

# Standards, Compliance, and Enforcement Bulletin

December 13–19, 2021

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## ACTIVE STANDARDS POSTINGS

### Current and Upcoming Ballots (ballot periods close at 8:00 p.m. Eastern)

Project	Action	Start Date	End Date
<a href="#">Project 2020-04 – Modifications to CIP-012</a>   Draft 2	<a href="#">Additional Ballot and Non-Binding Polls</a>	01/14/22	01/24/22
<b>NEW</b> <a href="#">Project 2020-05 – Modifications to FAC-001 and FAC-002</a>   Draft 1	<a href="#">Initial Ballot and Non-Binding Polls</a>	01/21/22	01/31/22

### Join Ballot Pools

Project	Action	Start Date	End Date
<b>NEW</b> <a href="#">Project 2020-05 – Modifications to FAC-001 and FAC-002</a>   Draft 1	<a href="#">Join Ballot Pools</a>	12/07/21	01/10/22

### Posted for Comment

Project	Action	Start Date	End Date
<a href="#">Project 2021-07 – Extreme Cold Weather Grid Operations, Preparedness, and Coordination</a>   SAR	<a href="#">Comment Period</a>	11/22/21	12/21/21
<a href="#">Project 2020-04 – Modifications to CIP-012</a>   Draft 2	<a href="#">Comment Period</a>	11/30/21	01/24/22
<b>NEW</b> <a href="#">Project 2021-08 – Modifications to FAC-008</a>   SAR	<a href="#">Comment Period</a>	12/09/21	01/27/22
<b>NEW</b> <a href="#">Project 2020-05 – Modifications to FAC-001 and FAC-002</a>   Draft 1	<a href="#">Comment Period</a>	12/07/21	01/31/22
OTHER ACTIVE COMMENT PERIODS			
Posted for Comment			
Posting	Action	Start Date	End Date
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## STANDARDS NEWS

### **NEW Webinar Resources Posted**

NERC posted the [slide presentation](#) and [recording](#) for the December 8 Project 2020-04 – Modifications to CIP-012 webinar.

### **NEW Nomination Period Open for Project 2021-08 – Modifications to FAC-008 SAR Drafting Team Members**

NERC is seeking Standard Authorization Request (SAR) drafting team members for Project 2021-08 – Modifications to FAC-008 through **8 p.m. Eastern, Thursday, January 27, 2022**. This team will meet regularly, up to twice a week on conference calls, with face-to-face meetings scheduled as the members’ schedules and the pandemic allow, to meet the agreed-upon timeline the drafting team sets forth.

Team members may also have side projects, either individually or by sub-group, to present for discussion and review. Lastly, an important component of the team effort is outreach. Members of the team will be expected to conduct industry outreach during the development process to support a successful ballot. Previous drafting team experience is beneficial but not required. By submitting a nomination form, you are indicating your willingness and agreement to actively participate in face-to-face meetings and conference calls.

### Quick Links

- [Register in the SBS](#)
- [Projected Standards Posting Schedule](#)
- [Project Tracking Spreadsheet](#)
- [2019–2021 Reliability Standards Development Plan](#)
- [Quality Review Application](#)
- [One-Stop Shop \(Status, Purpose, Implementation Plans, FERC Orders, RSAWs\)](#)
- [CIP Standards Efficiency Review](#)
- [Submit Questions or Feedback](#)

Use the [electronic form](#) to submit a nomination. Contact [Wendy Muller](#) regarding issues using the electronic form. An unofficial Word version of the nomination form is posted on the [Standard Drafting Team Vacancies](#) page and the [project page](#). The Standards Committee is expected to appoint members to the SAR drafting team in March 2022. Nominees will be notified shortly after they have been appointed.

## GENERAL COMPLIANCE AND ENFORCEMENT NEWS

### **NEW NERC Releases Third Episode of Compliance Podcast**

NERC is pleased to announce the release of the third installment of its compliance podcast, “Currently Compliant.” Hosted by ERO Enterprise subject matter experts (SMEs), “Currently Compliant” is intended to be a quick way to bring attention to frequently asked questions on which the SMEs have some clear insights to share.

[Currently Compliant: Episode 3](#) focuses on the recent release of the [Cold Weather Practice Guide](#) as well as [CIP-008-6 – Cyber Security — Incident Reporting and Response Planning](#), which went into effect in January 2021.

The Cold Weather Practice Guide discussion features the following SMEs:

- **Ryan Mauldin**, Senior Engineer, Compliance Assurance, NERC
- **Craig Struck**, Senior Auditor, Grid Planning & Operations Assurance, NERC

The CIP-008 discussion, which begins around the 17-minute mark, features the following SMEs:

- **Kenath Carver**, Manager, CIP Compliance Monitoring, Texas RE
- **Lonnie Ratliff**, Senior Manager, Cyber and Physical Security Assurance, NERC

For any suggestions or questions, please contact [compliancequestions@nerc.net](mailto:compliancequestions@nerc.net) and put “Currently Compliant” in the subject line.

### **NEW Nomination Period Open for Compliance and Certification Committee**

The [Compliance and Certification Committee \(CCC\)](#) is requesting nominations to fill the vacant Sector 2 – State/Municipal Utility position. Successful candidates will serve a term ending December 31, 2022. The CCC engages with support and advises the NERC Board and NERC regarding all facets of the NERC Compliance Monitoring and Enforcement Program, Organization Registration Program, and Organization

### Quick Links

- [Compliance Monitoring & Enforcement Program One-Stop Shop](#)
- [ERO Enterprise Program Alignment Process](#)
- [Consistency Reporting Tool \(EthicsPoint\)](#)
- [Risk-Based Registration Initiative](#)
- [Reliability Standard Audit Worksheets](#)
- [Enforcement & Mitigation: Enforcement Actions](#)
- [Align Project \(formerly CMEP Technology Project\)](#)

Certification Program. The CCC has an open nomination process. Individuals or recognized industry groups may nominate candidates for consideration. A nominee that represents a NERC member may be nominated for a sector that matches the NERC member's sector under the NERC membership list or for an opening in the At-large membership. To check a NERC member's sector, please consult the [NERC membership list](#). Please submit all [nomination forms](#) to [CCCElections@nerc.net](mailto:CCCElections@nerc.net). The next 2022 CCC scheduled meetings are on January 25-27, 2022.

## OTHER NEWS

### **NEW Webinar Resources Posted**

On December 8, 2021, FERC and NERC presented a [webinar](#) on Real-time Assessments including a range of topics (e.g., RTA Tools, Real-time Data, Model Management, etc.). This webinar supports the [FERC and ERO Enterprise Joint Report on Real-time Assessments](#). The webinar also discussed recommendations and best practices for industry to consider improving their Real-Time Assessments.

### **NEW Report Posted**

The *NERC Energy Management System Performance Special Assessment (2018–2019)* developed by the NERC Energy Management System Working Group of the NERC Event Analysis Subcommittee was endorsed by the NERC Reliability and Security Technical Committee for publication in April 2021.

The ERO Event Analysis Program is improving the resolution of the contributions of EMS outages reported using EOP-004-4 by adding the first full year of 2020 EMS events reported through the ERO Event Analysis Process to the study period. This [document](#) examines trends, event root causes, and contributing causes identified from 2018–2020.

### **NEW At-Large Nomination Period Open for Reliability and Security Technical Committee**

The period for nominating At-Large candidates for the Reliability and Security Technical Committee (RSTC) began December 13 and ends on December 28, 2021. Ten At-Large seats are available for the RSTC:

- Eight At-Large seats whose terms will expire in 2024
- Two At-Large seats whose terms will expire in 2023 (fulfilling the remaining terms created by the 2021 Vice Chair Election and a member resignation in July 2021)

As provided in the RSTC Charter, selection of At-Large members will allow for better balancing of representation on the RSTC of the following:

- Regional Entity and Interconnection diversity (i.e., goal of having at least one representative from each Interconnection and Regional Entity footprint);
- Subject matter expertise (Planning, Operating, or Security);
- Organizational types (Cooperatives, Investor-Owned Utilities, Public Power, Power Marketing Agencies, etc.); and,
- North American countries, consistent with the NERC Bylaws (Canada, Mexico, and U.S.).

The RSTC Nominating Subcommittee met December 6, 2021 and evaluated the expertise of all Sector and 2023 At-Large members to determine the additional expertise/diversity needed to balance through the At-Large nominees to meet the goals of the Charter. The additional expertise/diversity needed include:

- **Regional Diversity:** NPCC, RF, and WECC
- **Interconnection Diversity:** Western and Quebec
- **Subject Matter Expertise:** Physical and Cyber Security expertise
- **Sector/Organization Diversity:** Sectors 2 (State/Municipal Utility), 7 (Electricity Marketer), 9 (Small end-use customer), and 10 (ISO/RTO)
- **At least one Canadian member**

Please use the [2021 RSTC At-Large Nomination Form](#) to submit your nomination, and refer to the [RSTC Charter](#) for more information about membership.

### STANDARDS SUBJECT TO FUTURE ENFORCEMENT

Please refer to the [U.S. Effective Dates page](#) for the most up-to-date information on effective dates and for more detail on the below.

#### U.S. Effective Dates for Reliability Standards

U.S. Effective Date	Standard(s)
January 1, 2022	<a href="#">PRC-012-2 – Remedial Action Schemes (Requirement R9)</a>
	<a href="#">TPL-007-4 – Transmission System Planned Performance for Geomagnetic Disturbance Events (Requirements R6, 6.1–6.4, R10, 10.1–10.4)</a>
July 1, 2022	<a href="#">CIP-012-1 – Cyber Security – Communications between Control Centers</a>

	<a href="#">PRC-002-2 – Disturbance Monitoring and Reporting Requirements (100% compliance for Requirements R2–R4, R6–R11)</a>
<b>October 1, 2022</b>	<a href="#">CIP-005-7 – Cyber Security — Electronic Security Perimeter(s)</a> <a href="#">CIP-010-4 – Cyber Security — Configuration Change Management and Vulnerability Assessments</a> <a href="#">CIP-013-2 – Cyber Security — Supply Chain Risk Management</a>
	<a href="#">PRC-024-3 – Frequency and Voltage Protection Settings for Generating Resources</a>
<b>January 1, 2023</b>	<a href="#">TPL-007-4 – Transmission System Planned Performance for Geomagnetic Disturbance Events (Requirements R3, R4, 4.1, 4.1.1–4.1.2, 4.2, 4.3, 4.3.1, R8, 8.1, 8.1.1–8.1.2, 8.2, 8.3, 8.3.1)</a>
<b>April 1, 2023</b>	<a href="#">EOP-011-2 – Emergency Preparedness and Operations</a> <a href="#">IRO-010-4 – Reliability Coordinator Data Specification and Collection</a> <a href="#">TOP-003-5 – Operational Reliability Data</a>
<b>July 1, 2023</b>	<a href="#">TPL-001-5.1 – Transmission System Planning Performance Requirements</a>   <a href="#">Implementation Plan</a>
<b>January 1, 2024</b>	<a href="#">TPL-007-4 – Transmission System Planned Performance for Geomagnetic Disturbance Events (Requirements R7, 7.1–7.3, 7.3.1–7.3.2, 7.4, 7.4.1–7.4.3, 7.5, 7.5.1., R11, 11.1–11.3, 11.3.1–11.3.2, 11.4, 11.4.1–11.4.3, 11.5, and 11.5.1)</a>

## BOARD OF TRUSTEES AND FERC ACTION

### **NEW FERC Open Meeting Agenda Posted**

FERC released the [Sunshine Agenda](#) for its upcoming December 16 open meeting. There is one item of interest to the ERO Enterprise:

- E-1 – [RM20-16](#) – Managing Transmission Line Ratings

NERC filed [comments](#) on the NOPR in this docket in March 2021.

### **NEW FERC Issuances**

On December 7, 2021, FERC issued a delegated [letter order](#) approving Reliability Standards CIP-004-7 and CIP-011-3, which address Bulk Electric System Cyber System Information (BCSI) Access Management. You can find all of FERC’s issuances for 2021 [here](#).

## UPCOMING EVENTS

For information about other NERC events, such as meetings and conference calls for other standing committees, subcommittees, task forces, and working groups, please refer to the [NERC calendar](#).

### Workshops and Conferences

There are no workshops or webinars currently scheduled.

### Webinars

- **NEW** Project 2020-05 – Modifications to FAC-001 and FAC-002 Webinar – 1:00–2:00 p.m. Eastern, December 14, 2021 | [Register](#)

### Standing Committee Meetings and Conference Calls

- Reliability and Security Technical Committee Meeting – 11:00 a.m.–4:30 p.m. Eastern (each day), December 14–15, 2021 | [December 14 Meeting Registration](#) | [December 15 Meeting Registration](#) | [December 14 Agenda Package](#) | [December 15 Agenda Package](#) | **NEW** [December 14 Presentations](#) | **NEW** [December 15 Presentations](#)
- Standards Committee Teleconference – 1:00–3:00 p.m. Eastern, December 15, 2021 | [Register](#) | **NEW** [Agenda](#)
- **NEW** Board of Trustees Open Meeting – 2:30–3:30 p.m. Eastern, December 16, 2021 | [Register](#) | [Agenda Package](#)

### Standard Drafting Team Meetings and Conference Calls

- **NEW** Project 2021-01 – Modifications to MOD-025 and PRC-019 SAR Drafting Team Conference Call – 1:00–4:00 p.m. Eastern, December 13, 2021 | [Register](#)
- Project 2017-01 – Phase II Modifications to BAL-003 Standard Drafting Team Conference Call – 1:00–3:30 p.m. Eastern, December 15, 2021 | [Register](#)

### Regional Entity Meetings

- **ReliabilityFirst** Technical Talk with RF – 2:00–3:30 p.m. Eastern, December 13, 2021 | [Register](#)

## ABOUT THE STANDARDS, COMPLIANCE, AND ENFORCEMENT BULLETIN

This weekly bulletin compiles a list of standards, compliance, and enforcement projects with actionable deadlines, as well as upcoming events, recently posted resources, other NERC documents posted for comment, and other relevant news and information. Please email [Amy Klagholz](mailto:amy.klagholz@nerc.gov) with feedback on this bulletin. The current bulletin and old bulletins are available under “Program News” on both the [Standards home page](#) and the [Compliance & Enforcement home page](#).

**REGISTRATION:** If you would like to receive this bulletin or be added to a NERC distribution list, you **must** first register an account in the [ERO Portal](#). Once you have registered your ERO Portal account, authenticated your credentials with DUO, and completed your profile, please submit a ticket through the [Help Desk](#) by selecting the “NERC Email Distribution List” option under the Applications menu. In the Description Box, please specify which lists you would like your email address to be added to. If you are unsure of which distribution list you would like to be added to, an excellent starting point is the “[Committee](#)” page. You can note the committee abbreviation, which represents the distribution list you would like to join, in the Description Box. If you have other NERC-related or specific Standards projects you’re interested in, you may request those as well. If you’re interested in general information, please request to be added to the NERC-info distribution list, which covers most NERC announcements. This bulletin is distributed to several lists, including the Standards distribution list (Standards-specific announcements) and the NERC-info distribution list.