

# Standards, Compliance, and Enforcement Bulletin

May 22–29, 2023

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## ACTIVE STANDARDS POSTINGS

### Current and Upcoming Ballots (ballot periods close at 8:00 p.m. Eastern)

Project	Action	Start Date	End Date
<a href="#">2023 Standard Processes Manual Revisions to Address SPSEG Recommendations</a>   Draft 2	<a href="#">Additional Ballot and Non-binding Poll</a>	05/19/23	05/30/23
<a href="#">Project 2017-01 – Modifications to BAL-003 Phase II</a>   Draft 2	<a href="#">Additional Ballots and Non-Binding Poll</a>	05/23/23	06/01/23
<a href="#">Project 2021-01 – Modifications to MOD-025 and PRC-019</a>   Draft 2	<a href="#">Additional Ballots and Non-Binding Polls</a>	05/30/23	06/08/23
<a href="#">Project 2021-06 – Modifications to IRO-010 and TOP-003</a>   Draft 2	<a href="#">Additional Ballots and Non-Binding Polls</a>	06/09/23	06/20/23
<a href="#">Project 2021-02 – Modifications to VAR-002-4.1</a>   Draft 2	<a href="#">Additional Ballots and Non-Binding Poll</a>	06/14/23	06/23/23

### Join Ballot Pools

Project	Action	Start Date	End Date
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Posted for Comment			
Project	Action	Start Date	End Date
<a href="#">2023 Standard Processes Manual Revisions to Address SPSEG Recommendations</a>   Draft 2	<a href="#">Comment Period</a>	04/13/23	05/30/23
<a href="#">Project 2017-01 – Modifications to BAL-003 Phase II</a>   Draft 2	<a href="#">Comment Period</a>	04/18/23	06/01/23
<a href="#">Project 2021-01 – Modifications to MOD-025 and PRC-019</a>   Draft 2	<a href="#">Comment Period</a>	04/25/23	06/08/23
<a href="#">Project 2021-06 – Modifications to IRO-010 and TOP-003</a>   Draft 2	<a href="#">Comment Period</a>	05/05/23	06/20/23
<a href="#">Project 2021-02 – Modifications to VAR-002-4.1</a>   Draft 2	<a href="#">Comment Period</a>	05/10/23	06/23/23

Posted for Comment			
Posting	Action	Start Date	End Date

## STANDARDS NEWS

### **NEW Webinar Resources Posted**

NERC posted the [slide presentation](#) and [recording](#) from the May 11, 2023 Project 2021-01 – Modifications to MOD-025 and PRC-019 Industry Webinar.

NERC also posted the [slide presentation](#) and [recording](#) from the May 19, 2023 Project 2017-01 – Modifications to BAL-003 Phase II Industry Webinar.

### **NEW Nomination Period Open for Standards Committee Process Subcommittee**

The NERC [Standards Committee Process Subcommittee](#) (SCPS) is holding open nominations for membership in the SCPS. The nomination period opened May 17 and will close July 30, 2023. Successful candidates are expected to serve a two-year term. The purpose of the SCPS is to develop, maintain, and document processes and guidelines to aid the Standards Committee (SC), Standard Drafting Teams, NERC staff, and industry in developing clear, effective, and enforceable Reliability Standards. The SCPS also ensures that the documents provide transparency to the industry to facilitate understanding of the standard development process and promote the efficient use of industry technical subject matter expertise. Members are expected to attend four to six meetings per year. For more information, please contact [Josh Blume](#).

### **NEW Nomination Period Open for Project Management and Oversight Subcommittee Liaison Membership**

Nominations are being sought for the [Project Management and Oversight Subcommittee](#) (PMOS) liaison members through Friday, June 30, 2023. By submitting a nomination, you are indicating your willingness and agreement to participate in PMOS meetings. If selected, members are expected to attend face-to-face PMOS meetings and participate in meetings held via conference calls. The time commitment for this effort includes one face-to-face meeting per quarter (i.e., March, July, September, and December), which is held in conjunction with the face-to-face SC meetings. One conference call is typically held between face-to-face meetings. To submit a nomination, complete the [form](#) and email it to [Ben Wu](#).

### Quick Links

[Register in the SBS](#)

[Projected Standards Posting Schedule](#)

[Project Tracking Spreadsheet](#)

[2021–2023 Reliability Standards Development Plan](#)

[Quality Review Application](#)

[One-Stop Shop \(Status, Purpose, Implementation Plans, FERC Orders, RSAWs\)](#)

[FERC Orders, RSAWs\)](#)

[CIP Standards Efficiency Review](#)

[Submit Questions or Feedback](#)

## GENERAL COMPLIANCE AND ENFORCEMENT NEWS

### **REMINDER** Align Location Updated on NERC.com

As part of the transition to the Align governance model, the [Align web page](#) has been updated and [moved](#). After May 31, the [previous web page](#) will no longer be found under the Initiatives section of NERC.com and the previous URL will redirect to the [new page location](#) under Compliance and Enforcement. The new site contains key existing information as well as important links and documents for end users. The site also contains new features such as: links to trainings and webinars; release notes to inform users of changes to the Align and SEL tools; and outage information.

### Quick Links

[Compliance Monitoring & Enforcement Program One-Stop Shop](#)

[ERO Enterprise Program Alignment Process](#)

[Consistency Reporting Tool \(EthicsPoint\)](#)

[Risk-Based Registration Initiative](#)

[Reliability Standard Audit Worksheets](#)

[Enforcement & Mitigation: Enforcement Actions](#)

**UPDATED** [Align and ERO SEL](#)

## OTHER NEWS

### **NEW** Two-thirds of North America Faces Reliability Challenges in the Event of Widespread Heatwaves

NERC's [2023 Summer Reliability Assessment](#) (SRA) warns that two-thirds of North America is at risk of energy shortfalls this summer during periods of extreme demand. While there are no high-risk areas in this year's assessment, the number of areas identified as being at elevated risk has increased. The assessment finds that, while resources are adequate for normal summer peak demand, if summer temperatures spike, seven areas—the U.S. West, SPP and MISO, ERCOT, SERC Central, New England, and Ontario—may face supply shortages during higher demand levels.

Undertaken annually, *2023 Summer Reliability Assessment* reflects NERC's independent assessment and is intended to inform industry leaders, planners, operators, and regulatory bodies so they are better prepared to take necessary actions to ensure bulk power system reliability. [Full Announcement](#) | [2023 SRA Infographic](#) | [2023 SRA Video](#)

### **NEW** Level 3 NERC Alert Posted

NERC has issued a [Level 3 Extreme Cold Weather Alert](#) to Balancing Authorities, Generator Owners, and Transmission Operators. The alert includes eight Essential Actions, as well as a series of questions, that are intended to evaluate the Bulk Electric System's winter readiness, and progress toward, mitigating risk for winter 2023–2024 and beyond.

NERC registered entities should note that only entities registered as mentioned above will be able to respond to the alert in the NERC Alert System. However, the alert is public and may be viewed at the link above. The alert has a response due date of October 6, 2023. NERC will also

publish an FAQ document for the alert, and a separate announcement will be sent to industry once it has been posted. The recording and presentation from the alert webinar are available on the [Alerts page](#).

**NEW Stakeholder Survey Available**

NERC is assessing its needs for the Atlanta office space and would like stakeholder input to help inform our decision. We have developed a short [survey](#) to collect your feedback and comments. Our real-estate development partner, CBRE, is conducting the survey on our behalf and will collect and share responses with NERC. Surveys should be completed no later than 5:00 p.m. Eastern on June 2, 2023. We appreciate your input toward helping to shape NERC's future.

**STANDARDS SUBJECT TO FUTURE ENFORCEMENT**

Please refer to the [U.S. Effective Dates page](#) for the most up-to-date information on effective dates and for more detail on the below.

<b>U.S. Effective Dates for Reliability Standards</b>	
<b>U.S. Effective Date</b>	<b>Standard(s)</b>
<b>July 1, 2023</b>	<a href="#">TPL-001-5.1 – Transmission System Planning Performance Requirements</a>   <a href="#">Implementation Plan</a>
<b>January 1, 2024</b>	<a href="#">CIP-004-7 – Cyber Security – Personnel &amp; Training</a>
	<a href="#">CIP-011-3 – Cyber Security - Information Protection</a>
	<a href="#">FAC-001-4 – Facility Interconnection Requirements</a>   <a href="#">Implementation Plan</a>
	<a href="#">FAC-002-4 – Facility Interconnection Studies</a>   <a href="#">Implementation Plan</a>
<b>April 1, 2024</b>	<a href="#">TPL-007-4 – Transmission System Planned Performance for Geomagnetic Disturbance Events (Requirements R7, 7.1–7.3, 7.3.1–7.3.2, 7.4, 7.4.1–7.4.3, 7.5, 7.5.1., R11, 11.1–11.3, 11.3.1–11.3.2, 11.4, 11.4.1–11.4.3, 11.5, and 11.5.1)</a>
	<a href="#">FAC-003-5 – Transmission Vegetation Management</a>
	<a href="#">FAC-011-4 – System Operating Limits Methodology for the Operations Horizon</a>
	<a href="#">FAC-014-3 – Establish and Communicate System Operating Limits</a>
	<a href="#">IRO-008-3 – Reliability Coordinator Operational Analyses and Real-time Assessments</a>
	<a href="#">PRC-002-4 – Disturbance Monitoring and Reporting Requirements</a>
	<a href="#">PRC-023-5 – Transmission Relay Loadability</a>   <a href="#">Implementation Plan</a>
	<a href="#">PRC-026-2 – Relay Performance During Stable Power Swings</a>   <a href="#">Implementation Plan</a>
<a href="#">TOP-001-6 – Transmission Operations</a>	
<b>October 1, 2024</b>	<a href="#">EOP-012-1 – Extreme Cold Weather Preparedness and Operations</a> (Requirements 1–2 effective 4/1/28; Requirement 4 effective 10/1/29)
<b>April 1, 2026</b>	<a href="#">CIP-003-9 - Cyber Security — Security Management Controls</a>

## BOARD OF TRUSTEES AND FERC ACTION

### **NEW FERC Open Meeting Action**

At its monthly open meeting, FERC approved NERC's request for approval of an inverter-based resources work plan and request for expedited review of the plan. In its February 15, 2023 filing NERC sought [approval](#) and requested for expedited review of a work plan describing NERC's proposed approach for identifying and registering owners of inverter-based resources within 36 months of FERC's approval of the work plan. NERC submitted an [amendment](#) to the work plan on March 13, 2023. NERC appreciates FERC's focus on reliability matters and will continue to work toward assuring the reliability and security of the North American bulk power system.

### **NEW NERC Filings**

On May 15, 2023, NERC submitted a [petition](#) for approval of revisions to the Texas RE Regional Standards Development Process (RSDP).

NERC also submitted to FERC an unaudited [report](#) of NERC's budget-to-actual variance information for the first quarter of 2023. This compliance filing is in accordance with FERC's January 16, 2013 Order, which approved a Settlement Agreement between the FERC Office of Enforcement and NERC, related to findings and recommendations arising out of its 2012 performance audit.

You can find all filings to FERC for 2023 [here](#).

## UPCOMING EVENTS

For information about other NERC events, such as meetings and conference calls for other standing committees, subcommittees, task forces, and working groups, please refer to the [NERC calendar](#).

### **Workshops and Conferences**

- 2023 Probabilistic Analysis Forum – October 10–12, 2023, Salt Lake City, UT | **Save the Date**
- GridSecCon 2023 – October 17–20, 2023 | **Save the Date**
- **NEW** 2023 NERC-NATF-EPRI Annual Transmission Planning and Modeling Workshop – November 1–2, 2023 | **Save the Date**

### **Webinars**

- **NEW** Project 2021-06 – Modifications to IRO-010 and TOP-003 Industry Webinar – 1:00–3:00 p.m. Eastern, May 24, 2023 | [Join Webex](#)
- ERO Enterprise Facility Rating Management Webinar – 1:00–4:30 p.m. Eastern, May 24, 2023 | [Register](#)

- **NEW** Project 2021-02 – Modifications to VAR-002-4.1 Industry Webinar – 11:30 a.m.–1:30 p.m. Eastern, June 1, 2023 | [Join Webex](#)
- **RESCHEDULED** [Reliability Guideline: Electromagnetic Transient \(EMT\) Modeling for BPS-Connected Inverter-Based Resources—Recommended Model Requirements and Verification Practices](#) Webinar – 1:00–2:30 p.m. Eastern, June 1, 2023 | [Register](#)
- Inverter-Based Resources Industry Webinar Series – June–July 2023 | [Registration Flyer](#)

### Standing Committee Meetings and Conference Calls

- **NEW** Standards Committee Executive Committee Special Call – 12:00–1:00 p.m. Eastern, June 2, 2023 | [Join WebEx](#)

### Standard Drafting Team Meetings

Below are the upcoming SDT meetings for the next four weeks. For a full listing of upcoming meetings, please refer to the [Standards calendar](#).

- Project 2021-08 – Modifications to FAC-008 Standard Drafting Team Meeting – 1:00–3:00 p.m. Eastern, May 22, 2023 | [Register](#)
- Project 2021-07 – Extreme Cold Weather Grid Operations, Preparedness, and Coordination Standard Drafting Team Meeting – 1:00–3:00 p.m. Eastern, May 23, 2023 | [Register](#)
- Project 2021-03 – CIP-002 (Group B) Drafting Team Meeting – 2:00–4:00 p.m. Eastern, May 23, 2023 | [Register](#)
- **NEW** Project 2022-03 – Energy Assurance with Energy-Constrained Resources Standard Drafting Team Meeting – 12:00–1:00 p.m. Eastern, May 25, 2023 | [Register](#)
- Project 2020-04 – Modifications to CIP-012 Standard Drafting Team Meeting – 1:00–2:30 p.m. Eastern, May 25, 2023 | [Register](#)
- Project 2021-07 – Extreme Cold Weather Grid Operations, Preparedness, and Coordination Standard Drafting Team Meeting – 1:00–3:00 p.m. Eastern, May 25, 2023 | [Register](#)
- Project 2023-01 – EOP-004 IBR Event Reporting Standard Drafting Team Meeting – 1:00–4:00 p.m. Eastern, May 25, 2023 | [Register](#)
- **NEW** Project 2021-07 – Extreme Cold Weather Grid Operations, Preparedness, and Coordination Standard Drafting Team Meeting – 1:00–3:00 p.m. Eastern, May 30, 2023 | [Register](#)
- Project 2021-04 – Modifications to PRC-002 - Phase II Standard Drafting Team Meeting – May 31–June 1, 2023, Davenport, IA | [Register](#)
- **NEW** Project 2021-07 – Extreme Cold Weather Grid Operations, Preparedness, and Coordination Standard Drafting Team Meeting – 1:00–3:00 p.m. Eastern, June 1, 2023 | [Register](#)
- **NEW** Project 2021-07 – Extreme Cold Weather Grid Operations, Preparedness, and Coordination Standard Drafting Team Meeting – 1:00–3:00 p.m. Eastern, June 6, 2023 | [Register](#)
- Project 2020-04 – Modifications to CIP-012 Standard Drafting Team Meeting – 1:00–2:30 p.m. Eastern, June 7, 2023 | [Register](#)
- **NEW** Project 2021-07 – Extreme Cold Weather Grid Operations, Preparedness, and Coordination Standard Drafting Team Meeting – 1:00–3:00 p.m. Eastern, June 8, 2023 | [Register](#)



## ERO Enterprise Events

- **Texas RE** Reliability 101 & 201 Webinar Series – June 5–27, 2023 | [Register for Individual Sessions Under "Live Presentations"](#)

## ABOUT THE STANDARDS, COMPLIANCE, AND ENFORCEMENT BULLETIN

This weekly bulletin compiles a list of standards, compliance, and enforcement projects with actionable deadlines, as well as upcoming events, recently posted resources, other NERC documents posted for comment, and other relevant news and information. Please email [Amy Klagholz](#) with feedback on this bulletin. The current bulletin and old bulletins are available under “Program News” on both the [Standards home page](#) and the [Compliance & Enforcement home page](#).

**REGISTRATION:** If you would like to receive this bulletin or be added to a NERC distribution list, you **must** first register an account in the [ERO Portal](#). Once you have registered your ERO Portal account, authenticated your credentials with DUO, and completed your profile, please submit a ticket through the [Help Desk](#) by selecting the “NERC Email Distribution List” option under the Applications menu. In the Description Box, please specify which lists you would like your email address to be added to. If you are unsure of which distribution list you would like to be added to, an excellent starting point is the “[Committee](#)” page. You can note the committee abbreviation, which represents the distribution list you would like to join, in the Description Box. If you have other NERC-related or specific Standards projects you’re interested in, you may request those as well. If you’re interested in general information, please request to be added to the NERC-info distribution list, which covers most NERC announcements. This bulletin is distributed to several lists, including the Standards distribution list (Standards-specific announcements) and the NERC-info distribution list.