

# Standards, Compliance, and Enforcement Bulletin

June 5–11, 2023

## INSIDE THIS EDITION

[Active Standards Postings](#) | [Other Active Comment Periods](#) | [Standards News](#) | [General Compliance and Enforcement News](#) | [Other News](#) | [Standards Subject to Future Enforcement](#) | [Board of Trustees and FERC Action](#) | [Upcoming Events](#) | [About the SC&E Bulletin](#)

## ACTIVE STANDARDS POSTINGS

### Current and Upcoming Ballots (ballot periods close at 8:00 p.m. Eastern)

Project	Action	Start Date	End Date
<a href="#">Project 2021-01 – Modifications to MOD-025 and PRC-019</a>   Draft 2	<a href="#">Additional Ballots and Non-Binding Polls</a>	05/30/23	06/08/23
<a href="#">Project 2021-06 – Modifications to IRO-010 and TOP-003</a>   Draft 2	<a href="#">Additional Ballots and Non-Binding Polls</a>	06/09/23	06/20/23
<a href="#">Project 2021-02 – Modifications to VAR-002-4.1</a>   Draft 2	<a href="#">Additional Ballots and Non-Binding Poll</a>	06/14/23	06/23/23
<a href="#">Project 2019-04 – Modifications to PRC-005-6</a>   Draft 1	<a href="#">Initial Ballots and Non-Binding Poll</a>	06/30/23	07/10/23
<b>NEW</b> <a href="#">Project 2022-02 – Modifications to TPL-001 and MOD-032</a>   Draft 1 - MOD-032-2	<a href="#">Initial Ballots and Non-Binding Poll</a>	07/05/23	07/14/23
<b>NEW</b> <a href="#">Project 2021-07 – Extreme Cold Weather Grid Operations, Preparedness, and Coordination - Phase 2</a>   Draft 1 - EOP-012-2	<a href="#">Initial Ballots and Non-Binding Poll</a>	07/11/23	07/20/23

Join Ballot Pools			
Project	Action	Start Date	End Date
<a href="#">Project 2019-04 – Modifications to PRC-005-6</a>   Draft 1	<a href="#">Join Ballot Pools</a>	05/25/23	06/23/23
<b>NEW</b> <a href="#">Project 2022-02 – Modifications to TPL-001 and MOD-032</a>   Draft 1 - MOD-032-2	<a href="#">Join Ballot Pools</a>	05/31/23	06/29/23
<b>NEW</b> <a href="#">Project 2021-07 – Extreme Cold Weather Grid Operations, Preparedness, and Coordination - Phase 2</a>   Draft 1 - EOP-012-2	<a href="#">Join Ballot Pools</a>	06/05/23	07/05/23

Posted for Comment			
Project	Action	Start Date	End Date
<a href="#">Project 2021-01 – Modifications to MOD-025 and PRC-019</a>   Draft 2	<a href="#">Comment Period</a>	04/25/23	06/08/23
<a href="#">Project 2021-06 – Modifications to IRO-010 and TOP-003</a>   Draft 2	<a href="#">Comment Period</a>	05/05/23	06/20/23
<a href="#">Project 2021-02 – Modifications to VAR-002-4.1</a>   Draft 2	<a href="#">Comment Period</a>	05/10/23	06/23/23
<a href="#">Project 2019-04 – Modifications to PRC-005-6</a>   Draft 1	<a href="#">Comment Period</a>	05/25/23	07/10/23
<b>NEW</b> <a href="#">Project 2022-02 – Modifications to TPL-001 and MOD-032</a>   Draft 1 - MOD-032-2	<a href="#">Comment Period</a>	05/31/23	07/14/23
<b>NEW</b> <a href="#">Project 2021-07 – Extreme Cold Weather Grid Operations, Preparedness, and Coordination - Phase 2</a>   Draft 1 - EOP-012-2	<a href="#">Comment Period</a>	06/05/23	07/20/23

Posted for Comment			
Posting	Action	Start Date	End Date
<b>Comment Period Open for NERC’s 2024 Business Plan and Budget:</b> The draft NERC 2024 Business Plan and Budget (BP&B) and the current draft of each Regional Entity and the Western Interconnection Regional Advisory Body (WIRAB) 2024 BP&B are now available on NERC’s website. Each BP&B is accompanied by an overview summary. Additionally, an overview summary of the ERO Enterprise combined 2024 budgets and assessments is included.	Please submit all comments to <a href="#">Erika Chanzas</a> .	05/25/23	06/23/23

- [Draft NERC 2024 BP&B and Overview](#)
- [Regional Entity and WIRAB 2024 BP&Bs and Overviews](#)
- [ERO Enterprise Combined 2024 Budgets and Assessments Overview](#)

## STANDARDS NEWS

### **NEW Webinar Resources Posted**

NERC posted the [streaming webinar](#) and [slide presentation](#) from the May 24, 2023 Project 2021-06 – Modifications to IRO-010 and TOP-003 webinar.

### **NEW Ballot Results for Draft 2 of 2023 Standard Processes Manual Revisions to Address SPSEG Recommendations**

The comment period and additional ballot for draft two of the Standard Processes Manual Revisions concluded 8 p.m. Eastern, Tuesday, May 30, 2023. Voting statistics are listed below, and the [Ballot Results](#) page provides the detailed results. The team will review all responses received during the comment period and determine the next steps of the project.

**Ballot  
Quorum / Approval**

83.85% / 97.49%

### Quick Links

- [Register in the SBS](#)
- [Projected Standards Posting Schedule](#)
- [Project Tracking Spreadsheet](#)
- [2021–2023 Reliability Standards Development Plan](#)
- [Quality Review Application](#)
- [One-Stop Shop \(Status, Purpose, Implementation Plans, FERC Orders, RSAWs\)](#)
- [CIP Standards Efficiency Review](#)
- [Submit Questions or Feedback](#)

## GENERAL COMPLIANCE AND ENFORCEMENT NEWS

### **NEW Facility Ratings Webinar Resources Posted**

On May 24, 2023, the ERO Enterprise hosted a webinar on ERO Enterprise Facility Ratings Management. The webinar highlighted the recent [Themes and Best Practices for Sustaining Accurate Facility Ratings](#) report and discussed management best practices, and other facility rating mitigation approaches benefiting registered entities with a facility rating program. [Presentation](#) | [Streaming Webinar](#)

### **NEW Align Planned Maintenance**

The ERO Enterprise has scheduled the Align system to be down for planned maintenance. The Align system will be down for scheduled maintenance at 11:00 a.m. Eastern (8:00 a.m. Pacific) through 4:00 p.m. Eastern (1:00 p.m. Pacific) on June 10, 2023. This planned outage only affects Align. Information regarding this outage will also be displayed on the My Align News & Update section of Align, and the [Align and SEL web page](#).

### Quick Links

[Compliance Monitoring & Enforcement Program One-Stop Shop](#)

[ERO Enterprise Program Alignment Process](#)

[Consistency Reporting Tool \(EthicsPoint\)](#)

[Risk-Based Registration Initiative](#)

[Reliability Standard Audit Worksheets](#)

[Enforcement & Mitigation: Enforcement Actions](#)

[Align and ERO SEL](#)

## OTHER NEWS

### **NEW Ballot Period Open for Reliability and Security Technical Committee Sector Election**

The ballot period for the [NERC Reliability and Security Technical Committee \(RSTC\) Sector 5 Election](#) began June 2 and ends at midnight on June 16, 2023. The Transmission Dependent Utility sector has one open position on the RSTC. The elected member will represent the Transmission Dependent Utility sector for a term ending January 31, 2024, filling the vacancy of a resigned member. Please complete the [ballot form](#) and submit by midnight Eastern Time on June 16, 2023. If you have any questions about the RSTC nomination or election process, please contact [Tina Buzzard](#).

### **NEW NERC News Posted**

NERC's monthly newsletter is now available for [May](#) and features an ERO Executive Spotlight by Mark Lauby, NERC's senior vice president and chief engineer.

### **NEW Statement on Interregional Transfer Capability Study**

NERC pledges to move forward on passage of the interregional transfer capability study directed in the debt ceiling legislation. We have consistently stressed the need for more transmission to support the energy transformation, grid reliability, and resilience, and this independent

study could provide important insights for industry, regulators, and policymakers. NERC is currently scoping the requirements for the study and will work in collaboration with FERC, the Regional Entities, and industry to address Congress' directive.

“We appreciate Congress placing trust in us to be objective and comprehensive in our assessment of the transmission system and any opportunities to improve its resilience, reliability, and ability to support the energy transition,” said Jim Robb, NERC president and CEO.

### STANDARDS SUBJECT TO FUTURE ENFORCEMENT

Please refer to the [U.S. Effective Dates page](#) for the most up-to-date information on effective dates and for more detail on the below.

U.S. Effective Dates for Reliability Standards	
U.S. Effective Date	Standard(s)
July 1, 2023	<a href="#">TPL-001-5.1 – Transmission System Planning Performance Requirements</a>   <a href="#">Implementation Plan</a>
January 1, 2024	<a href="#">CIP-004-7 – Cyber Security – Personnel &amp; Training</a>
	<a href="#">CIP-011-3 – Cyber Security - Information Protection</a>
	<a href="#">FAC-001-4 – Facility Interconnection Requirements</a>   <a href="#">Implementation Plan</a>
	<a href="#">FAC-002-4 – Facility Interconnection Studies</a>   <a href="#">Implementation Plan</a>
	<a href="#">TPL-007-4 – Transmission System Planned Performance for Geomagnetic Disturbance Events (Requirements R7, 7.1–7.3, 7.3.1–7.3.2, 7.4, 7.4.1–7.4.3, 7.5, 7.5.1., R11, 11.1–11.3, 11.3.1–11.3.2, 11.4, 11.4.1–11.4.3, 11.5, and 11.5.1)</a>
April 1, 2024	<a href="#">FAC-003-5 – Transmission Vegetation Management</a>
	<a href="#">FAC-011-4 – System Operating Limits Methodology for the Operations Horizon</a>
	<a href="#">FAC-014-3 – Establish and Communicate System Operating Limits</a>
	<a href="#">IRO-008-3 – Reliability Coordinator Operational Analyses and Real-time Assessments</a>
	<a href="#">PRC-002-4 – Disturbance Monitoring and Reporting Requirements</a>
	<a href="#">PRC-023-5 – Transmission Relay Loadability</a>   <a href="#">Implementation Plan</a>
	<a href="#">PRC-026-2 – Relay Performance During Stable Power Swings</a>   <a href="#">Implementation Plan</a>
<a href="#">TOP-001-6 – Transmission Operations</a>	
October 1, 2024	<a href="#">EOP-012-1 – Extreme Cold Weather Preparedness and Operations</a> (Requirements 1–2 effective 4/1/28; Requirement 4 effective 10/1/29)
April 1, 2026	<a href="#">CIP-003-9 - Cyber Security – Security Management Controls</a>

## BOARD OF TRUSTEES AND FERC ACTION

### **NEW NERC Filings**

On June 1, 2023, NERC submitted to FERC its [Report of Comparisons of Budgeted to Actual Costs for 2022 for NERC and the Regional Entities](#). You can find all filings to FERC for 2023 [here](#).

## UPCOMING EVENTS

For information about other NERC events, such as meetings and conference calls for other standing committees, subcommittees, task forces, and working groups, please refer to the [NERC calendar](#).

### **Workshops and Conferences**

- **NEW** Joint NERC–FERC Physical Security Technical Conference – August 10, 2023, Atlanta | **Save the Date**
- 2023 Probabilistic Analysis Forum – October 10–12, 2023, Salt Lake City, UT | **Save the Date**
- GridSecCon 2023 – October 17–20, 2023 | **Save the Date**
- 2023 NERC-NATF-EPRI Annual Transmission Planning and Modeling Workshop – November 1–2, 2023 | **Save the Date**

### **Webinars**

- Inverter-Based Resources Industry Webinar Series – June–July 2023 | [Registration Flyer](#)

### **Standing Committee Meetings and Conference Calls**

- **NEW** Standards Committee Teleconference – 1:00–3:00 p.m. Eastern, June 21, 2023 | [Join WebEx](#)
- **NEW** Compliance and Certification Committee – 9:00 a.m.–12:00 p.m. Central, July 19, 2023, St. Paul, Minnesota | [Virtual Attendance Registration](#) | [In-Person Attendance Registration](#)
- **NEW** Standards Committee Meeting – 10:00 a.m.–1:00 p.m. Central, July 19, 2023, St. Paul, Minnesota | [Register](#)
- **NEW** Joint Compliance and Certification Committee/Standards Committee Meeting – 1:30–5:00 p.m. Central, July 19, 2023, St. Paul, Minnesota | [Register](#)

### **Standard Drafting Team Meetings**

Below are the upcoming SDT meetings for the next four weeks. For a full listing of upcoming meetings, please refer to the [Standards calendar](#).

- Project 2016-02 – Modifications to CIP Standards Drafting Team Meeting – 12:00–2:00 p.m. Eastern, June 5, 2023 | [Register](#)

- Project 2021-07 – Extreme Cold Weather Grid Operations, Preparedness, and Coordination Standard Drafting Team Meeting – 1:00–3:00 p.m. Eastern, June 6, 2023 | [Register](#)
- Project 2016-02 – Modifications to CIP Standards Drafting Team Meeting – 12:00–2:00 p.m. Eastern, June 7, 2023 | [Register](#)
- Project 2020-04 – Modifications to CIP-012 Standard Drafting Team Meeting – 1:00–2:30 p.m. Eastern, June 7, 2023 | [Register](#)
- Project 2022-03 – Energy Assurance with Energy-Constrained Resources Standard Drafting Team Meeting – 12:00–1:00 p.m. Eastern, June 8, 2023 | [Register](#)
- Project 2021-07 – Extreme Cold Weather Grid Operations, Preparedness, and Coordination Standard Drafting Team Meeting – 1:00–3:00 p.m. Eastern, June 8, 2023 | [Register](#)
- Project 2020-04 – Modifications to CIP-012 Standard Drafting Team Meeting – June 12–13, 2023, Minneapolis, MN | [Register](#)
- Project 2022-03 – Energy Assurance with Energy-Constrained Resources Standard Drafting Team Meeting – 12:00–1:00 p.m. Eastern, June 15, 2023 | [Register](#)
- Project 2021-07 – Extreme Cold Weather Grid Operations, Preparedness, and Coordination Standard Drafting Team Meeting – 1:00–3:00 p.m. Eastern, June 15, 2023 | [Register](#)
- Project 2021-07 – Extreme Cold Weather Grid Operations, Preparedness, and Coordination Standard Drafting Team Meeting – 1:00–3:00 p.m. Eastern, June 20, 2023 | [Register](#)
- Project 2021-07 – Extreme Cold Weather Grid Operations, Preparedness, and Coordination Standard Drafting Team Meeting – 1:00–3:00 p.m. Eastern, June 22, 2023 | [Register](#)
- **NEW** Project 2021-07 – Extreme Cold Weather Grid Operations, Preparedness, and Coordination Standard Drafting Team Meeting – 1:00–3:00 p.m. Eastern, June 27, 2023 | [Register](#)
- **NEW** Project 2021-07 – Extreme Cold Weather Grid Operations, Preparedness, and Coordination Standard Drafting Team Meeting – 1:00–3:00 p.m. Eastern, June 29, 2023 | [Register](#)

## **ERO Enterprise Events**

- **Texas RE** Reliability 101 & 201 Webinar Series – June 5–27, 2023 | [Register for Individual Sessions Under "Live Presentations"](#)



## ABOUT THE STANDARDS, COMPLIANCE, AND ENFORCEMENT BULLETIN

This weekly bulletin compiles a list of standards, compliance, and enforcement projects with actionable deadlines, as well as upcoming events, recently posted resources, other NERC documents posted for comment, and other relevant news and information. Please email [Amy Klagholz](mailto:amy.klagholz@nerc.gov) with feedback on this bulletin. The current bulletin and old bulletins are available under “Program News” on both the [Standards home page](#) and the [Compliance & Enforcement home page](#).

**REGISTRATION:** If you would like to receive this bulletin or be added to a NERC distribution list, you **must** first register an account in the [ERO Portal](#). Once you have registered your ERO Portal account, authenticated your credentials with DUO, and completed your profile, please submit a ticket through the [Help Desk](#) by selecting the “NERC Email Distribution List” option under the Applications menu. In the Description Box, please specify which lists you would like your email address to be added to. If you are unsure of which distribution list you would like to be added to, an excellent starting point is the “[Committee](#)” page. You can note the committee abbreviation, which represents the distribution list you would like to join, in the Description Box. If you have other NERC-related or specific Standards projects you’re interested in, you may request those as well. If you’re interested in general information, please request to be added to the NERC-info distribution list, which covers most NERC announcements. This bulletin is distributed to several lists, including the Standards distribution list (Standards-specific announcements) and the NERC-info distribution list.