

Standards, Compliance, and Enforcement Bulletin

November 8–14, 2021

INSIDE THIS EDITION

[Active Standards Postings](#) | [Other Active Comment Periods](#) | [Standards News](#) | [General Compliance and Enforcement News](#) | [Standards Subject to Future Enforcement](#) | [Board of Trustees and FERC Action](#) | [Upcoming Events](#) | [About the SC&E Bulletin](#)

ACTIVE STANDARDS POSTINGS

Current and Upcoming Ballots (ballot periods close at 8:00 p.m. Eastern)

Project	Action	Start Date	End Date
--	--	--	--

Join Ballot Pools

Project	Action	Start Date	End Date
--	--	--	--

Posted for Comment

Project	Action	Start Date	End Date
--	--	--	--

OTHER ACTIVE COMMENT PERIODS

Posted for Comment

Posting	Action	Start Date	End Date
<p>Comment Period Open for Draft Reliability Guideline: The DER Forecasting Practices and Relationship to DER Modeling for BPS Planning Studies Draft Reliability Guideline identifies the two large categories of forecasting strategies used in load forecasting: top down and bottom up. The top down approach creates projections over a wide area and can ignore local behavior to focus on the behavior of the whole, while the bottom up approach focuses creates projections of individual component behavior before aggregating those impacts to the level desired. When using a top down approach, there are many disaggregation techniques that can be used, two of which are mentioned in this Reliability Guideline: the proportional allocation method and the geographic distribution method. For bottom up approaches that build the forecast up from individual substations or smaller electrical boundaries (e.g., individual circuits), there is no need for such disaggregation. For both large categories, however, a large amount of data typically feeds the projection. If this data is not of high quality, the projection, if used as the forecasted values, will provide misleading, useless results. The Reliability and Security Technical Committee reviewed the guideline and approved a 45-day posting for industry comment.</p>	<p>Comments should be submitted via email using the comment form.</p>	<p>10/06/21</p>	<p>11/20/21</p>

STANDARDS NEWS

NEW Standards Committee Segment Representative Nomination Results and Election

In accordance with Appendix 3B of the NERC Rules of Procedure, the period has concluded for accepting member nominations to serve on the Standards Committee (SC) for 9 of the 10 industry Segments to fill two-year terms (2022–2023). Segment 10 will submit their nominee using an alternate election procedure.

NERC staff reviewed all nomination applications, confirmed that all nominees are registered users of the Registered Ballot Body, and verified their eligibility to be a SC member nominee. Detailed information on each nominee is posted on the SC's [Nomination and Elections](#) web page.

NERC received one nomination for each of the following industry Segments:

- Segment 2 – Michael Puscas
- Segment 3 – Kent Feliks
- Segment 4 – Marty Hostler
- Segment 5 – Terri Pyle
- Segment 6 – Sarah Snow
- Segment 7 – Kristine Martz
- Segment 8 – Robert Blohm
- Segment 9 – Sarosh Muncherji

In addition, NERC received multiple nominations for industry Segment 1:

- John Babik

Quick Links

- [Register in the SBS](#)
- [Projected Standards Posting Schedule](#)
- [Project Tracking Spreadsheet](#)
- [2019–2021 Reliability Standards Development Plan](#)
- [Quality Review Application](#)
- [One-Stop Shop \(Status, Purpose, Implementation Plans, FERC Orders, RSAWs\)](#)
- [CIP Standards Efficiency Review](#)
- [Submit Questions or Feedback](#)

- Michael Jones
- Chantal Mazza

An election began on Tuesday, November 2, 2021 and will remain open for ten calendar days, closing on Thursday, November 11, 2021 at 8:00 p.m. Eastern. Each Registered Ballot Body entity in an industry Segment may cast one vote per SC member position being filled. Proxies are allowed, and proxy designation should be emailed to [Katrina Blackley](#) prior to voting. A separate email will be sent to members of each industry Segment providing a link to vote for their respective election. Elections will take place for unopposed nominees as well, to satisfy a voting record with at least one vote required.

Segment 10 (Regional Entities) will submit their nominee using an alternate election procedure, as permitted by [Appendix 3B](#) of the NERC Rules of Procedure.

In Accordance with Appendix 3B [Procedures for Elections of Members of the Standards Committee](#), to be elected during the election, a nominee must meet both of the following:

- Receive the highest total number of votes in that industry Segment, and
- Receive a simple majority of the votes cast in that industry Segment.

If no candidate meets both criteria through the initial ballot, a second ballot will be conducted in that industry Segment. For the second ballot, the slate consists of the two candidates who received the highest number of votes in the initial ballot. The candidate receiving the highest number of votes in the second ballot is elected to represent that industry Segment. For more information or assistance, please contact [Katrina Blackley](#).

GENERAL COMPLIANCE AND ENFORCEMENT NEWS

There is no additional Compliance and Enforcement news to report for this week.

Quick Links

- [Compliance Monitoring & Enforcement Program One-Stop Shop](#)
- [CIP V5 Transition Program](#)
- [ERO Enterprise Program Alignment Process](#)
- [Consistency Reporting Tool \(EthicsPoint\)](#)
- [Risk-Based Registration Initiative](#)
- [Reliability Standard Audit Worksheets](#)
- [Enforcement & Mitigation: Enforcement Actions](#)
- [Align Project \(formerly CMEP Technology Project\)](#)

STANDARDS SUBJECT TO FUTURE ENFORCEMENT

Please refer to the [U.S. Effective Dates page](#) for the most up-to-date information on effective dates and for more detail on the below.

U.S. Effective Dates for Reliability Standards

U.S. Effective Date	Standard(s)
January 1, 2022	PRC-012-2 – Remedial Action Schemes (Requirement R9)
	TPL-007-4 – Transmission System Planned Performance for Geomagnetic Disturbance Events (Requirements R6, 6.1–6.4, R10, 10.1–10.4)
July 1, 2022	CIP-012-1 – Cyber Security – Communications between Control Centers
	PRC-002-2 – Disturbance Monitoring and Reporting Requirements (100% compliance for Requirements R2–R4, R6–R11)
October 1, 2022	CIP-005-7 – Cyber Security – Electronic Security Perimeter(s)
	CIP-010-4 – Cyber Security – Configuration Change Management and Vulnerability Assessments
	CIP-013-2 – Cyber Security – Supply Chain Risk Management
	PRC-024-3 – Frequency and Voltage Protection Settings for Generating Resources
January 1, 2023	TPL-007-4 – Transmission System Planned Performance for Geomagnetic Disturbance Events (Requirements R3, R4, 4.1, 4.1.1–4.1.2, 4.2, 4.3, 4.3.1, R8, 8.1, 8.1.1–8.1.2, 8.2, 8.3, 8.3.1)
April 1, 2023	EOP-011-2 – Emergency Preparedness and Operations

	IRO-010-4 – Reliability Coordinator Data Specification and Collection
	TOP-003-5 – Operational Reliability Data
July 1, 2023	TPL-001-5.1 – Transmission System Planning Performance Requirements Implementation Plan
January 1, 2024	TPL-007-4 – Transmission System Planned Performance for Geomagnetic Disturbance Events (Requirements R7, 7.1–7.3, 7.3.1–7.3.2, 7.4, 7.4.1–7.4.3, 7.5, 7.5.1., R11, 11.1–11.3, 11.3.1–11.3.2, 11.4, 11.4.1–11.4.3, 11.5, and 11.5.1)

BOARD OF TRUSTEES AND FERC ACTION

NEW FERC Issuances

On November 5, 2021, FERC issued a [letter order](#) approving amendments to the Midwest Reliability Organization (MRO) Regional Reliability Standards Process Manual (RSPM).

On November 2, 2021, FERC issued an [order](#) accepting the 2022 business plans and budgets, as amended, for NERC, the Regional Entities, and the Western Interconnection Regional Advisory Body (WIRAB).

On November 1, 2021, FERC issued a [letter order](#) accepting the revised SERC bylaws.

On October 28, 2021, FERC issued a [Notice of Extension of Time](#) for a compliance filing deadline regarding a revision to the Texas RE bylaws. The new deadline is December 21, 2021.

You can find all FERC issuances for 2021 [here](#).

NEW NERC Filings

On November 3, 2021, NERC filed the [3rd Quarter 2021 Application for Approval of Reliability Standards](#) with the Nova Scotia Utility and Review Board.

You can find the NERC Canadian Filings (Nova Scotia) [here](#).

NEW Board Takes Action on Cold Weather Risks, Sets Priorities on Extreme Events, Energy Sufficiency, Cybersecurity

Cold weather risk featured prominently at NERC’s quarterly Board of Trustees meeting, including Board action prioritizing proposed cold weather standards, a preview of the upcoming *Winter Reliability Assessment*, discussion of winter preparations, and emphasis of Standards Committee work. In other key actions, the Board adopted work plan priorities focused on resilience for widespread, long-term extreme temperature events, energy sufficiency, enhanced cyber standards and E-ISAC operations.

Board Chair Ken DeFontes said in opening remarks that the “FERC/NERC Cold Weather Inquiry” will be released soon and that the risks identified must be addressed expeditiously, including the recommendations for the development of standards.

DeFontes noted that the grid is rapidly changing along with weather patterns and we must do whatever is necessary to ready the system for these events – which are no longer rare. He expressed disappointment with recent action by the Standards Committee delaying the cold weather standards authorization request, while stressing that industry takes extreme weather risk seriously and is up to the task. The full announcement is available [here](#).

UPCOMING EVENTS

For information about other NERC events, such as meetings and conference calls for other standing committees, subcommittees, task forces, and working groups, please refer to the [NERC calendar](#).

Workshops and Conferences

There are no additional workshops or conferences currently scheduled.

Webinars

- FERC and ERO Enterprise Real-time Assessments Webinar – 1:00–3:00 p.m. Eastern, December 8, 2021 | [Register](#)

Standing Committee Meetings and Conference Calls

- **NEW** Reliability Issues Steering Committee Meeting – 12:00–1:30 p.m. Eastern, November 12, 2021 | [Register](#) | [Agenda Package](#)
- Standards Committee Conference Call – 1:00–3:00 p.m. Eastern, November 17, 2021 | [Register](#)
- **NEW** Compliance and Certification Committee (CCC) Meetings – November 17–18, 2021 | [Day 1 Registration](#) | [Day 2 Registration](#)

Standard Drafting Team Meetings and Conference Calls

- Project 2021-05 – Modifications to PRC-023 Drafting Team Conference Call – 10:00 a.m.–12:00 p.m. Eastern, November 8, 2021 | [Register](#)
- **NEW** Project 2021-02 – Modifications to VAR-002 Drafting Team Conference Call – 1:30–3:30 p.m. Eastern, November 9, 2021 | [Register](#)
- **NEW** Project 2021-04 – Modifications to PRC-002-2 SAR Drafting Team Conference Call – 1:00–3:00 p.m. Eastern, November 10, 2021 | [Register](#)
- Project 2021-05 – Modifications to PRC-023 Drafting Team Conference Call – 10:00 a.m.–12:00 p.m. Eastern, November 12, 2021 | [Register](#)
- **NEW** Project 2021-04 – Modifications to PRC-002-2 SAR Drafting Team Conference Call – 1:00–3:00 p.m. Eastern, November 12, 2021 | [Register](#)
- Project 2021-05 – Modifications to PRC-023 Drafting Team Conference Call – 1:00–3:00 p.m. Eastern, November 15, 2021 | [Register](#)
- **NEW** Project 2020-03 – Supply Chain Low Impact Revisions Drafting Team – 2:00–4:00 p.m. Eastern, November 15, 2021 | [Register](#)
- Project 2021-05 – Modifications to PRC-023 Drafting Team Conference Call – 10:00 a.m.–12:00 p.m. Eastern, November 16, 2021 | [Register](#)
- **NEW** Project 2021-04 – Modifications to PRC-002-2 SAR Drafting Team Conference Call – 1:00–3:00 p.m. Eastern, November 16, 2021 | [Register](#)
- Project 2021-05 – Modifications to PRC-023 Drafting Team Conference Call – 10:00 a.m.–12:00 p.m. Eastern, November 18, 2021 | [Register](#)
- **NEW** Project 2020-03 – Supply Chain Low Impact Revisions Drafting Team – 2:00–4:00 p.m. Eastern, November 18, 2021 | [Register](#)

ABOUT THE STANDARDS, COMPLIANCE, AND ENFORCEMENT BULLETIN

This weekly bulletin compiles a list of standards, compliance, and enforcement projects with actionable deadlines, as well as upcoming events, recently posted resources, other NERC documents posted for comment, and other relevant news and information. Please email [Amy Klagholz](#) with feedback on this bulletin. The current bulletin and old bulletins are available under “Program News” on both the [Standards home page](#) and the [Compliance & Enforcement home page](#).

REGISTRATION: If you would like to receive this bulletin or be added to a NERC distribution list, you **must** first register an account in the [ERO Portal](#). Once you have registered your ERO Portal account, authenticated your credentials with DUO, and completed your profile, please submit a ticket through the [Help Desk](#) by selecting the “NERC Email Distribution List” option under the Applications menu. In the Description Box, please

specify which lists you would like your email address to be added to. If you are unsure of which distribution list you would like to be added to, an excellent starting point is the "[Committee](#)" page. You can note the committee abbreviation, which represents the distribution list you would like to join, in the Description Box. If you have other NERC-related or specific Standards projects you're interested in, you may request those as well. If you're interested in general information, please request to be added to the NERC-info distribution list, which covers most NERC announcements. This bulletin is distributed to several lists, including the Standards distribution list (Standards-specific announcements) and the NERC-info distribution list.