

# Standards, Compliance, and Enforcement Bulletin

September 28–October 4, 2020

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## ACTIVE STANDARDS POSTINGS

### Current and Upcoming Ballots (ballot periods close at 8:00 p.m. Eastern)

Project	Action	Start Date	End Date
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Join Ballot Pools			
Project	Action	Start Date	End Date
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Posted for Comment			
Project	Action	Start Date	End Date
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## OTHER ACTIVE COMMENT PERIODS

Posted for Comment			
Posting	Action	Start Date	End Date
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## STANDARDS NEWS

### **NEW** Nomination Period Open for Standards Committee Election

The Standards Committee (SC) is seeking nominations. The SC, which consists of two members from each of the ten Industry Segments that make up the RBB, is elected by industry stakeholders and reports directly to the NERC Board of Trustees. The SC meets up to twelve times each year, with four face-to-face meetings and the remainder by conference call. SC members who cannot travel to the meetings may participate by conference call. The [Standards Committee Charter](#) provides a description of the SC's responsibilities.

There are members representing 10 segments whose terms will conclude at the end of December 2020. Therefore, an election will be held to fill the two-year terms (2019–2020) for each of the ten segments. In addition, there is a vacancy in Segments 4 and 7, for one-year terms concluding December 2021. When submitting a nomination form, indicate the segment you are interested in for membership. For segments 4 and 7, include whether you are interested in the one-year or two-year term. When the election concludes for segments 4 and 7, the individual with the majority votes will be elected for the term of their choice. The runner-up will be asked to fill the available term for that segment. Any Industry Segment that intends to use a special procedure to elect its SC representatives must provide a copy of its procedure to [Katrina Blackley](#) by October 15, 2020.

Anyone may submit a [nomination](#). To be eligible for nomination, a nominee shall be an employee or agent of an entity belonging in the applicable Segment. **To allow verification of affiliation, a nominee must be a registered user in the NERC RBB.** It is not required that the nominee be the same person as the entity's RBB representative for the applicable Segment. Instructions are provided in [Appendix 3B](#) of the NERC Rules of Procedure – *Procedure for Election of Members of the NERC Standards Committee Procedure*. Completed nomination forms will be posted on

### Quick Links

- [Register in the SBS](#)
- [Projected Standards Posting Schedule](#)
- [Project Tracking Spreadsheet](#)
- [2019–2021 Reliability Standards Development Plan](#)
- [Quality Review Application](#)
- [One-Stop Shop \(Status, Purpose, Implementation Plans, FERC Orders, RSAWs\)](#)
- [CIP Standards Efficiency Review](#)
- [Submit Questions or Feedback](#)

the [SC Nominations and Elections web page](#). Please submit completed SC nomination forms to [Katrina Blackley](#) no later than **Thursday, October 15, 2020**. An election of segment representatives will be conducted shortly after the nomination period is closed.

Membership Terms Concluding			
Segment	Representative	Title	Organization
1	Sean Bodkin	NERC Compliance Policy Manager	Dominion Resources Services, Inc.
2	Charles Young	Executive Director Interregional Affairs	Southwest Power Pool
3	Linn Oelker	Manager – Market Compliance	LG&E and KU Services Company
4	Barry Lawson	Associate Director, Power Delivery and Reliability	National Rural Electric Cooperative Association
4	Vacant for 2020–2021		
5	William Winters	Chief Engineer, Electrical Engineering	Con Edison Company of New York, Inc.
6	Rebecca Darrah	Manager of Reliability Compliance	ACES
7	Venona Greaff	Senior Energy Analyst	Occidental Chemical Corporation
7	Vacant for 2020–2021		
8	David Kiguel		Independent
9	Vacant		
10	Steven Rueckert	Director of Standards	WECC

## GENERAL COMPLIANCE AND ENFORCEMENT NEWS

### **NEW RSAWs Posted**

NERC has posted two new Reliability Standard Audit Worksheets (RSAWs) to the RSAW page under the heading “Current RSAWs for Use.”

- [BAL-003-2 – Frequency Response and Frequency Bias Setting](#): The standard becomes effective December 1, 2020, and it applies to Balancing Authorities and Frequency Response Sharing Groups.
- [TPL-007-4 – Transmission System Planned Performance for Geomagnetic Disturbance Events](#): This standard becomes effective October 1, 2020, and it applies to specified Planning Coordinators, Transmission Planners, Transmission Owners, and Generator Owners.

### **NEW Standards Effective This Week**

The following standards become effective on October 1, 2020:

- [CIP-005-6 – Cyber Security – Electronic Security Perimeter\(s\)](#)
- [CIP-010-3 – Cyber Security – Configuration Change Management and Vulnerability Assessments](#)
- [CIP-013-1 – Cyber Security – Supply Chain Risk Management](#)
- [TPL-007-4 – Transmission System Planned Performance for Geomagnetic Disturbance Events \(Requirements R1, R2, R5, 5.1–5.2, R9, 9.1–9.2\)](#)

### **NEW CORES Survey Notice**

The ERO Enterprise will be seeking feedback regarding functionality on the ERO Portal regarding registration activities. The survey invitation will be sent to PCCs and ACCs on October 16 and will include a Survey Monkey link. The survey, which will be open for 30 days, will ask questions regarding user experience with CORES functionality, in particular New Entity Registrations and My Entity updates. There are four parts to the survey, including the ERO Portal, New Registrations, My Entity, and Training. The ERO Enterprise will use the survey results to focus on enhancing future releases with the registered entities’ perspective. Survey Monkey estimated that most respondents will be able to complete the survey between 1–7 minutes.

### Quick Links

- [Risk-Based CMEP](#)
- [CIP V5 Transition Program](#)
- [ERO Enterprise Program Alignment Process](#)
- [Consistency Reporting Tool \(EthicsPoint\)](#)
- [Risk-Based Registration Initiative](#)
- [Reliability Standard Audit Worksheets](#)
- [Enforcement & Mitigation: Enforcement Actions](#)
- [Align Project \(formerly CMEP Technology Project\)](#)

## OTHER NEWS

### **NEW** Ballot Period Open for Reliability and Security Technical Committee Special Election

The ballot period for the NERC [Reliability and Security Technical Committee \(RSTC\)](#) Special Election began on September 21 and ends at midnight on October 5, 2020. The Large End Use Electricity Customer sector has an open position on the RSTC. The elected member will represent the Large End Use Electricity Customer sector for the remainder of the vacated term.

To submit a ballot, you must be either the primary or alternate person designated in NERC’s records for the NERC Member and you must be a NERC Member in Sector 8: Large End Use Electricity Customer. Only one ballot per NERC Member may be cast.

Please complete the [ballot](#) and submit by midnight Eastern Time on October 5, 2020.

### **NEW** Approved Reliability Guideline Posted

The NERC Reliability and Security Technical Committee (RSTC) at its September meeting approved [Reliability Guideline: DER Data Collection for Modeling in Transmission Planning Studies](#) that was developed by the [NERC System Planning Impacts from Distributed Energy Resources Working Group \(SPIDERWG\)](#). The guideline provides recommendations and guidance for establishing effective modeling data requirements on collecting aggregate DER data for the purposes of performing reliability studies. TPs and PCs should review their data requirements and consider incorporating the recommendations presented in this guideline into those data requirements. DPs are encouraged to review the recommendations and reference materials to better understand the types of modeling data needed by the TP and PC and to help facilitate this data and information transfer.

## STANDARDS SUBJECT TO FUTURE ENFORCEMENT

Please refer to the [U.S. Effective Dates page](#) for more detail on the standards listed below.

### U.S. Effective Dates for Reliability Standards

U.S. Effective Date	Standard(s)
<b>October 1, 2020</b>	<a href="#">CIP-005-6 – Cyber Security – Electronic Security Perimeter(s)</a>
<b>EFFECTIVE THIS WEEK</b>	<a href="#">CIP-010-3 – Cyber Security – Configuration Change Management and Vulnerability Assessments</a>
	<a href="#">CIP-013-1 – Cyber Security – Supply Chain Risk Management</a>
	<a href="#">TPL-007-4 – Transmission System Planned Performance for Geomagnetic Disturbance Events (Requirements R1, R2, R5, 5.1–5.2, R9, 9.1–9.2)</a>

<b>December 1, 2020</b>	<a href="#">BAL-003-2 – Frequency Response and Frequency Bias Setting</a>
<b>January 1, 2021</b>	<a href="#">CIP-008-6 – Cyber Security – Incident Reporting and Response Planning</a>
	<a href="#">PRC-002-2 – Disturbance Monitoring and Reporting Requirements (50% compliance for Requirements R2–R4, R6–R11)</a>
	<a href="#">PRC-012-2 – Remedial Action Schemes</a> <a href="#">PRC-025-2 – Generator Relay Loadability (phased-in implementation of Attachment 1: Relay Settings, Table 1 Options 5b, 14b, 15b, and 16b)</a>
<b>April 1, 2021</b>	<a href="#">PER-006-1 – Specific Training for Personnel</a>
	<a href="#">PRC-027-1 – Coordination of Protection Systems for Performance During Faults</a>
<b>July 1, 2021</b>	<a href="#">TPL-007-4 – Transmission System Planned Performance for Geomagnetic Disturbance Events (Requirements R12 and R13)</a>
<b>January 1, 2022</b>	<a href="#">PRC-012-2 – Remedial Action Schemes (Requirement R9)</a>
	<a href="#">TPL-007-4 – Transmission System Planned Performance for Geomagnetic Disturbance Events (Requirements R6, 6.1–6.4, R10, 10.1–10.4)</a>
<b>July 1, 2022</b>	<a href="#">CIP-012-1 – Cyber Security – Communications between Control Centers</a>
	<a href="#">PRC-002-2 – Disturbance Monitoring and Reporting Requirements (100% compliance for Requirements R2–R4, R6–R11)</a>
<b>October 1, 2022</b>	<a href="#">PRC-024-3 – Frequency and Voltage Protection Settings for Generating Resources</a>
<b>January 1, 2023</b>	<a href="#">TPL-007-4 – Transmission System Planned Performance for Geomagnetic Disturbance Events (Requirements R3, R4, 4.1.4.1.1–4.1.2, 4.2, 4.3, 4.3.1, R8, 8.1, 8.1.1–8.1.2, 8.2, 8.3, 8.3.1)</a>
<b>July 1, 2023</b>	<a href="#">TPL-001-5.1 – Transmission System Planning Performance Requirements</a>   <a href="#">Implementation Plan</a>
<b>January 1, 2024</b>	<a href="#">TPL-007-4 – Transmission System Planned Performance for Geomagnetic Disturbance Events (Requirements R7, 7.1–7.3, 7.3.1–7.3.2, 7.4, 7.4.1–7.4.3, 7.5, 7.5.1, R11, 11.1–11.3, 11.3.1–11.3.2, 11.4, 11.4.1–11.4.3, 11.5, and 11.5.1)</a>

**BOARD OF TRUSTEES AND FERC ACTION**

There is no additional Board or FERC action to report for this week.

## UPCOMING EVENTS

For information about other NERC events, such as meetings and conference calls for other standing committees, subcommittees, task forces, and working groups, please refer to the [NERC calendar](#).

### Workshops and Conferences

- Project 2016-02 – Modifications to CIP Standards | Virtualization Workshop – 12:00–5:00 p.m. Eastern, September 30, 2020 | [Register](#)
- Monitoring and Situational Awareness Technical Conference Session 2 – October 15, 2020 | [Register](#)
- **REGIONAL ENTITY EVENT** Texas RE Fall Standards and Compliance Workshop – October 22, 2020 | [Register](#)
- Monitoring and Situational Awareness Technical Conference Session 3 – November 10, 2020 | [Register](#)

### Webinars

- **REGIONAL ENTITY EVENT** ReliabilityFirst Insider Threat Webinar – 8:00 a.m.–12:00 p.m. Eastern, September 30, 2020 | [Register](#)
- **REGIONAL ENTITY EVENT** Talk with Texas RE: Standards Update – 1:30–2:30 p.m. Central, November 19, 2020 | [Register](#)

### Standing Committee Meetings and Conference Calls

- Member Representatives Committee Pre-Meeting Conference Call and Informational Webinar – 11:00 a.m.–12:30 p.m. Eastern, October 7, 2020 | [Register](#)

### Standard Drafting Team Meetings and Conference Calls

The [Standards calendar](#) provides dial-in information for the in-person meetings below. The calendar also provides information about conference calls that drafting teams may hold in addition to in-person meetings.

- **NEW** Project 2015-09 – Establish and Communicate System Operating Limits Standard Drafting Team Conference Call – 1:00–3:00 p.m. Eastern, September 29, 2020 | [Register](#)
- **NEW** Project 2020-01 – Modifications to MOD-032-1 SAR Drafting Team Conference Call – 12:00–2:00 p.m. Eastern, September 30, 2020 | [Register](#)
- Project 2019-04 – Modifications to PRC-005-6 Drafting Team Conference Call – 1:30–4:30 p.m. Eastern, October 1, 2020 | [Register](#)
- Project 2019-04 – Modifications to PRC-005-6 Drafting Team Conference Call – 1:30–4:30 p.m. Eastern, October 8, 2020 | [Register](#)

## ABOUT THE STANDARDS, COMPLIANCE, AND ENFORCEMENT BULLETIN

This weekly bulletin compiles a list of standards, compliance, and enforcement projects with actionable deadlines, as well as upcoming events, recently posted resources, other NERC documents posted for comment, and other relevant news and information. Please email [Amy Klagholz](mailto:amy.klagholz@nerc.gov) with feedback on this bulletin. The current bulletin and old bulletins are available under “Program News” on both the [Standards home page](#) and the [Compliance & Enforcement home page](#).

If you would like to receive this bulletin or be added to the distribution list for a particular project, please submit a ticket through the [Help Desk](#). Select the “NERC Email Distribution List” option under the “Applications” menu. In the Description Box, please specify which lists you would like your email address to be added to or note which announcements you would like to receive. This bulletin is distributed to several lists, including the Standards distro list (Standards-specific announcements) and the NERC-info distro list (most NERC announcements).