

Standards, Compliance, and Enforcement Bulletin

November 27–December 3, 2023

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ACTIVE STANDARDS POSTINGS

Current and Upcoming Ballots (ballot periods close at 8:00 p.m. Eastern)

Project	Action	Start Date	End Date
Project 2016-02 – Modifications to CIP Standards Virtualization - Draft 5	Additional Ballots and Non-binding Polls	11/20/23	11/29/23
Project 2021-07 – Extreme Cold Weather Grid Operations, Preparedness, and Coordination - Phase 2 Draft 2 EOP-012-2	Additional Ballots and Non-binding Poll	11/21/23	11/30/23
Project 2023-04 – Modifications to CIP-003 Draft 1	Initial Ballots and Non-binding Poll	11/28/23	12/07/23
Project 2020-06 – Verifications of Models and Data for Generators Draft 1 of IBR Definitions	Initial Ballots	12/26/23	01/09/24

[Join Ballot Pools](#)

Project	Action	Start Date	End Date
Project 2023-04 – Modifications to CIP-003 Draft 1	Join Ballot Pools	10/24/23	11/27/23
Project 2020-06 – Verifications of Models and Data for Generators Draft 1 of IBR Definitions	Join Ballot Pools	11/16/23	12/15/23
Posted for Comment			
Project	Action	Start Date	End Date
Project 2016-02 – Modifications to CIP Standards Virtualization - Draft 5	Comment Period	10/03/23	11/29/23
Project 2021-07 – Extreme Cold Weather Grid Operations, Preparedness, and Coordination - Phase 2 Draft 2 EOP-012-2	Comment Period	10/27/23	11/30/23
Project 2023-04 – Modifications to CIP-003 Draft 1	Comment Period	10/24/23	12/07/23
Project 2020-06 – Verifications of Models and Data for Generators Draft 1 of IBR Definitions	Comment Period	11/16/23	01/09/24

Posted for Comment			
Posting	Action	Start Date	End Date
<p>Comment Periods Open for Supply Chain Working Group Security Guideline: The Supply Chain Working Group (SCWG), a sub-group of the RSTC, is responsible for addressing supply chain security concerns that affect the bulk power system (BPS). The SCWG recently prepared a security guideline that is now posted for industry review.</p> <p>Security Guideline: <i>Vendor Incident Response</i> The guideline was originally approved by the RSTC in 2019 and has been updated with changes to content and metrics that can be used to assess the effectiveness and the extent to which the guideline has been implemented. Vendor Incident Response (clean) Vendor Incident Response (redline)</p>	Please submit comments via email using the comment form .	09/21/23	12/05/23

STANDARDS NEWS

NEW Additional Ballots and Non-binding Poll Results for Project 2022-02 – Modifications to TPL-001 and MOD-032

Additional ballots and non-binding poll of the associated Violation Risk Factors and Violation Severity Levels for Project 2022-02 – Modifications to TPL-001 and MOD-032 concluded November 20, 2023 for MOD-032-2 – Data for Power System Modeling and Analysis and its Implementation Plan. The voting statistics are listed below, and the details can be accessed on the [Ballot Results](#) page. The drafting team will review all responses received during the comment period and determine the next steps of the project.

Quick Links

- [Register in the SBS](#)
- [Projected Standards Posting Schedule](#)
- [Project Tracking Spreadsheet](#)
- [2021–2023 Reliability Standards Development Plan](#)
- [Quality Review Application](#)
- [One-Stop Shop \(Status, Purpose, Implementation Plans, FERC Orders, RSAWs\)](#)
- [CIP Standards Efficiency Review](#)
- [Submit Questions or Feedback](#)

	Ballot	Non-binding Poll
Standard	Quorum / Approval	Quorum / Supportive Opinions
MOD-032-2	85.27% / 39.52%	83.09% / 41.24%
Implementation Plan	84.83% / 48.24%	N/A

GENERAL COMPLIANCE AND ENFORCEMENT NEWS

NEW Align Planned Maintenance

The ERO Enterprise has scheduled the Align system to be down for planned maintenance starting from November 29, 2023, at 8:00 p.m. Eastern (5:00 p.m. Pacific) through November 29, 2023, at 11:59 p.m. Eastern (8:59 p.m. Pacific). This planned outage only affects Align. Information regarding this outage will also be displayed on the My Align News & Update section of Align, and the [Align and SEL web page](#).

Quick Links

[Compliance Monitoring & Enforcement Program One-Stop Shop](#)
[ERO Enterprise Program Alignment Process](#)
[Consistency Reporting Tool \(EthicsPoint\)](#)
[Risk-Based Registration Initiative](#)
[Reliability Standard Audit Worksheets](#)
[Enforcement & Mitigation: Enforcement Actions](#)
[Align and ERO SEL](#)

OTHER NEWS

NEW Nomination Period Open for New Reliability Issues Steering Committee Members

As the terms expire for a number of Reliability Issues Steering Committee (RISC) members on January 31, 2024, volunteers are being sought from the Member Representatives Committee (MRC) and industry to serve on the RISC for two-year terms ending January 31, 2026. The RISC is an advisory committee that reports directly to the NERC Board of Trustees (Board) and triages and provides front-end, high-level leadership and accountability for issues of strategic importance to bulk power system (BPS) reliability and security. The RISC assists the Board, NERC technical committees, NERC staff, regulators, Regional Entities, and industry stakeholders in establishing a common understanding of the scope, priority, and goals for the development of solutions to these issues. In doing so, the RISC provides a framework for developing recommendations to help NERC and the industry effectively focus their resources on the critical issues needed to improve the reliability and security of the BPS. The [2023 ERO Reliability Risk Priorities Report](#) was approved by the Board on August 17, 2023 and is posted on the RISC web page.

As described in the [RISC charter](#), nominees for RISC should meet the following general qualifications:

- Executive-level position within the electric utility industry;
- High-level understanding and strategic perspectives on reliability risks; and
- Commitment to regular participation on the RISC in a collaborative and consensus-building manner.

When determining a final slate of recommended members, the RISC Nominating Committee will evaluate nominees based on experience and qualifications, as well as diversity within the context of the entire membership of the RISC based on the following considerations:

- Geographic and international diversity, such that Eastern, Western, and Texas Interconnections, along with Canada are represented on the RISC;
- Sector, size, and asset (transmission, distribution, load, generation, etc.) diversity; and
- Diversity in professional background and experience.

If you are interested in nominating either yourself or another person, please complete the [RISC Nomination Form](#) by December 29, 2023.

STANDARDS SUBJECT TO FUTURE ENFORCEMENT

Please refer to the [U.S. Effective Dates page](#) for the most up-to-date information on effective dates and for more detail on the below.

U.S. Effective Dates for Reliability Standards

U.S. Effective Date	Standard(s)
January 1, 2024	CIP-004-7 – Cyber Security – Personnel & Training
	CIP-011-3 – Cyber Security - Information Protection
	FAC-001-4 – Facility Interconnection Requirements Implementation Plan
	FAC-002-4 – Facility Interconnection Studies Implementation Plan
	TPL-007-4 – Transmission System Planned Performance for Geomagnetic Disturbance Events (Requirements R7, 7.1–7.3, 7.3.1–7.3.2, 7.4, 7.4.1–7.4.3, 7.5, 7.5.1, R11, 11.1–11.3, 11.3.1–11.3.2, 11.4, 11.4.1–11.4.3, 11.5, and 11.5.1)
April 1, 2024	FAC-003-5 – Transmission Vegetation Management
	FAC-011-4 – System Operating Limits Methodology for the Operations Horizon
	FAC-014-3 – Establish and Communicate System Operating Limits
	IRO-008-3 – Reliability Coordinator Operational Analyses and Real-time Assessments
	PRC-002-4 – Disturbance Monitoring and Reporting Requirements
	PRC-023-5 – Transmission Relay Loadability Implementation Plan
	PRC-026-2 – Relay Performance During Stable Power Swings Implementation Plan
	TOP-001-6 – Transmission Operations
October 1, 2024	EOP-012-1 – Extreme Cold Weather Preparedness and Operations (Requirements 1–2 effective 4/1/28; Requirement 4 effective 10/1/29)
July 1, 2025	IRO-010-5 – Reliability Coordinator Data and information Specification and Collection Implementation Plan
	TOP-003-6.1 – Transmission Operator and Balancing Authority Data and Information Specification and Collection Implementation Plan
April 1, 2026	CIP-003-9 - Cyber Security — Security Management Controls

BOARD OF TRUSTEES AND FERC ACTION

There is no additional Board or FERC action to report for this week.

UPCOMING EVENTS

For information about other NERC events, such as meetings and conference calls for other standing committees, subcommittees, task forces, and working groups, please refer to the [NERC calendar](#).

Workshops and Conferences

There are no workshops or conferences currently scheduled.

Webinars

- **NEW** Winter Storm Elliott Joint Inquiry Findings and Recommendations Webinar – 2:00–3:00 p.m. Eastern, November 29, 2023 | [Register](#)
- **NEW** Project 2020-06 – Verification of Models and Data for Generators | Inverter-based Resource (IBR) Definitions Webinar – 1:00–2:30 p.m. Eastern, December 5, 2023 | [Join Webex](#)
- [Dynamic Modeling Recommendations](#) Webinar – 3:00–4:00 p.m. Eastern, December 11, 2023 | [Register](#)

Standing Committee Meetings and Conference Calls

- **NEW** Reliability and Security Technical Committee (RSTC) Meeting – 11:00 a.m.–4:30 p.m. Eastern, December 6, 2023 | [Register](#) | [Agenda Package](#)
- **NEW** RSTC Informational Session – 11:00 a.m.–4:30 p.m. Eastern, December 7, 2023 | [Register](#) | [Agenda Package](#)
- Standards Committee Meeting – 10:00 a.m.–3:00 p.m. Eastern, December 13, 2023, Atlanta | [In-Person Registration](#) | [Join WebEx](#)

Standard Drafting Team Meetings

Below are the upcoming SDT meetings for the next four weeks. For a full listing of upcoming meetings, please refer to the [Standards calendar](#).

- Project 2020-02 – Modifications to PRC-024 (Generator Ride-through) Drafting Team Meeting – 11:00 a.m.–1:00 p.m. Eastern, November 27, 2023 | [Register](#)
- Project 2022-03 – Energy Assurance with Energy-Constrained Resources Standard Drafting Team Meeting – 11:00 a.m.–2:00 p.m. Eastern, November 27, 2023 | [Register](#)
- Project 2023-06 – CIP-014 Risk Assessment Refinement Drafting Team Meeting – 10:00 a.m.–12:00 p.m. Eastern, November 28, 2023 | [Register](#)
- Project 2023-07 – Transmission Planning Performance Requirements for Extreme Weather Standard Drafting Team Meeting – 1:00–2:00 p.m. Eastern, November 29, 2023 | [Register](#)

- Project 2020-02 – Modifications to PRC-024 (Generator Ride-through) Drafting Team Meeting – 3:00–5:00 p.m. Eastern, November 29, 2023 | [Register](#)
- Project 2022-03 – Energy Assurance with Energy-Constrained Resources Standard Drafting Team Meeting – 1:00–4:00 p.m. Eastern, November 30, 2023 | [Register](#)
- Project 2023-06 – CIP-014 Risk Assessment Refinement Drafting Team Meeting – 10:00 a.m.–12:00 p.m. Eastern, December 1, 2023 | [Register](#)
- Project 2020-02 – Modifications to PRC-024 (Generator Ride-through) Drafting Team Meeting – 11:00 a.m.–1:00 p.m. Eastern, December 4, 2023 | [Register](#)
- Project 2021-02 – Modifications to VAR-002-4.1 Standard Drafting Team Meeting – 1:30–3:30 p.m. Eastern, December 4, 2023 | [Register](#)
- Project 2021-07 – Extreme Cold Weather Grid Operations, Preparedness, and Coordination Standard Drafting Team Meeting – 1:00–3:00 p.m. Eastern, December 5, 2023 | [Register](#)
- Project 2021-08 – Modifications to FAC-008 Standard Drafting Team Meeting – 12:00–4:00 p.m. Eastern, December 6, 2023 | [Register](#)
- Project 2021-07 – Extreme Cold Weather Grid Operations, Preparedness, and Coordination Standard Drafting Team Meeting – 1:00–3:00 p.m. Eastern, December 7, 2023 | [Register](#)
- Project 2023-04 – Modifications to CIP-003 Standard Drafting Team Meeting – December 11–12, 2023, Atlanta | [Register](#)
- Project 2022-05 – Modifications to CIP-008 Reporting Threshold Standard Drafting Team Meeting – 1:00–2:30 p.m. Eastern, December 11, 2023 | [Register](#)
- Project 2021-07 – Extreme Cold Weather Grid Operations, Preparedness, and Coordination Standard Drafting Team Meeting – 1:00–3:00 p.m. Eastern, December 14, 2023 | [Register](#)

ABOUT THE STANDARDS, COMPLIANCE, AND ENFORCEMENT BULLETIN

This weekly bulletin compiles a list of standards, compliance, and enforcement projects with actionable deadlines, as well as upcoming events, recently posted resources, other NERC documents posted for comment, and other relevant news and information. Please email [Amy Klagholz](#) with feedback on this bulletin. The current bulletin and old bulletins are available under “Program News” on both the [Standards home page](#) and the [Compliance & Enforcement home page](#).

REGISTRATION: If you would like to receive this bulletin or be added to a NERC distribution list, you **must** first register an account in the [ERO Portal](#). Once you have registered your ERO Portal account, authenticated your credentials with DUO, and completed your profile, please submit a ticket through the [Help Desk](#) by selecting the “NERC Email Distribution List” option under the Applications menu. In the Description Box, please

specify which lists you would like your email address to be added to. If you are unsure of which distribution list you would like to be added to, an excellent starting point is the "[Committee](#)" page. You can note the committee abbreviation, which represents the distribution list you would like to join, in the Description Box. If you have other NERC-related or specific Standards projects you're interested in, you may request those as well. If you're interested in general information, please request to be added to the NERC-info distribution list, which covers most NERC announcements. This bulletin is distributed to several lists, including the Standards distribution list (Standards-specific announcements) and the NERC-info distribution list.