

Standards, Compliance, and Enforcement Bulletin

June 15–21, 2020

INSIDE THIS EDITION

[Active Standards Postings](#) | [Other Active Comment Periods](#) | [Standards News](#) | [General Compliance and Enforcement News](#) | [Other News](#) | [Standards Subject to Future Enforcement](#) | [Board of Trustees and FERC Action](#) | [Upcoming Events](#) | [About the SC&E Bulletin](#)

ACTIVE STANDARDS POSTINGS

Current and Upcoming Ballots (ballot periods close at 8:00 p.m. Eastern)

Project	Action	Start Date	End Date
Project 2019-03 – Cyber Security Supply Chain Risks CIP-005-7, CIP-010-4, and CIP-013-2	Additional Ballot and Non-binding Poll	06/12/20	06/22/20
Join Ballot Pools			
Project	Action	Start Date	End Date
--	--	--	--
Posted for Comment			
Project	Action	Start Date	End Date

Project 2019-03 – Cyber Security Supply Chain Risks CIP-005-7, CIP-010-4, and CIP-013-2	Comment Period	05/07/20	06/22/20
Regional Reliability Standard PRC-006-5 WECC Variance	Comment Period	05/21/20	07/06/20
Project 2019-04 – Modifications to PRC-005-6 Standard Authorization Request	Comment Period	06/02/20	07/08/20

OTHER ACTIVE COMMENT PERIODS

Posted for Comment			
Posting	Action	Start Date	End Date
<p>Comment Period Open for First Draft of NERC’s 2021 Business Plan and Budget: The first draft of NERC’s 2021 business plan and budget and the first drafts of the Regional Entities’ 2021 business plans and budgets are now available on NERC’s website. A presentation providing a summary of the NERC 2021 business plan and budget and ERO Enterprise combined 2021 budgets and assessments are posted as part of these materials. Presentations providing a summary of each Regional Entity business plan and budget are also posted.</p> <p>NERC has posted the following documents for review and/or comment:</p> <ul style="list-style-type: none"> • Cover Letter from Andy Sharp, Vice President and Interim CFO • NERC 2021 Business Plan and Budget Overview • ERO Enterprise Combined 2021 Budgets and Assessments Overview • First Draft of NERC's 2021 Business Plan and Budget 	Submit comments and/or questions to Erika Chanzas .	05/19/20	06/26/20
<p>Comment Period Open for Proposed Changes to NERC Rules of Procedure: NERC is proposing revisions to section 1003 and Appendix</p>	Submit comments electronically to ROPcomments@nerc.net .	05/21/20	07/10/20

<p>4B of its Rules of Procedure (ROP) in accordance with the directive in FERC’s order accepting NERC’s Five-Year Performance Assessment.</p> <p>Section 1003 of the ROP describes NERC’s infrastructure security program, including, among other things, its operation of the Electricity Information Sharing and Analysis Center and its relationship with the Electricity Subsector Coordinating Council. The purpose of the proposed revisions is to update section 1003 to correct inconsistencies and accurately reflect current operational practices related to NERC’s infrastructure security program.</p> <p>Appendix 4B of the ROP describes the NERC Sanction Guidelines, which NERC and the Regional Entities use to determine appropriate monetary and non-monetary sanctions for violations of the NERC Reliability Standards and Regional Reliability Standards by registered entities. The purpose of the proposed revisions is to update Appendix 4B to reflect the current practices of NERC and the Regional Entities in levying monetary and non-monetary sanctions for violations of the NERC Reliability Standards and Regional Reliability Standards and address specific directives from FERC in its order accepting NERC’s Five-Year Performance Assessment. NERC requests comments on the proposed revisions to the NERC Rules of Procedure. The posted sections are:</p> <ul style="list-style-type: none"> • Summary of Proposed Revisions to Section 1003 • Summary of Proposed Revisions to Appendix 4B • Redlined Text of Proposed Revisions to Rules of Procedure Section 1003 • Redlined Text of Proposed Revisions to Appendix 4B of the NERC Rules of Procedure 			
---	--	--	--

<p>NEW Comment Period Open for Second Posting of Proposed Organization Registration, Organization Certification, and BES Exceptions Revisions to the Rules of Procedure: NERC is proposing changes to its Rules of Procedure (ROP) as a part of a modernization initiative to reflect current business practices and provide further clarification and transparency to Industry stakeholders.</p> <p>This is NERC’s second posting regarding the proposed revisions to the Registration and Certification Procedures within Section 500, and Appendices 2, 5A, 5B, and 5C. The redlines of this posting are “clean” versions of the materials from the initial posting and show the revisions that were made after the initial 45-day comment period. This is in order to show the difference between what was originally proposed and what is now being proposed based on the comments that NERC received. Since NERC did not receive any comments regarding Appendix 5C, it will not be included in this second posting.</p> <p>NERC requests comments on the proposed revisions to the NERC ROP. The relevant materials are below:</p> <ul style="list-style-type: none"> • NERC’s Consideration of Comments of Initial ORCP Posting • Updated Redline Text of Section 500 • Updated Redline Text of Appendix 2 • Updated Redline Text of Appendix 5A • Updated Redline Text of Appendix 5B <p>NERC intends to submit these changes to the NERC Board of Trustees for approval at their August 2020 meeting.</p>	<p>Submit comments electronically to ROPcomments@nerc.net.</p>	<p>06/10/20</p>	<p>07/13/20</p>
---	--	-----------------	-----------------

STANDARDS NEWS

With the implementation of the [Align Project](#) in 2020, there will be changes to the [Reliability Standards web page](#) and associated reports, including the One-Stop Shop, U.S. Effective Date Status/Functional Applicability spreadsheet, and VRF and VSL matrices. More details will be provided in the coming months.

NEW 2020 Registered Ballot Body Self-Select Attestation Process Begins
NERC Standards staff has initiated the 2020 Annual Registered Ballot Body (RBB) Self-Select Process.

- **June 8, 2020** – Each RBB voting member should have received a notification with a [link](#) to the Standards Balloting and Commenting System (SBS) attestation page to confirm there have been no material changes in the last 12 months that affect the entity’s current Segment selection(s), thus the entity continues to meet the Segment qualifications (as outlined in the qualifications in Appendix 3D: *RBB Criteria* referenced above). Each RBB member must log into the system first, and then click the [link](#) to open the attestation page.
- **August 10, 2020** – Deadline for all RBB members to self-select their segment via the SBS.

All RBB members are required to submit a Self-select Attestation. Therefore, NERC must receive a response for all segments represented in the RBB by **August 10, 2020**. Entities with segment(s) not attested for will be removed from the system. Anyone removed (un-vetted) can re-apply at any time. For more information or assistance, contact [Wendy Muller](#).

Quick Links

- [Register in the SBS](#)
- [Projected Standards Posting Schedule](#)
- [Project Tracking Spreadsheet](#)
- [2019–2021 Reliability Standards Development Plan](#)
- [Quality Review Application](#)
- [One-Stop Shop \(Status, Purpose, Implementation Plans, FERC Orders, RSAWs\)](#)
- [CIP Standards Efficiency Review](#)

GENERAL COMPLIANCE AND ENFORCEMENT NEWS

There is no additional Compliance and Enforcement news to report for this week.

Quick Links

[Risk-Based CMEP](#)
[CIP V5 Transition Program](#)
[ERO Enterprise Program Alignment Process](#)
[Consistency Reporting Tool \(EthicsPoint\)](#)
[Risk-Based Registration Initiative](#)
[Reliability Standard Audit Worksheets](#)
[Enforcement & Mitigation: Enforcement Actions](#)
[Align Project \(formerly CMEP Technology Project\)](#)

OTHER NEWS

NEW Two Lessons Learned Posted

Two new lessons learned—[Preventing Energy Emergency Alerts](#) and [Unanticipated Wind Generation Cutoffs during a Cold Weather Event](#)—have been posted on the NERC website on the [Lessons Learned](#) page.

NEW ReliabilityFirst to Host Open Forum Compliance Call Featuring Virtualization Update

ReliabilityFirst (RF) is rebranding its monthly open forum compliance calls to include info beyond compliance to better reflect the breadth of what it offers to entities. The next RF monthly [Compliance Open Forum Call](#) will be held on Monday, June 15, 2020, at 2:00 p.m. Eastern. During this call, the Project 2016-02 Standards Drafting Team will be presenting on Virtualization. This overview will include a recap of the ERO whitepaper, "[What's in it for me?](#)" and is intended for all CIP audiences. Following this presentation, RF will provide an opportunity for feedback and questions.

NEW Kelly Higgins Hanson Joins NERC as SVP, CAO

NERC is pleased to announce that Kelly Hanson is joining NERC as senior vice president (SVP) and chief administrative officer (CAO), effective July 6. As CAO, Hanson will be responsible for executing the company's long-term Information Technology, Finance and Human Capital strategic plans.

"I'm excited to have Kelly join our leadership. She has a long track record of success in different roles inside and outside of the electricity industry," said Jim Robb, NERC president and chief executive officer. "I am confident she will bring new energy and perspective to many of the opportunities in front of us." The full announcement is available [here](#).

STANDARDS SUBJECT TO FUTURE ENFORCEMENT

Please refer to the [U.S. Effective Dates page](#) for more detail on the standards listed below.

U.S. Effective Dates for Reliability Standards	
U.S. Effective Date	Standard(s)
October 1, 2020	CIP-005-6 – Cyber Security – Electronic Security Perimeter(s) CIP-010-3 – Cyber Security – Configuration Change Management and Vulnerability Assessments CIP-013-1 – Cyber Security – Supply Chain Risk Management TPL-007-4 – Transmission System Planned Performance for Geomagnetic Disturbance Events (Requirements R1, R2, R5, 5.1–5.2, R9, 9.1–9.2)
January 1, 2021	CIP-008-6 – Cyber Security – Incident Reporting and Response Planning PRC-002-2 – Disturbance Monitoring and Reporting Requirements (50% compliance for Requirements R2–R4, R6–R11) PRC-012-2 – Remedial Action Schemes PRC-025-2 – Generator Relay Loadability (phased-in implementation of Attachment 1: Relay Settings, Table 1 Options 5b, 14b, 15b, and 16b)
April 1, 2021	PER-006-1 – Specific Training for Personnel PRC-027-1 – Coordination of Protection Systems for Performance During Faults
July 1, 2021	TPL-007-4 – Transmission System Planned Performance for Geomagnetic Disturbance Events (Requirements R12 and R13)
January 1, 2022	TPL-007-4 – Transmission System Planned Performance for Geomagnetic Disturbance Events (Requirements R6, 6.1–6.4, R10, 10.1–10.4)
July 1, 2022	CIP-012-1 – Cyber Security – Communications between Control Centers PRC-002-2 – Disturbance Monitoring and Reporting Requirements (100% compliance for Requirements R2–R4, R6–R11)
January 1, 2023	TPL-007-4 – Transmission System Planned Performance for Geomagnetic Disturbance Events (Requirements R3, R4, 4.1.4.1.1–4.1.2, 4.2, 4.3, 4.3.1, R8, 8.1, 8.1.1–8.1.2, 8.2, 8.3, 8.3.1)
July 1, 2023	TPL-001-5 – Transmission System Planning Performance Requirements Implementation Plan
January 1, 2024	TPL-007-4 – Transmission System Planned Performance for Geomagnetic Disturbance Events (Requirements R7, 7.1–7.3, 7.3.1–7.3.2, 7.4, 7.4.1–7.4.3, 7.5, 7.5.1, R11, 11.1–11.3, 11.3.1–11.3.2, 11.4, 11.4.1–11.4.3, 11.5, and 11.5.1)

BOARD OF TRUSTEES AND FERC ACTION

NEW NERC Filings

On June 8, 2020, NERC submitted to FERC a [request](#) to expend funds to develop the ERO Enterprise Secure Evidence Locker.

On June 11, 2020, NERC submitted to FERC a [motion to intervene and comment](#) with FERC in response to a complaint regarding Reliability Standard CIP-013-1.

On June 12, 2020, NERC submitted to FERC a [petition](#) for approval of proposed Reliability Standard CIP-002-6 and a [petition](#) for approval of the amended Compliance and Certification Committee Charter.

NERC's 2020 filings to FERC are available [here](#).

NEW FERC Issuances

On June 10, 2020, FERC issued a [letter order](#) approving NERC's April 23 petition seeking approval of a proposed erratum to Reliability Standard TPL-001-5. Consistent with NERC's Reliability Standards numbering convention, the proposed erratum version is numbered Reliability Standard TPL-001-5.1.

FERC's 2020 issuances are available [here](#).

NEW FERC Open Meeting Agenda Posted

FERC has posted the [agenda](#) for the upcoming June 18 monthly meeting. There is one item of interest for NERC:

- E-5 RM20-12-000 — Potential Enhancements to the Critical Infrastructure Protection Reliability Standards

UPCOMING EVENTS

For information about other NERC events, such as meetings and conference calls for other standing committees, subcommittees, task forces, and working groups, please refer to the [NERC calendar](#).

Workshops and Conferences

There are no workshops or conferences currently scheduled.

Webinars

There are no webinars currently scheduled.

Standing Committee Meetings and Conference Calls

- **REGIONAL ENTITY EVENT** ReliabilityFirst Open Forum Compliance Call – 2:00–3:30 Eastern, June 15, 2020 | [Register](#)
- Standards Committee Conference Call – 1:00–3:00 p.m. Eastern, June 17, 2020 | [Register](#)

Standard Drafting Team Meetings and Conference Calls

The [Standards calendar](#) provides dial-in information for the in-person meetings below. The calendar also provides information about conference calls that drafting teams may hold in addition to in-person meetings.

There are no standard drafting team meetings currently scheduled.

ABOUT THE STANDARDS, COMPLIANCE, AND ENFORCEMENT BULLETIN

This weekly bulletin compiles a list of standards, compliance, and enforcement projects with actionable deadlines, as well as upcoming events, recently posted resources, other NERC documents posted for comment, and other relevant news and information. Please email [Amy Klagholz](#) with feedback on this bulletin. The current bulletin and old bulletins are available under “Program News” on both the [Standards home page](#) and the [Compliance & Enforcement home page](#).

If you would like to receive this bulletin or be added to the distribution list for a particular project, please submit a ticket through the [Help Desk](#). Select the “NERC Email Distribution List” option under the “Applications” menu. In the Description Box, please specify which lists you would like your email address to be added to or note which announcements you would like to receive. This bulletin is distributed to several lists, including the Standards distro list (Standards-specific announcements) and the NERC-info distro list (most NERC announcements).