

Standards, Compliance, and Enforcement Bulletin

January 24–30, 2022

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ACTIVE STANDARDS POSTINGS

Current and Upcoming Ballots (ballot periods close at 8:00 p.m. Eastern)

Project	Action	Start Date	End Date
Project 2020-04 – Modifications to CIP-012 Draft 2	Additional Ballot and Non-Binding Polls	01/14/22	01/24/22
Project 2020-05 – Modifications to FAC-001 and FAC-002 Draft 1	Initial Ballot and Non-Binding Polls	01/21/22	01/31/22

Join Ballot Pools

Project	Action	Start Date	End Date
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Posted for Comment

Project	Action	Start Date	End Date
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Project 2020-04 – Modifications to CIP-012 Draft 2	Comment Period	11/30/21	01/24/22
Project 2021-08 – Modifications to FAC-008 SAR	Comment Period	12/09/21	01/27/22
Project 2020-05 – Modifications to FAC-001 and FAC-002 Draft 1	Comment Period	12/07/21	01/31/22
Project 2021-06 – Modifications to IRO-010 and TOP-003 Standard Authorization Request (second posting)	Comment Period	01/11/22	02/09/22

OTHER ACTIVE COMMENT PERIODS

Posted for Comment

Posting	Action	Start Date	End Date
<p>Comment Period Open for Draft Reliability Guideline – Cyber Intrusion Guide for System Operators Version 2: The objective of reliability guidelines is to distribute key practices and information about specific issues critical to promote and maintain a highly reliable and secure bulk power system. Reliability guidelines are not binding norms or parameters to the level that compliance to NERC’s Reliability Standards are monitored or enforced. Rather, their incorporation into industry practices is strictly voluntary.</p> <p>The Reliability and Security Technical Committee (RSTC) reviewed the initial draft of the Draft Reliability Guideline Cyber Intrusion Guide for System Operators: Version 2 and approved posting for industry comment.</p>	Please submit comments via email using the comment form .	12/22/21	02/05/22
<p>Comment Period Open for Draft Geomagnetic Disturbance Monitoring Reference Document Version 6: The RSTC reviewed the initial draft of the Geomagnetic Disturbance Monitoring Reference Document Version 6 and approved posting for 45-day comment.</p>	Please submit comments via email using the comment form .	12/22/21	02/05/22

STANDARDS NEWS

There is no additional Standards news to report for this week.

Quick Links

- [Register in the SBS](#)
- [Projected Standards Posting Schedule](#)
- [Project Tracking Spreadsheet](#)
- [2019–2021 Reliability Standards Development Plan](#)
- [Quality Review Application](#)
- [One-Stop Shop \(Status, Purpose, Implementation Plans, FERC Orders, RSAWs\)](#)
- [CIP Standards Efficiency Review](#)
- [Submit Questions or Feedback](#)

GENERAL COMPLIANCE AND ENFORCEMENT NEWS

NEW Two Practice Guides Published and Program Alignment Issues Resolved

CMEP Practice Guides address how ERO Enterprise CMEP staff execute compliance monitoring and enforcement activities. They are developed and maintained by the ERO Enterprise, although in some cases, may be developed following policy discussions with industry stakeholders. CMEP Practice Guides are posted on the NERC website for transparency.

NERC posted two new CMEP Practice Guides to the [NERC Compliance Guidance web page](#). The [CIP-014-2 R1 Practice Guide](#) provides guidance to CMEP staff around the CIP-014-2 R1 risk assessment. The [TPL-001-4 and TPL-001-5.1 Table 1 Contingencies Practice Guide](#) provides guidance to CMEP staff around understanding entity practices and controls for creating the Contingency lists identified in Table 1 of TPL-001-4 and TPL-001-5.1.

By publishing the aforementioned Practice Guides, NERC resolved two Program Alignment issues pertaining to considerations for ERO Enterprise CMEP staff regarding the CIP-014-2 R1 risk assessment and TPL-001-4 and TPL-001-5.1 Table 1 Contingencies Practice Guides. The ERO Enterprise Program Alignment Process is intended to enhance efforts to identify, prioritize, and resolve alignment issues across the ERO Enterprise. Using this process, NERC captures identified issues from the various resources in a [centralized repository](#).

Quick Links

- [Compliance Monitoring & Enforcement Program One-Stop Shop](#)
- [ERO Enterprise Program Alignment Process](#)
- [Consistency Reporting Tool \(EthicsPoint\)](#)
- [Risk-Based Registration Initiative](#)
- [Reliability Standard Audit Worksheets](#)
- [Enforcement & Mitigation: Enforcement Actions Align Project](#)

STANDARDS SUBJECT TO FUTURE ENFORCEMENT

Please refer to the [U.S. Effective Dates page](#) for the most up-to-date information on effective dates and for more detail on the below.

U.S. Effective Dates for Reliability Standards	
U.S. Effective Date	Standard(s)
July 1, 2022	CIP-012-1 – Cyber Security – Communications between Control Centers
	PRC-002-2 – Disturbance Monitoring and Reporting Requirements (100% compliance for Requirements R2–R4, R6–R11)
October 1, 2022	CIP-005-7 – Cyber Security – Electronic Security Perimeter(s)
	CIP-010-4 – Cyber Security – Configuration Change Management and Vulnerability Assessments
	CIP-013-2 – Cyber Security – Supply Chain Risk Management
	PRC-024-3 – Frequency and Voltage Protection Settings for Generating Resources
January 1, 2023	TPL-007-4 – Transmission System Planned Performance for Geomagnetic Disturbance Events (Requirements R3, R4, 4.1, 4.1.1–4.1.2, 4.2, 4.3, 4.3.1, R8, 8.1, 8.1.1–8.1.2, 8.2, 8.3, 8.3.1)
April 1, 2023	EOP-011-2 – Emergency Preparedness and Operations
	IRO-010-4 – Reliability Coordinator Data Specification and Collection
	TOP-003-5 – Operational Reliability Data
July 1, 2023	TPL-001-5.1 – Transmission System Planning Performance Requirements Implementation Plan
January 1, 2024	CIP-004-7 – Cyber Security – Personnel & Training
	CIP-011-3 – Cyber Security - Information Protection
	TPL-007-4 – Transmission System Planned Performance for Geomagnetic Disturbance Events (Requirements R7, 7.1–7.3, 7.3.1–7.3.2, 7.4, 7.4.1–7.4.3, 7.5, 7.5.1., R11, 11.1–11.3, 11.3.1–11.3.2, 11.4, 11.4.1–11.4.3, 11.5, and 11.5.1)

BOARD OF TRUSTEES AND FERC ACTION

NEW FERC Open Meeting Action

At its monthly open meeting, FERC issued a [notice of proposed rulemaking](#) that proposes to direct NERC to develop new or modified Critical Infrastructure Protection Reliability Standards addressing internal network security monitoring for high- and medium-impact Bulk Electric System cyber systems. The ERO Enterprise appreciates FERC's attention to cyber security matters and will continue to work with FERC and stakeholders toward assuring the reliability of the North American bulk power system.

UPCOMING EVENTS

For information about other NERC events, such as meetings and conference calls for other standing committees, subcommittees, task forces, and working groups, please refer to the [NERC calendar](#).

Workshops and Conferences

- Energy Reliability Assessment Task Force Industry Update and Opportunity for Industry Comments – 11:00 a.m.–3:00 p.m. Eastern, February 16, 2022 | [Register](#)

Webinars

There are no webinars currently scheduled.

Standing Committee Meetings and Conference Calls

- Compliance and Certification Committee Meeting – 11:00 a.m.–3:00 p.m. Eastern, January 27, 2022 | [Register](#) | [NEW Agenda Package](#)
- Board of Trustees Committees, Member Representatives Committee, and Board of Trustees Meetings – February 9–10, 2022 | [Schedule of Events](#)
- **NEW** Personnel Certification Governance Committee Meeting – February 15–17, 2022 | [Register](#) | [Agenda](#)

Standard Drafting Team Meetings

- **NEW** Project 2021-07 – Extreme Cold Weather Grid Operations, Preparedness, and Coordination SAR Drafting Team Meeting –1:00–3:00 p.m. Eastern, January 25, 2022 | [Register](#)
- Project 2017-01 – Phase II Modifications to BAL-003 Standard Drafting Team Meeting –1:30–3:30 p.m. Eastern, January 25, 2022 | [Register](#)

- **NEW** Project 2021-07 – Extreme Cold Weather Grid Operations, Preparedness, and Coordination SAR Drafting Team Meeting –1:00–3:00 p.m. Eastern, January 26, 2022 | [Register](#)
- **NEW** Project 2021-07 – Extreme Cold Weather Grid Operations, Preparedness, and Coordination SAR Drafting Team Meeting –1:00–3:00 p.m. Eastern, January 27, 2022 | [Register](#)
- **NEW** Project 2021-02 – Modifications to VAR-002 Drafting Team Meeting –1:00–3:00 p.m. Eastern, January 27, 2022 | [Register](#)
- **NEW** Project 2020-06 – Verifications of Models and Data for Generators Standard Drafting Team Meeting – 10:00 a.m.–1:00 p.m. Eastern, January 28, 2022 | [Register](#)
- **NEW** Project 2021-07 – Extreme Cold Weather Grid Operations, Preparedness, and Coordination SAR Drafting Team Meeting –1:00–3:00 p.m. Eastern, January 31, 2022 | [Register](#)
- **NEW** Project 2021-07 – Extreme Cold Weather Grid Operations, Preparedness, and Coordination SAR Drafting Team Meeting –1:00–3:00 p.m. Eastern, February 1, 2022 | [Register](#)
- **NEW** Project 2021-07 – Extreme Cold Weather Grid Operations, Preparedness, and Coordination SAR Drafting Team Meeting –1:00–3:00 p.m. Eastern, February 3, 2022 | [Register](#)

ERO Enterprise Events

- **RELIABILITYFIRST** Joint Review of Protection System Commissioning Webinar – 2:00–3:30 p.m. Eastern, January 24, 2022 | [Register](#)

ABOUT THE STANDARDS, COMPLIANCE, AND ENFORCEMENT BULLETIN

This weekly bulletin compiles a list of standards, compliance, and enforcement projects with actionable deadlines, as well as upcoming events, recently posted resources, other NERC documents posted for comment, and other relevant news and information. Please email [Amy Klagholz](#) with feedback on this bulletin. The current bulletin and old bulletins are available under “Program News” on both the [Standards home page](#) and the [Compliance & Enforcement home page](#).

REGISTRATION: If you would like to receive this bulletin or be added to a NERC distribution list, you **must** first register an account in the [ERO Portal](#). Once you have registered your ERO Portal account, authenticated your credentials with DUO, and completed your profile, please submit a ticket through the [Help Desk](#) by selecting the “NERC Email Distribution List” option under the Applications menu. In the Description Box, please specify which lists you would like your email address to be added to. If you are unsure of which distribution list you would like to be added to, an excellent starting point is the “[Committee](#)” page. You can note the committee abbreviation, which represents the distribution list you would like to join, in the Description Box. If you have other NERC-related or specific Standards projects you’re interested in, you may request those as

well. If you're interested in general information, please request to be added to the NERC-info distribution list, which covers most NERC announcements. This bulletin is distributed to several lists, including the Standards distribution list (Standards-specific announcements) and the NERC-info distribution list.