

Standards, Compliance, and Enforcement Bulletin

December 11–17, 2023

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ACTIVE STANDARDS POSTINGS

Current and Upcoming Ballots (ballot periods close at 8:00 p.m. Eastern)

Project	Action	Start Date	End Date
NEW Project 2022-01 – Reporting ACE Definition and Associated Terms	Final Ballots	12/11/23	12/20/23
Project 2020-06 – Verifications of Models and Data for Generators Draft 1 of IBR Definitions	Initial Ballots	12/26/23	01/09/24

Join Ballot Pools

Project	Action	Start Date	End Date
Project 2020-06 – Verifications of Models and Data for Generators Draft 1 of IBR Definitions	Join Ballot Pools	11/16/23	12/15/23

Posted for Comment

Project	Action	Start Date	End Date
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Project 2020-06 – Verifications of Models and Data for Generators Draft 1 of IBR Definitions	Comment Period	11/16/23	01/09/24
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Posted for Comment			
Posting	Action	Start Date	End Date
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STANDARDS NEWS

NEW Initial Ballot and Non-binding Poll Results for Project 2023-04 – Modifications to CIP-003

The initial ballots and non-binding poll of the Associated Violation Risk Factors and Violation Severity Levels for draft one of CIP-003-A – Cyber Security – Security Management Controls concluded December 7, 2023. Voting statistics are listed below, and the [Ballot Results](#) page provides the detailed results. The drafting team will review all responses received during the comment period and determine the next steps of the project.

Quick Links

- [Register in the SBS](#)
- [NEW Standards Project Prioritization \(November 2023\)](#)
- [Projected Standards Posting Schedule](#)
- [Project Tracking Spreadsheet](#)
- [2021–2023 Reliability Standards Development Plan](#)
- [Quality Review Application](#)
- [One-Stop Shop \(Status, Purpose, Implementation Plans, FERC Orders, RSAWs\)](#)
- [Submit Questions or Feedback](#)

	Ballot	Non-binding Poll
	Quorum / Approval	Quorum / Supportive Opinions
CIP-003-A	92.81% / 35.04%	91.07% / 32.11%
Implementation Plan	92.15% / 40.86%	N/A

NEW Final Ballot Results for Project 2020-04 – Modifications to CIP-012

Final ballots for Project 2020-04 – Modifications to CIP-012 concluded December 7, 2023 for CIP-012-2 – Cyber Security – Communications between Control Centers and its Implementation Plan. Voting statistics are listed below, and the [Ballot Results](#) page provides detailed results. The standard will be submitted to the Board of Trustees for adoption and then filed with the appropriate regulatory authorities.

Ballot Results	
Standard	Quorum / Approval
CIP-012-2	88.32% / 88.36%
Implementation Plan	87.76% / 90.19%

NEW Webinar Resources Posted

NERC has posted the [slide presentation](#) and [recording](#) from the December 5, 2023 Project 2020-06 – Verifications of Models and Data for Generators Industry Webinar on Inverter-Based Definitions.

NEW Standards Committee Nomination Results and Election

The Standards Committee (SC) nomination period for the special election for Segment 1 to fill the remainder of the 2023–2024 term closed November 27, 2023. Amy Casuscelli and Jamison Cawley were nominated to represent Segment 1. An election began on Wednesday, December 6, 2023, for Segment 1 and will remain open until Monday, December 18, 2023 at 8:00 p.m. Eastern. Each individual or entity that is a member of Segment 1 may vote. Detailed information on each candidate is posted on the [Standards Committee’s Nominations and Elections web page](#). Click [here](#) to vote if you represent Segment 1. Proxies are allowed; proxy designations should be emailed to [Katrina Blackley](#) prior to voting.

In accordance with the Procedure for Election of Members of the Standards Committee contained in Appendix 3B of the NERC Rules of Procedure, to be elected, a nominee must receive the highest total number of votes in that Segment and receive a simple majority of the votes cast in that Segment.

GENERAL COMPLIANCE AND ENFORCEMENT NEWS

NEW RSAWs Posted

NERC has posted new Reliability Standard Audit Worksheets (RSAWs) for FAC-001-4 and FAC-002-4 to the RSAW homepage under the heading “Current RSAWs for Use.” These standards become effective January 1, 2024. FAC-001-4 seeks to ensure that Transmission Owners and Generator Owners make Facility interconnection requirements available so that entities seeking to interconnect will have the necessary information, while FAC-002-4 seeks to ensure that the impact of interconnecting new or changed Facilities on the Bulk Electric Systems is studied and contains requirements for Planning Coordinators, Transmission Planners, Transmission Owners, Distribution Providers, and Generator Owners.

RSAWs are guides provided by the ERO Enterprise that describe types of evidence that registered entities may use to demonstrate compliance with a Reliability Standard. RSAWs also include information regarding how the ERO Enterprise may assess that evidence. RSAWs do not require specific evidence to be provided, and they are not intended to require a single, exclusive approach to assessing compliance with a Reliability Standard.

Quick Links

[Compliance Monitoring & Enforcement Program One-Stop Shop](#)
[ERO Enterprise Program Alignment Process](#)
[Consistency Reporting Tool \(EthicsPoint\)](#)
[Risk-Based Registration Initiative](#)
[Reliability Standard Audit Worksheets](#)
[Enforcement & Mitigation: Enforcement Actions](#)
[Align and ERO SEL](#)

OTHER NEWS

NEW Save the Date for 2024 NERC-NATF-EPRI Extreme Weather Transmission Planning and Modeling Workshop

NERC, the North American Transmission Forum (NATF), and the Electric Power Research Institute (EPRI) will be holding a seminar on transmission planning for extreme weather on January 17, 2024. This seminar will focus on weather and climate data criteria and characteristic needed to establish network models for extreme weather per FERC Order No. 896 and how to identify and develop benchmark planning cases for extreme heat and extreme cold. The standard drafting team for TPL-008 will be releasing the draft standard for review at the start of February 2024, this workshop will provide context and the scientific basis for decisions included in the standard. In addition to the necessary transmission planning practices to develop weather impacted power flow cases, attendees will also hear from climate experts from National Labs and Agencies who will discuss the science behind climate impacted weather data in the long-term transmission planning timeframe. The event will be held virtually, and more details will be announced closer to the workshop.

STANDARDS SUBJECT TO FUTURE ENFORCEMENT

Please refer to the [U.S. Effective Dates page](#) for the most up-to-date information on effective dates and for more detail on the below.

U.S. Effective Dates for Reliability Standards

U.S. Effective Date	Standard(s)
January 1, 2024	CIP-004-7 – Cyber Security – Personnel & Training
	CIP-011-3 – Cyber Security - Information Protection
	FAC-001-4 – Facility Interconnection Requirements Implementation Plan
	FAC-002-4 – Facility Interconnection Studies Implementation Plan
April 1, 2024	TPL-007-4 – Transmission System Planned Performance for Geomagnetic Disturbance Events (Requirements R7, 7.1–7.3, 7.3.1–7.3.2, 7.4, 7.4.1–7.4.3, 7.5, 7.5.1., R11, 11.1–11.3, 11.3.1–11.3.2, 11.4, 11.4.1–11.4.3, 11.5, and 11.5.1)
	FAC-003-5 – Transmission Vegetation Management
	FAC-011-4 – System Operating Limits Methodology for the Operations Horizon
	FAC-014-3 – Establish and Communicate System Operating Limits
	IRO-008-3 – Reliability Coordinator Operational Analyses and Real-time Assessments
	PRC-002-4 – Disturbance Monitoring and Reporting Requirements
	PRC-023-5 – Transmission Relay Loadability Implementation Plan
PRC-026-2 – Relay Performance During Stable Power Swings Implementation Plan	
October 1, 2024	TOP-001-6 – Transmission Operations
	EOP-012-1 – Extreme Cold Weather Preparedness and Operations (Requirements 1–2 effective 4/1/28; Requirement 4 effective 10/1/29)
July 1, 2025	IRO-010-5 – Reliability Coordinator Data and information Specification and Collection Implementation Plan
	TOP-003-6.1 – Transmission Operator and Balancing Authority Data and Information Specification and Collection Implementation Plan
April 1, 2026	CIP-003-9 - Cyber Security — Security Management Controls

BOARD OF TRUSTEES AND FERC ACTION

NEW FERC Issuances

On December 1, 2023, FERC issued an [order](#) approving the revised Texas Reliability Entity Regional Reliability Standards Development Process (RSDP). You can find all FERC orders for 2023 [here](#).

UPCOMING EVENTS

For information about other NERC events, such as meetings and conference calls for other standing committees, subcommittees, task forces, and working groups, please refer to the [NERC calendar](#).

Workshops and Conferences

- **NEW** 2024 NERC-NATF-EPRI Extreme Weather Transmission Planning and Modeling Workshop, 1:00–5:00 p.m. Eastern, January 17, 2024 | [Save the Date](#)

Webinars

- [Dynamic Modeling Recommendations](#) Webinar – 3:00–4:00 p.m. Eastern, December 11, 2023 | [Register](#)

Standing Committee Meetings and Conference Calls

- Board of Trustees Virtual Meeting – 11:00 a.m.–12:00 p.m. Eastern, December 12, 2023 | [Agenda Package and WebEx Link](#)
- Standards Committee Meeting – 10:00 a.m.–3:00 p.m. Eastern, December 13, 2023, Atlanta | [In-Person Registration](#) | [Join WebEx](#) | **NEW** [Agenda](#)

Standard Drafting Team Meetings

Below are the upcoming SDT meetings for the next four weeks. For a full listing of upcoming meetings, please refer to the [Standards calendar](#).

- Project 2023-04 – Modifications to CIP-003 Standard Drafting Team Meeting – December 11–12, 2023, Atlanta | [Register](#)
- Project 2020-02 – Modifications to PRC-024 (Generator Ride-through) Drafting Team Meeting – 11:00 a.m.–1:00 p.m. Eastern, December 11, 2023 | [Register](#)
- Project 2022-05 – Modifications to CIP-008 Reporting Threshold Standard Drafting Team Meeting – 1:00–2:30 p.m. Eastern, December 11, 2023 | [Register](#)
- Project 2023-06 – CIP-014 Risk Assessment Refinement Drafting Team Meeting – 10:00 a.m.–12:00 p.m. Eastern, December 12, 2023 | [Register](#)
- Project 2022-03 – Energy Assurance with Energy-Constrained Resources Standard Drafting Team Meeting – 12:00–2:00 p.m. Eastern, December 14, 2023 | [Register](#)
- Project 2021-07 – Extreme Cold Weather Grid Operations, Preparedness, and Coordination Standard Drafting Team Meeting – 1:00–3:00 p.m. Eastern, December 14, 2023 | [Register](#)

- Project 2023-06 – CIP-014 Risk Assessment Refinement Drafting Team Meeting – 10:00 a.m.–12:00 p.m. Eastern, December 15, 2023 | [Register](#)
- Project 2020-02 – Modifications to PRC-024 (Generator Ride-through) Drafting Team Meeting – 11:00 a.m.–1:00 p.m. Eastern, December 18, 2023 | [Register](#)
- Project 2022-04 – EMT Modeling Standard Drafting Team Meeting – 3:00–5:00 p.m. Eastern, December 18, 2023 | [Register](#)
- Project 2021-07 – Extreme Cold Weather Grid Operations, Preparedness, and Coordination Standard Drafting Team Meeting – 1:00–3:00 p.m. Eastern, December 19, 2023 | [Register](#)
- **NEW** Project 2021-07 – Extreme Cold Weather Grid Operations, Preparedness, and Coordination Standard Drafting Team Meeting – 1:00–3:00 p.m. Eastern, December 20, 2023 | [Register](#)
- Project 2022-03 – Energy Assurance with Energy-Constrained Resources Standard Drafting Team Meeting – 11:00 a.m.–2:00 p.m. Eastern, December 21, 2023 | [Register](#)
- Project 2021-07 – Extreme Cold Weather Grid Operations, Preparedness, and Coordination Standard Drafting Team Meeting – 1:00–3:00 p.m. Eastern, December 21, 2023 | [Register](#)
- **NEW** Project 2021-04 Modifications to PRC-002 - Phase II Standard Drafting Team Meeting – 1:00–4:00 p.m. Eastern, January 4, 2024 | [Register](#)

ABOUT THE STANDARDS, COMPLIANCE, AND ENFORCEMENT BULLETIN

This weekly bulletin compiles a list of standards, compliance, and enforcement projects with actionable deadlines, as well as upcoming events, recently posted resources, other NERC documents posted for comment, and other relevant news and information. Please email [Amy Klagholz](#) with feedback on this bulletin. The current bulletin and old bulletins are available under “Program News” on both the [Standards home page](#) and the [Compliance & Enforcement home page](#).

REGISTRATION: If you would like to receive this bulletin or be added to a NERC distribution list, you **must** first register an account in the [ERO Portal](#). Once you have registered your ERO Portal account, authenticated your credentials with DUO, and completed your profile, please submit a ticket through the [Help Desk](#) by selecting the “NERC Email Distribution List” option under the Applications menu. In the Description Box, please specify which lists you would like your email address to be added to. If you are unsure of which distribution list you would like to be added to, an excellent starting point is the “[Committee](#)” page. You can note the committee abbreviation, which represents the distribution list you would like to join, in the Description Box. If you have other NERC-related or specific Standards projects you’re interested in, you may request those as well. If you’re interested in general information, please request to be added to the NERC-info distribution list, which covers most NERC

announcements. This bulletin is distributed to several lists, including the Standards distribution list (Standards-specific announcements) and the NERC-info distribution list.