

Standards, Compliance, and Enforcement Bulletin

November 20–26, 2023

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ACTIVE STANDARDS POSTINGS

Current and Upcoming Ballots (ballot periods close at 8:00 p.m. Eastern)

Project	Action	Start Date	End Date
Project 2022-02 – Modifications to TPL-001 and MOD-032 Draft 2	Additional Ballots and Non-binding Poll	11/10/23	11/20/23
Project 2016-02 – Modifications to CIP Standards Virtualization - Draft 5	Additional Ballots and Non-binding Polls	11/20/23	11/29/23
Project 2021-07 – Extreme Cold Weather Grid Operations, Preparedness, and Coordination - Phase 2 Draft 2 EOP-012-2	Additional Ballots and Non-binding Poll	11/21/23	11/30/23
Project 2023-04 – Modifications to CIP-003 Draft 1	Initial Ballots and Non-binding Poll	11/28/23	12/07/23
NEW Project 2020-06 – Verifications of Models and Data for Generators Draft 1 of IBR Definitions	Initial Ballots	12/26/23	01/09/24

[Join Ballot Pools](#)

Project	Action	Start Date	End Date
Project 2023-04 – Modifications to CIP-003 Draft 1	Join Ballot Pools	10/24/23	11/27/23
NEW Project 2020-06 – Verifications of Models and Data for Generators Draft 1 of IBR Definitions	Join Ballot Pools	11/16/23	12/15/23
Posted for Comment			
Project	Action	Start Date	End Date
Project 2022-02 – Modifications to TPL-001 and MOD-032 Draft 2	Comment Period	10/06/23	11/20/23
Project 2016-02 – Modifications to CIP Standards Virtualization - Draft 5	Comment Period	10/03/23	11/29/23
Project 2021-07 – Extreme Cold Weather Grid Operations, Preparedness, and Coordination - Phase 2 Draft 2 EOP-012-2	Comment Period	10/27/23	11/30/23
Project 2023-04 – Modifications to CIP-003 Draft 1	Comment Period	10/24/23	12/07/23
NEW Project 2020-06 – Verifications of Models and Data for Generators Draft 1 of IBR Definitions	Comment Period	11/16/23	01/09/24

Posted for Comment			
Posting	Action	Start Date	End Date
<p>Comment Periods Open for Supply Chain Working Group Security Guidelines: The Supply Chain Working Group (SCWG), a sub-group of the RSTC, is responsible for addressing supply chain security concerns that affect the bulk power system (BPS). The SCWG recently prepared two security guidelines that are now posted for industry review.</p> <p>Security Guideline: <i>Procurement Language</i> The guideline was approved by the RSTC in 2020 and has been updated with changes to content and metrics that can be used to assess the guideline’s effectiveness and the extent to which it has been implemented. Procurement Language (clean) Procurement Language (redline)</p>	<p>Please submit comments via email using the comment forms below. Note that there are separate comment forms for each guideline.</p> <p>Procurement Language Guideline Comment Form</p> <p>Vendor Incident Response Guideline Comment Form</p>	09/21/23	<p>Procurement Language Guideline: 11/20/23</p> <p>Vendor Incident Response Guideline: 12/05/23</p>

Security Guideline: *Vendor Incident Response*

The guideline was originally approved by the RSTC in 2019 and has been updated with changes to content and metrics that can be used to assess the effectiveness and the extent to which the guideline has been implemented.

[Vendor Incident Response \(clean\)](#) | [Vendor Incident Response \(redline\)](#)

STANDARDS NEWS

NEW Webinar Resources Posted

NERC posted the [slide presentation](#) and [recording](#) from the November 16, 2023 Project 2023-04 – Modifications to CIP-003 Industry Webinar.

NERC also posted the [slide presentation](#) and [recording](#) from the November 16, 2023 Project 2021-07 – Extreme Cold Weather Grid Operations, Preparedness, and Coordination Industry Webinar.

NEW Standards Committee Segment Representative Election Results

Each of the 10 industry segments that make up the NERC Standards Committee (SC) had a vacancy to fill a two-year term beginning January 1, 2024 and ending December 31, 2025.

A nomination period was held from October 3–23, 2023 for each segment. An election for Segments 1 through 9 was held from November 1–10, 2023. NERC has verified the votes cast and confirmed the results. The elected nominees all received the highest total number of votes in that segment and received a simple majority of the votes cast in that segment. In accordance with Appendix 3B of the NERC Rules of Procedure, the following individuals have been elected to fill two-year terms in their respective segments.

- Segment 1: Charlie Cook
- Segment 2: Jamie Johnson
- Segment 3: Kent Feliks
- Segment 4: Marty Hostler
- Segment 5: Terri Pyle
- Segment 6: Peter Yost

Quick Links

- [Register in the SBS](#)
- [Projected Standards Posting Schedule](#)
- [Project Tracking Spreadsheet](#)
- [2021–2023 Reliability Standards Development Plan](#)
- [Quality Review Application](#)
- [One-Stop Shop \(Status, Purpose, Implementation Plans, FERC Orders, RSAWs\)](#)
- [CIP Standards Efficiency Review](#)
- [Submit Questions or Feedback](#)

- Segment 7: Kristine Martz
- Segment 8: Robert Blohm
- Segment 9: Paul MacDonald
- Segment 10 (Regional Entities) has an alternative election procedure, as permitted by Appendix 3B of the NERC Rules of Procedure. Pursuant to that alternative election procedure, Dave Krueger, from SERC Reliability Corporation, has been elected to represent Segment 10.

The 2024–2025 SC roster has been updated to reflect these results.

GENERAL COMPLIANCE AND ENFORCEMENT NEWS

NEW Align Planned Maintenance

The ERO Enterprise has scheduled the Align system to be down for planned maintenance. The Align system will be down for scheduled maintenance starting from November 25, 2023, at 10:00 p.m. Eastern (7:00 p.m. Pacific) through November 26, 2023, at 10:00 a.m. Eastern (7:00 a.m. Pacific). This planned outage only affects Align. Information regarding this outage will also be displayed on the My Align News & Update section of Align, and the [Align and SEL web page](#).

The ERO Enterprise has also scheduled the Align system to be down for planned maintenance starting from November 29, 2023, at 8:00 p.m. Eastern (5:00 p.m. Pacific) through November 29, 2023, at 11:59 p.m. Eastern (8:59 p.m. Pacific). This planned outage only affects Align. Information regarding this outage will also be displayed on the My Align News & Update section of Align, and the [Align and SEL web page](#).

NEW Align Release Notes Posted

During the November 15, 2023 maintenance outage, modifications were deployed to the Align system. The modifications included the following: a data correction to allow the CEA to perform its review in the IRA module; a data correction to allow the CEA to perform its review of a PNC record; a correction to the COP datastore so that CEA can view the Risk Categories data; and a new property in the backend that was needed for integrations. The Release Notes provide details on the specific changes and indicate the users affected, as well as if any training materials need to be modified. The detailed release notes can be found on the [Align and SEL web page](#) under the Release Materials section.

Quick Links

[Compliance Monitoring & Enforcement Program One-Stop Shop](#)

[ERO Enterprise Program Alignment Process](#)

[Consistency Reporting Tool \(EthicsPoint\)](#)

[Risk-Based Registration Initiative](#)

[Reliability Standard Audit Worksheets](#)

[Enforcement & Mitigation: Enforcement Actions](#)

[Align and ERO SEL](#)

OTHER NEWS

NEW GridEx VII – Tests Rapid and Innovative Response Capabilities

NERC's GridEx VII has concluded the distributed play portion of its exercise. Over the past two days, more than 250 organizations played simultaneously in a complex and challenging scenario while adapting the exercise to meet their specific organizational needs. This year's scenario reflected real-world cyber and physical threats and was designed to stress-test crisis response and recovery plans. Hosted every two years by NERC's Electricity Information Sharing and Analysis Center (E-ISAC), GridEx is the largest grid security exercise in North America. For more information, please see the [announcement](#).

NEW 2023 Probabilistic Analysis Forum Presentations Posted

The [Probabilistic Assessment Working Group](#) (PAWG) organized the 2023 NERC Probabilistic Analysis Forum from October 10–12, 2023. The forum featured presentations, panel sessions, and discussions by industry experts on the latest trends and best practices in probabilistic applications in the electric industry. The attendees gained valuable insights on probabilistic assessment methods, experiences, and data source considerations. The forum provided an excellent opportunity for industry professionals to network, learn, and share knowledge. [Presentations - Day 1](#) | [Presentations - Day 2](#) | [Presentations - Day 3](#)

STANDARDS SUBJECT TO FUTURE ENFORCEMENT

Please refer to the [U.S. Effective Dates page](#) for the most up-to-date information on effective dates and for more detail on the below.

U.S. Effective Dates for Reliability Standards	
U.S. Effective Date	Standard(s)
January 1, 2024	CIP-004-7 – Cyber Security – Personnel & Training CIP-011-3 – Cyber Security - Information Protection FAC-001-4 – Facility Interconnection Requirements Implementation Plan FAC-002-4 – Facility Interconnection Studies Implementation Plan TPL-007-4 – Transmission System Planned Performance for Geomagnetic Disturbance Events (Requirements R7, 7.1–7.3, 7.3.1–7.3.2, 7.4, 7.4.1–7.4.3, 7.5, 7.5.1, R11, 11.1–11.3, 11.3.1–11.3.2, 11.4, 11.4.1–11.4.3, 11.5, and 11.5.1)
April 1, 2024	FAC-003-5 – Transmission Vegetation Management FAC-011-4 – System Operating Limits Methodology for the Operations Horizon FAC-014-3 – Establish and Communicate System Operating Limits IRO-008-3 – Reliability Coordinator Operational Analyses and Real-time Assessments PRC-002-4 – Disturbance Monitoring and Reporting Requirements PRC-023-5 – Transmission Relay Loadability Implementation Plan PRC-026-2 – Relay Performance During Stable Power Swings Implementation Plan TOP-001-6 – Transmission Operations
October 1, 2024	EOP-012-1 – Extreme Cold Weather Preparedness and Operations (Requirements 1–2 effective 4/1/28; Requirement 4 effective 10/1/29)
July 1, 2025	IRO-010-5 – Reliability Coordinator Data and information Specification and Collection Implementation Plan TOP-003-6.1 – Transmission Operator and Balancing Authority Data and Information Specification and Collection Implementation Plan
April 1, 2026	CIP-003-9 - Cyber Security — Security Management Controls

BOARD OF TRUSTEES AND FERC ACTION

NEW NERC Filings

On November 14, 2023, NERC submitted two filings to FERC:

- [Inverter Based Resources Work Plan Progress Update](#) (FA11-21-000)
- [Compliance Filing in Response to January 2013 Order](#) (RD22-4-001)

You can find all of NERC's filings to FERC for 2023 on our [website](#).

UPCOMING EVENTS

For information about other NERC events, such as meetings and conference calls for other standing committees, subcommittees, task forces, and working groups, please refer to the [NERC calendar](#).

Workshops and Conferences

There are no workshops or conferences currently scheduled.

Webinars

- **NEW** [Dynamic Modeling Recommendations](#) Webinar – 3:00–4:00 p.m. Eastern, December 11, 2023 | [Register](#)

Standing Committee Meetings and Conference Calls

- Standards Committee Conference Call – 1:00–3:00 p.m. Eastern, November 15, 2023 | [Join WebEx](#) | [Agenda](#)
- Standards Committee Meeting – 10:00 a.m.–3:00 p.m. Eastern, December 13, 2023, Atlanta | [In-Person Registration](#) | [Join WebEx](#)

Standard Drafting Team Meetings

Below are the upcoming SDT meetings for the next four weeks. For a full listing of upcoming meetings, please refer to the [Standards calendar](#).

- Project 2020-02 – Modifications to PRC-024 (Generator Ride-through) Drafting Team Meeting – 11:00 a.m.–1:00 p.m. Eastern, November 20, 2023 | [Register](#)
- Project 2023-06 – CIP-014 Risk Assessment Refinement Drafting Team Meeting – 10:00 a.m.–12:00 p.m. Eastern, November 21, 2023 | [Register](#)

- Project 2020-02 – Modifications to PRC-024 (Generator Ride-through) Drafting Team Meeting – 11:00 a.m.–1:00 p.m. Eastern, November 27, 2023 | [Register](#)
- Project 2022-03 – Energy Assurance with Energy-Constrained Resources Standard Drafting Team Meeting – 11:00 a.m.–2:00 p.m. Eastern, November 27, 2023 | [Register](#)
- Project 2023-06 – CIP-014 Risk Assessment Refinement Drafting Team Meeting – 10:00 a.m.–12:00 p.m. Eastern, November 28, 2023 | [Register](#)
- Project 2023-07 – Transmission Planning Performance Requirements for Extreme Weather Standard Drafting Team Meeting – 1:00–2:00 p.m. Eastern, November 29, 2023 | [Register](#)
- Project 2020-02 – Modifications to PRC-024 (Generator Ride-through) Drafting Team Meeting – 3:00–5:00 p.m. Eastern, November 29, 2023 | [Register](#)
- Project 2022-03 – Energy Assurance with Energy-Constrained Resources Standard Drafting Team Meeting – 1:00–4:00 p.m. Eastern, November 30, 2023 | [Register](#)
- Project 2023-06 – CIP-014 Risk Assessment Refinement Drafting Team Meeting – 10:00 a.m.–12:00 p.m. Eastern, December 1, 2023 | [Register](#)
- Project 2020-02 – Modifications to PRC-024 (Generator Ride-through) Drafting Team Meeting – 11:00 a.m.–1:00 p.m. Eastern, December 4, 2023 | [Register](#)
- **NEW** Project 2021-02 – Modifications to VAR-002-4.1 Standard Drafting Team Meeting – 1:30–3:30 p.m. Eastern, December 4, 2023 | [Register](#)
- Project 2021-07 – Extreme Cold Weather Grid Operations, Preparedness, and Coordination Standard Drafting Team Meeting – 1:00–3:00 p.m. Eastern, December 5, 2023 | [Register](#)
- **NEW** Project 2021-08 – Modifications to FAC-008 Standard Drafting Team Meeting – 12:00–4:00 p.m. Eastern, December 6, 2023 | [Register](#)
- Project 2021-07 – Extreme Cold Weather Grid Operations, Preparedness, and Coordination Standard Drafting Team Meeting – 1:00–3:00 p.m. Eastern, December 7, 2023 | [Register](#)
- **NEW** Project 2023-04 – Modifications to CIP-003 Standard Drafting Team Meeting – December 11–12, 2023, Atlanta | [Register](#)
- **NEW** Project 2022-05 – Modifications to CIP-008 Reporting Threshold Standard Drafting Team Meeting – 1:00–2:30 p.m. Eastern, December 11, 2023 | [Register](#)
- **NEW** Project 2021-07 – Extreme Cold Weather Grid Operations, Preparedness, and Coordination Standard Drafting Team Meeting – 1:00–3:00 p.m. Eastern, December 14, 2023 | [Register](#)

ABOUT THE STANDARDS, COMPLIANCE, AND ENFORCEMENT BULLETIN

This weekly bulletin compiles a list of standards, compliance, and enforcement projects with actionable deadlines, as well as upcoming events, recently posted resources, other NERC documents posted for comment, and other relevant news and information. Please email [Amy Klagholz](mailto:Amy.Klagholz@nerc.gov) with feedback on this bulletin. The current bulletin and old bulletins are available under “Program News” on both the [Standards home page](#) and the [Compliance & Enforcement home page](#).

REGISTRATION: If you would like to receive this bulletin or be added to a NERC distribution list, you **must** first register an account in the [ERO Portal](#). Once you have registered your ERO Portal account, authenticated your credentials with DUO, and completed your profile, please submit a ticket through the [Help Desk](#) by selecting the “NERC Email Distribution List” option under the Applications menu. In the Description Box, please specify which lists you would like your email address to be added to. If you are unsure of which distribution list you would like to be added to, an excellent starting point is the “[Committee](#)” page. You can note the committee abbreviation, which represents the distribution list you would like to join, in the Description Box. If you have other NERC-related or specific Standards projects you’re interested in, you may request those as well. If you’re interested in general information, please request to be added to the NERC-info distribution list, which covers most NERC announcements. This bulletin is distributed to several lists, including the Standards distribution list (Standards-specific announcements) and the NERC-info distribution list.