [Register](#) | [Agenda Package](#)
- Standards Committee Conference Call – 1:00–3:00 p.m. Eastern, June 17, 2020 | [Register](#)

Standard Drafting Team Meetings and Conference Calls

The [Standards calendar](#) provides dial-in information for the in-person meetings below. The calendar also provides information about conference calls that drafting teams may hold in addition to in-person meetings.

There are no standard drafting team meetings currently scheduled.

ABOUT THE STANDARDS, COMPLIANCE, AND ENFORCEMENT BULLETIN

This weekly bulletin compiles a list of standards, compliance, and enforcement projects with actionable deadlines, as well as upcoming events, recently posted resources, other NERC documents posted for comment, and other relevant news and information. Please email [Amy Klagholz](#) with feedback on this bulletin. The current bulletin and old bulletins are available under “Program News” on both the [Standards home page](#) and the [Compliance & Enforcement home page](#).

If you would like to receive this bulletin or be added to the distribution list for a particular project, please submit a ticket through the [Help Desk](#). Select the “NERC Email Distribution List” option under the “Applications” menu. In the Description Box, please specify which lists you would like your email address to be added to or note which announcements you would like to receive. This bulletin is distributed to several lists, including the Standards distro list (Standards-specific announcements) and the NERC-info distro list (most NERC announcements).