

Standards, Compliance, and Enforcement Bulletin

June 8–14, 2020

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ACTIVE STANDARDS POSTINGS

Current and Upcoming Ballots (ballot periods close at 8:00 p.m. Eastern)

Project	Action	Start Date	End Date
Project 2019-03 – Cyber Security Supply Chain Risks CIP-005-7, CIP-010-4, and CIP-013-2	Additional Ballot and Non-binding Poll	06/12/20	06/22/20
Join Ballot Pools			
Project	Action	Start Date	End Date
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Posted for Comment			
Project	Action	Start Date	End Date

Project 2020-04 – Modifications to CIP-012 Standard Authorization Request	Comment Period	04/08/20	06/11/20
Project 2019-03 – Cyber Security Supply Chain Risks CIP-005-7, CIP-010-4, and CIP-013-2	Comment Period	05/07/20	06/22/20
Regional Reliability Standard PRC-006-5 WECC Variance	Comment Period	05/21/20	07/06/20
NEW Project 2019-04 – Modifications to PRC-005-6 Standard Authorization Request	Comment Period	06/02/20	07/08/20

OTHER ACTIVE COMMENT PERIODS

Posted for Comment			
Posting	Action	Start Date	End Date
<p>Comment Period Open for First Draft of NERC’s 2021 Business Plan and Budget: The first draft of NERC’s 2021 business plan and budget and the first drafts of the Regional Entities’ 2021 business plans and budgets are now available on NERC’s website. A presentation providing a summary of the NERC 2021 business plan and budget and ERO Enterprise combined 2021 budgets and assessments are posted as part of these materials. Presentations providing a summary of each Regional Entity business plan and budget are also posted.</p> <p>NERC has posted the following documents for review and/or comment:</p> <ul style="list-style-type: none"> • Cover Letter from Andy Sharp, Vice President and Interim CFO • NERC 2021 Business Plan and Budget Overview • ERO Enterprise Combined 2021 Budgets and Assessments Overview • First Draft of NERC's 2021 Business Plan and Budget 	Submit comments and/or questions to Erika Chanzas .	05/19/20	06/26/20

<p>Comment Period Open for Proposed Changes to NERC Rules of Procedure: NERC is proposing revisions to section 1003 and Appendix 4B of its Rules of Procedure (ROP) in accordance with the directive in FERC’s order accepting NERC’s Five-Year Performance Assessment.</p> <p>Section 1003 of the ROP describes NERC’s infrastructure security program, including, among other things, its operation of the Electricity Information Sharing and Analysis Center and its relationship with the Electricity Subsector Coordinating Council. The purpose of the proposed revisions is to update section 1003 to correct inconsistencies and accurately reflect current operational practices related to NERC’s infrastructure security program.</p> <p>Appendix 4B of the ROP describes the NERC Sanction Guidelines, which NERC and the Regional Entities use to determine appropriate monetary and non-monetary sanctions for violations of the NERC Reliability Standards and Regional Reliability Standards by registered entities. The purpose of the proposed revisions is to update Appendix 4B to reflect the current practices of NERC and the Regional Entities in levying monetary and non-monetary sanctions for violations of the NERC Reliability Standards and Regional Reliability Standards and address specific directives from FERC in its order accepting NERC’s Five-Year Performance Assessment. NERC requests comments on the proposed revisions to the NERC Rules of Procedure. The posted sections are:</p> <ul style="list-style-type: none"> • Summary of Proposed Revisions to Section 1003 • Summary of Proposed Revisions to Appendix 4B • Redlined Text of Proposed Revisions to Rules of Procedure Section 1003 	<p>Submit comments electronically to ROPcomments@nerc.net.</p>	<p>05/21/20</p>	<p>07/10/20</p>
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- [Redlined Text of Proposed Revisions to Appendix 4B of the NERC Rules of Procedure](#)

STANDARDS NEWS

With the implementation of the [Align Project](#) in 2020, there will be changes to the [Reliability Standards web page](#) and associated reports, including the One-Stop Shop, U.S. Effective Date Status/Functional Applicability spreadsheet, and VRF and VSL matrices. More details will be provided in the coming months.

There is no additional Standards news to report for this week.

Quick Links

- [Register in the SBS](#)
- [Projected Standards Posting Schedule](#)
- [Project Tracking Spreadsheet](#)
- [2019–2021 Reliability Standards Development Plan](#)
- [Quality Review Application](#)
- [One-Stop Shop \(Status, Purpose, Implementation Plans, FERC Orders, RSAWs\)](#)
- [CIP Standards Efficiency Review](#)

GENERAL COMPLIANCE AND ENFORCEMENT NEWS

ERO Enterprise Releases New Guidance Temporarily Expanding Self-Logging Program Due to Coronavirus Impacts

The ERO Enterprise released new [guidance](#) providing additional regulatory relief related to registered entities' coronavirus response. The relief temporarily expands the Self-Logging Program to allow all registered entities to self-log instances of potential noncompliance with minimal or moderate risk related to their coronavirus response. While registered entities remain responsible for maintaining compliance with NERC Reliability Standards, this expansion allows them to focus their immediate efforts and resources on maintaining the safety of their workforce and communities to assure the reliability of the bulk power system during this public health emergency. Under this temporary expansion of the Self-Logging Program, potential noncompliance related to coronavirus impacts and logged consistently with this guidance is expected to be resolved without further action. See the full announcement [here](#).

Quick Links

- [Risk-Based CMEP](#)
- [CIP V5 Transition Program](#)
- [ERO Enterprise Program Alignment Process](#)
- [Consistency Reporting Tool \(EthicsPoint\)](#)
- [Risk-Based Registration Initiative](#)
- [Reliability Standard Audit Worksheets](#)
- [Enforcement & Mitigation: Enforcement Actions](#)
- [Align Project \(formerly CMEP Technology Project\)](#)

OTHER NEWS

NEW NERC News Posted

NERC News, NERC's monthly newsletter, is now available for [May](#). Highlights in this edition include:

- ERO Executive Spotlight Features Mark Lauby, Senior Vice President and Chief Engineer
- ERO Enterprise Releases New Guidance Temporarily Expanding Self-Logging Program Due to Coronavirus Impacts
- Board Holds Virtual Meeting; Approves Updated Align Timeline, SEL Strategy
- Response to White House Executive Order
- Upcoming Events

NEW Robb Featured on "Grid Talk" Podcast

Jim Robb was a guest on the "Grid Talk" podcast—part of the Department of Energy's Voices of Experience initiative, supporting grid modernization by sharing insights, lessons learned, and operating advice. In his [interview](#), Robb discusses grid resiliency and how the ERO Enterprise handles situational awareness and planning for threats to reliability, such as the COVID-19 pandemic and the rapidly evolving electric system.

NEW NERC Finds Resources Sufficient to Meet Demand; COVID-19 Response Impacts Summer Preparation and Critical Workforce

NERC's [2020 Summer Reliability Assessment](#) finds that projected resources are at or above the levels needed to satisfy summer peak demand under anticipated weather in nearly all assessment areas. However, the unprecedented coronavirus pandemic has led to heightened uncertainty in demand projections, has disrupted preparation (i.e., preventative maintenance, supply stocking, and training) for the summer peak operating season, and threatens the health and safety of critical industry workforce.

Although the pandemic introduces significant uncertainty into demand and some risk to generation resource availability, the Anticipated Reserve Margins, which were based on pre-pandemic demand forecasts and anticipated resources, indicate that the assessment areas are prepared to meet potential peak demand with or without pandemic-related demand reductions. Further, in its continuing outreach and coordination with system operations and Reliability Coordinators, NERC did not identify any specific threat or degradation to the reliable operation of the bulk power system for the spring time frame. For more information, please see the [full announcement](#).

STANDARDS SUBJECT TO FUTURE ENFORCEMENT

Please refer to the [U.S. Effective Dates page](#) for more detail on the standards listed below.

U.S. Effective Dates for Reliability Standards	
U.S. Effective Date	Standard(s)
October 1, 2020	CIP-005-6 – Cyber Security – Electronic Security Perimeter(s) CIP-010-3 – Cyber Security – Configuration Change Management and Vulnerability Assessments CIP-013-1 – Cyber Security – Supply Chain Risk Management TPL-007-4 – Transmission System Planned Performance for Geomagnetic Disturbance Events (Requirements R1, R2, R5, 5.1–5.2, R9, 9.1–9.2)
January 1, 2021	CIP-008-6 – Cyber Security – Incident Reporting and Response Planning PRC-002-2 – Disturbance Monitoring and Reporting Requirements (50% compliance for Requirements R2–R4, R6–R11) PRC-012-2 – Remedial Action Schemes PRC-025-2 – Generator Relay Loadability (phased-in implementation of Attachment 1: Relay Settings, Table 1 Options 5b, 14b, 15b, and 16b)
April 1, 2021	PER-006-1 – Specific Training for Personnel PRC-027-1 – Coordination of Protection Systems for Performance During Faults
July 1, 2021	TPL-007-4 – Transmission System Planned Performance for Geomagnetic Disturbance Events (Requirements R12 and R13)
January 1, 2022	TPL-007-4 – Transmission System Planned Performance for Geomagnetic Disturbance Events (Requirements R6, 6.1–6.4, R10, 10.1–10.4)
July 1, 2022	CIP-012-1 – Cyber Security – Communications between Control Centers PRC-002-2 – Disturbance Monitoring and Reporting Requirements (100% compliance for Requirements R2–R4, R6–R11)
January 1, 2023	TPL-007-4 – Transmission System Planned Performance for Geomagnetic Disturbance Events (Requirements R3, R4, 4.1.4.1.1–4.1.2, 4.2, 4.3, 4.3.1, R8, 8.1, 8.1.1–8.1.2, 8.2, 8.3, 8.3.1)
July 1, 2023	TPL-001-5 – Transmission System Planning Performance Requirements Implementation Plan
January 1, 2024	TPL-007-4 – Transmission System Planned Performance for Geomagnetic Disturbance Events (Requirements R7, 7.1–7.3, 7.3.1–7.3.2, 7.4, 7.4.1–7.4.3, 7.5, 7.5.1, R11, 11.1–11.3, 11.3.1–11.3.2, 11.4, 11.4.1–11.4.3, 11.5, and 11.5.1)

BOARD OF TRUSTEES AND FERC ACTION

NEW NERC Filings

On June 1, 2020, NERC submitted to FERC a [compliance filing](#) in response to requests in FERC's Order on the Five-Year Performance Assessment.

You can find all NERC filings to FERC for 2020 [here](#).

UPCOMING EVENTS

For information about other NERC events, such as meetings and conference calls for other standing committees, subcommittees, task forces, and working groups, please refer to the [NERC calendar](#).

Workshops and Conferences

There are no workshops or conferences currently scheduled.

Webinars

- [Technical Report: BPS-Connected Inverter-Based Resource Modeling and Studies](#) Webinar – 12:00–1:30 p.m. Eastern, June 8, 2020 | [Register](#)
- **NEW** Project 2016-02 – Modifications to CIP Standards | Virtual Machines and Containers Webinar – 1:00–2:00 p.m. Eastern, June 11, 2020 | [Register](#)

Standing Committee Meetings and Conference Calls

- **NEW** Reliability and Security Technical Committee Conference Call – 1:00–5:00 p.m. Eastern, June 10, 2020 | [Agenda Package](#)
- Standards Committee Conference Call – 1:00–3:00 p.m. Eastern, June 17, 2020 | [Register](#)

Standard Drafting Team Meetings and Conference Calls

The [Standards calendar](#) provides dial-in information for the in-person meetings below. The calendar also provides information about conference calls that drafting teams may hold in addition to in-person meetings.

There are no standard drafting team meetings currently scheduled.

ABOUT THE STANDARDS, COMPLIANCE, AND ENFORCEMENT BULLETIN

This weekly bulletin compiles a list of standards, compliance, and enforcement projects with actionable deadlines, as well as upcoming events, recently posted resources, other NERC documents posted for comment, and other relevant news and information. Please email [Amy Klagholz](mailto:amy.klagholz@nerc.gov) with feedback on this bulletin. The current bulletin and old bulletins are available under “Program News” on both the [Standards home page](#) and the [Compliance & Enforcement home page](#).

If you would like to receive this bulletin or be added to the distribution list for a particular project, please submit a ticket through the [Help Desk](#). Select the “NERC Email Distribution List” option under the “Applications” menu. In the Description Box, please specify which lists you would like your email address to be added to or note which announcements you would like to receive. This bulletin is distributed to several lists, including the Standards distro list (Standards-specific announcements) and the NERC-info distro list (most NERC announcements).