

Standards, Compliance, and Enforcement Bulletin

March 29–April 4, 2021

INSIDE THIS EDITION

[Active Standards Postings](#) | [Other Active Comment Periods](#) | [Standards News](#) | [General Compliance and Enforcement News](#) | [Other News](#) | [Standards Subject to Future Enforcement](#) | [Board of Trustees and FERC Action](#) | [Upcoming Events](#) | [About the SC&E Bulletin](#)

ACTIVE STANDARDS POSTINGS

Current and Upcoming Ballots (ballot periods close at 8:00 p.m. Eastern)

Project	Action	Start Date	End Date
Project 2015-09 – Establish and Communicate System Operating Limits FAC-014-3	Additional Ballot and Non-Binding Polls	03/26/21	04/05/21
NEW Project 2019-02 – BES Cyber System Information Access Management	Additional Ballot and Non-Binding Polls	04/30/21	05/10/21

Join Ballot Pools

Project	Action	Start Date	End Date
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Posted for Comment

Project	Action	Start Date	End Date
Project 2021-01 – Modifications to MOD-025 and PRC-019 SAR	Comment Period	03/04/21	04/02/21
WECC Regional Reliability Standards Development Procedure	Comment Period	02/17/21	04/02/21
Project 2015-09 – Establish and Communicate System Operating Limits FAC-014-3	Comment Period	02/19/21	04/05/21
NEW Project 2017-01 – Modifications to BAL-003 White Paper	Comment Period	03/29/21	04/27/21
NEW 2020 Periodic Review Standing Review Team Standards Grading	Comment Period	03/22/21	05/05/21
NEW Project 2019-02 – BES Cyber System Information Access Management	Comment Period	03/25/21	05/10/21

OTHER ACTIVE COMMENT PERIODS

Posted for Comment

Posting	Action	Start Date	End Date
<p>Comment Period Open for Draft Reliability Guideline: Area Control Error Diversity Interchange Process – Version 3: The objective of this reliability guideline is to distribute key best practices and information on specific issues critical to maintaining the highest levels of Bulk Electric System (BES) reliability. Reliability guidelines are not to be used to provide binding norms or create parameters by which compliance to standards is monitored or enforced. While the incorporation and use of guideline practices is strictly voluntary, the review, revision, and development of a program using these practices is highly encouraged to promote and achieve the highest levels of reliability for the BES. The NERC Reliability and Security Technical Committee (RSTC) reviewed the initial draft of the Reference Document and approved it to be posted for industry comment.</p>	Please submit comments via email using the comment form .	02/02/21	04/02/21

STANDARDS NEWS

NEW Notice of Request to Waive the Standard Process by Action without a Meeting

As required by [Section 16 of the NERC Standard Processes Manual \(SPM\)](#), please take notice that the leadership of the Project 2019-06 – Cold Weather Standards Drafting Team and NERC Standards staff (Requesters) are requesting that the Standards Committee (SC) consider a waiver of the SPM. The Requesters ask to shorten the next formal comment and ballot period(s) for draft standards EOP-011-2, IRO-010-4, and TOP-003-5, and any subsequent formal comment and ballot periods prior to final ballot for those standards, from 45 days to 25 days, with a ballot and non-binding poll during the last 10 days of the 25-day period. The Requesters seek this waiver in order to meet a June 2021 project deadline set by the NERC Board of Trustees (Board) on March 22, 2021. Section 16 of the SPM provides that a waiver may be granted where necessary to meet deadlines imposed by the Board. The SC will consider this waiver by Action without a Meeting, conducted in accordance with Section 8.8 of the [Standards Committee Charter](#), on March 29, 2021. The results of the vote, along with any approved waiver, shall be posted to the NERC website as provided in the SPM and the SC Charter.

Nomination Period Open for Project 2021-03 – CIP-002 Transmission Owner Control Centers

NERC is seeking standard drafting team (SDT) nominations are being sought for Project 2021-03 – CIP-002 Transmission Owner Control Centers (TOCC) through **April 20, 2021**. The time commitment is expected to be significant. Participants should anticipate an average workload of 15 hours per week devoted to drafting team efforts. There will be up to two virtual meetings weekly with additional virtual meetings scheduled as needed to meet the agreed-upon timeline the review or drafting team sets forth. Team members may also have side projects, either individually or by subgroup, to present to the larger team for discussion and review. Lastly, an important component of the review and drafting team effort is outreach. Members of the team will be expected to conduct industry outreach during the development process to support a successful project outcome. Previous SDT experience is beneficial but not required.

Use the [electronic form](#) to submit a nomination. Contact [Linda Jenkins](#) regarding issues using the electronic form. An unofficial Word version of the nomination form is posted on the [Standard Drafting Team Vacancies](#) page and the [project page](#). By submitting a nomination form, you are

Quick Links

- [Register in the SBS](#)
- [Projected Standards Posting Schedule](#)
- [Project Tracking Spreadsheet](#)
- [2019–2021 Reliability Standards Development Plan](#)
- [Quality Review Application](#)
- [One-Stop Shop \(Status, Purpose, Implementation Plans, FERC Orders, RSAWs\)](#)
- [CIP Standards Efficiency Review](#)
- [Submit Questions or Feedback](#)

indicating your willingness and agreement to actively participate in face-to-face meetings and conference calls. The Standards Committee is expected to appoint members to the SDT in May 2021. Nominees will be notified shortly after they have been appointed.

GENERAL COMPLIANCE AND ENFORCEMENT NEWS

NEW Program Alignment Update

NERC resolved an alleged alignment issue pertaining to the assessment criteria for TOP-001-3 R9. NERC conducted a process review for evidence requests when auditing TOP-001-4 R9 with the Regional Entity involved and found that the processes align with SDT's response to comments and are similar across all Regions.

The ERO Enterprise Program Alignment Process is intended to enhance efforts to identify, prioritize, and resolve alignment issues across the ERO Enterprise. Using this process, NERC captures identified issues from the various resources in a [centralized repository](#). The Consistency Reporting Tool uses a third-party application, EthicsPoint, which allows stakeholders to submit consistency issues—anonymously, if desired.

NEW Compliance Guidance Update

There is one new proposed Implementation Guidance document posted to the Proposed Implementation Guidance section of the [NERC Compliance Guidance web page](#):

- [CIP-005-6 R2.4 R2.5 Vendor Support via Web Conferencing \(NATF\)](#)

The [ERO Enterprise Non-Endorsed Implementation Guidance tracking spreadsheet](#) has been updated to include the following:

- CIP-005-7 R3 Electronic Security Perimeters (2019-03 SDT)
- CIP-010-4 R1 Configuration Change Management and Vulnerability Assessments (2019-03 SDT)
- CIP-013-2 Supply Chain Risk Management Plans (2019-03 SDT)

NEW Standards Coming Into Effect This Week

The following standards become effective on April 1, 2021:

- [FAC-002-3 – Facility Interconnection Studies](#)
- [INT-006-5 – Evaluation of Interchange Transactions](#)

Quick Links

- [Compliance Monitoring & Enforcement Program One-Stop Shop](#)
- [CIP V5 Transition Program](#)
- [ERO Enterprise Program Alignment Process](#)
- [Consistency Reporting Tool \(EthicsPoint\)](#)
- [Risk-Based Registration Initiative](#)
- [Reliability Standard Audit Worksheets](#)
- [Enforcement & Mitigation: Enforcement Actions](#)
- [Align Project \(formerly CMEP Technology Project\)](#)

- [INT-009-3 – Implementation of Interchange](#)
- [IRO-002-7 – Reliability Coordination – Monitoring and Analysis](#)
- [IRO-010-3 – Reliability Coordinator Data Specification and Collection](#)
- [MOD-031-3 – Demand and Energy Data](#)
- [MOD-033-2 – Steady-State and Dynamic System Model Validation](#)
- [NUC-001-4 – Nuclear Plant Interface Coordination](#)
- [PER-006-1 – Specific Training for Personnel](#)
- [PRC-004-6 – Protection System Misoperation Identification and Correction](#)
- [PRC-006-5 – Automatic Underfrequency Load Shedding](#)
- [PRC-027-1 – Coordination of Protection Systems for Performance During Faults](#)
- [TOP-001-5 – Transmission Operations](#)
- [TOP-003-4 – Operational Reliability Data](#)

OTHER NEWS

NEW Robb Testifies at House Energy and Commerce Oversight and Investigations Subcommittee Hearing

On March 24, Jim Robb, president and CEO at NERC, testified at the House Energy and Commerce Oversight and Investigations Subcommittee hearing entitled, “Power Struggle: Examining the 2021 Texas Grid Failure.”

In addition to Robb, other witnesses included:

- The Honorable Sylvester Turner, mayor, City of Houston
- Bill Magness, president and CEO, Electric Reliability Council of Texas (ERCOT)
- The Honorable Christi Craddick, chair, Railroad Commission of Texas
- Michael Shellenberger, founder and president, Environmental Progress

The hearing was webcast live on the [committee’s website](#). Robb’s testimony is available [here](#).

STANDARDS SUBJECT TO FUTURE ENFORCEMENT

Please refer to the [U.S. Effective Dates page](#) for the most up-to-date information on effective dates and for more detail on the below.

U.S. Effective Dates for Reliability Standards	
U.S. Effective Date	Standard(s)
April 1, 2021 EFFECTIVE THIS WEEK	FAC-002-3 – Facility Interconnection Studies
	INT-006-5 – Evaluation of Interchange Transactions
	INT-009-3 – Implementation of Interchange
	IRO-002-7 – Reliability Coordination – Monitoring and Analysis
	IRO-010-3 – Reliability Coordinator Data Specification and Collection
	MOD-031-3 – Demand and Energy Data
	MOD-033-2 – Steady-State and Dynamic System Model Validation
	NUC-001-4 – Nuclear Plant Interface Coordination
	PER-006-1 – Specific Training for Personnel
	PRC-004-6 – Protection System Misoperation Identification and Correction
	PRC-006-5 – Automatic Underfrequency Load Shedding
PRC-027-1 – Coordination of Protection Systems for Performance During Faults	
TOP-001-5 – Transmission Operations	
TOP-003-4 – Operational Reliability Data	
July 1, 2021	TPL-007-4 – Transmission System Planned Performance for Geomagnetic Disturbance Events (Requirements R12 and R13)
January 1, 2022	PRC-012-2 – Remedial Action Schemes (Requirement R9)
	TPL-007-4 – Transmission System Planned Performance for Geomagnetic Disturbance Events (Requirements R6, 6.1–6.4, R10, 10.1–10.4)
July 1, 2022	CIP-012-1 – Cyber Security – Communications between Control Centers
	PRC-002-2 – Disturbance Monitoring and Reporting Requirements (100% compliance for Requirements R2–R4, R6–R11)
October 1, 2022	PRC-024-3 – Frequency and Voltage Protection Settings for Generating Resources
January 1, 2023	TPL-007-4 – Transmission System Planned Performance for Geomagnetic Disturbance Events (Requirements R3, R4, 4.1, 4.1.1–4.1.2, 4.2, 4.3, 4.3.1, R8, 8.1, 8.1.1–8.1.2, 8.2, 8.3, 8.3.1)
July 1, 2023	TPL-001-5.1 – Transmission System Planning Performance Requirements Implementation Plan

January 1, 2024	TPL-007-4 – Transmission System Planned Performance for Geomagnetic Disturbance Events (Requirements R7, 7.1–7.3, 7.3.1–7.3.2, 7.4, 7.4.1–7.4.3, 7.5, 7.5.1, R11, 11.1–11.3, 11.3.1–11.3.2, 11.4, 11.4.1–11.4.3, 11.5, and 11.5.1)
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BOARD OF TRUSTEES AND FERC ACTION

NEW NERC Filings

On March 22, 2021, NERC submitted to FERC [comments](#) on FERC’s notice of proposed rulemaking regarding Managing Transmission Line Ratings.

You can find all of NERC’s filings to FERC for [2021](#) here.

UPCOMING EVENTS

For information about other NERC events, such as meetings and conference calls for other standing committees, subcommittees, task forces, and working groups, please refer to the [NERC calendar](#).

Workshops and Conferences

- **SAVE THE DATE** Human Performance in Electric Power Virtual Session – 1:00–5:00 p.m. Eastern, May 6, 2021 | **Registration Coming Soon**

Webinars

- **INDUSTRY WEBINAR** DOE Wildfire Mitigation Webinar Series: Sensing & Detection | Fire Testing Capabilities – 2:00–4:00 p.m. Eastern, April 8, 2021 | [Register](#)
- **INDUSTRY WEBINAR** DOE Wildfire Mitigation Webinar Series: Situational Awareness – 2:00–4:00 p.m. Eastern, April 15, 2021 | [Register](#)
- **INDUSTRY WEBINAR** DOE Wildfire Mitigation Webinar Series: Modeling & Analytical Tools – 2:00–4:00 p.m. Eastern, April 22, 2021 | [Register](#)
- **INDUSTRY WEBINAR** DOE Wildfire Mitigation Webinar Series: Modeling & Analytical Tools | Post Fire Analysis – 2:00–4:00 p.m. Eastern, April 29, 2021 | [Register](#)

Standing Committee Meetings and Conference Calls

- **NEW** Reliability Issues Steering Committee Meeting – 1:00–2:00 p.m. Eastern, April 8, 2021 | [Register](#)
- Member Representatives Committee Pre-Meeting Conference Call and Informational Webinar – 11:00–12:00 p.m. Eastern, April 14, 2021 | [Register](#)

- Board of Trustees, Board Committees, and Member Representatives Committee Meetings – May 12–13, 2021 | [Register](#) | [Schedule of Events](#)

Standard Drafting Team Meetings and Conference Calls

- **NEW** Project 2019-04 – Modifications to PRC-005-6 Drafting Team Conference Call – 1:30–4:30 p.m. Eastern, March 29, 2021 | [Register](#)
- Project 2020-05 – Modifications to FAC-001-3 and FAC-002-2 Drafting Team Conference Call – 2:00–4:00 p.m. Eastern, March 29, 2021 | [Register](#)
- Project 2020-05 – Modifications to FAC-001-3 and FAC-002-2 Drafting Team Conference Call – 2:00–4:00 p.m. Eastern, March 31, 2021 | [Register](#)

ABOUT THE STANDARDS, COMPLIANCE, AND ENFORCEMENT BULLETIN

This weekly bulletin compiles a list of standards, compliance, and enforcement projects with actionable deadlines, as well as upcoming events, recently posted resources, other NERC documents posted for comment, and other relevant news and information. Please email [Amy Klagholz](#) with feedback on this bulletin. The current bulletin and old bulletins are available under “Program News” on both the [Standards home page](#) and the [Compliance & Enforcement home page](#).

If you would like to receive this bulletin or be added to the distribution list for a particular project, please submit a ticket through the [Help Desk](#). Select the “NERC Email Distribution List” option under the “Applications” menu. In the Description Box, please specify which lists you would like your email address to be added to or note which announcements you would like to receive. This bulletin is distributed to several lists, including the Standards distro list (Standards-specific announcements) and the NERC-info distro list (most NERC announcements).