

# Standards, Compliance, and Enforcement Bulletin

December 26, 2023–January 1, 2024

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## ACTIVE STANDARDS POSTINGS

### Current and Upcoming Ballots (ballot periods close at 8:00 p.m. Eastern)

Project	Action	Start Date	End Date
<a href="#">Project 2020-06 – Verifications of Models and Data for Generators</a>   Draft 1 of IBR Definitions	<a href="#">Initial Ballots</a>	12/26/23	01/09/24
<a href="#">Project 2023-03 – Internal Network Security Monitoring (INSM)</a>   Draft 1	<a href="#">Initial Ballots and Non-binding Poll</a>	01/08/24	01/17/24

### Join Ballot Pools

Project	Action	Start Date	End Date
<a href="#">Project 2023-03 – Internal Network Security Monitoring (INSM)</a>   Draft 1	<a href="#">Join Ballot Pools</a>	12/14/23	01/02/24

### Posted for Comment

Project	Action	Start Date	End Date
<a href="#">Project 2020-06 – Verifications of Models and Data for Generators</a>   Draft 1 of IBR Definitions	<a href="#">Comment Period</a>	11/16/23	01/09/24
<a href="#">Project 2023-03 – Internal Network Security Monitoring (INSM)</a>   Draft 1	<a href="#">Comment Period</a>	12/14/23	01/17/23

Posted for Comment			
Posting	Action	Start Date	End Date
<p><b>Comment Period Open for Draft Reliability Guideline:</b> The <a href="#">Draft Reliability Guideline: Generating Unit Operations During Complete Loss of Communications – Clean</a> outlines a coordinated operations strategy for the on-site generating unit(s) operator to stabilize system frequency when centralized guidance is not possible. The strategy is designed to keep frequency within allowable limits and continue safe operation of generators while maintaining acceptable frequency control. The Reliability Guideline is not applicable to generation connected to asynchronous loads or systems not normally part of one of the Interconnections. This guideline was written originally for staffed synchronous generation; however, the guidance may be applied to non-synchronous generation that has manual control capabilities and is capable of responding.</p> <p>The Reliability and Security Technical Committee (RSTC) approved posting for a 45-day industry comment period. A draft version of the guideline has been posted on the <a href="#">Reliability Guidelines, Security Guidelines, Technical Reference Documents, and White Papers</a> page.</p>	Comments are to be submitted via email by 5:00 p.m. Eastern using the <a href="#">comment form</a> .	12/12/23	01/26/24

## STANDARDS NEWS

### **NEW Standards Committee Segment Representative Election Results**

The Standards Committee (SC) nomination period for the special election for Segment 1 to fill the remainder of the 2023–2024 term closed on December 18, 2023. Amy Casuscelli and Jamison Cawley were nominated to represent Segment 1, and an election was held from December 6–18, 2023. After verification of votes cast, the following election results are considered final. Amy Casuscelli received the majority vote and was elected to fill the remainder of the two-year term ending December 31, 2024. The roster of Standards Committee Segment Representatives for 2024–2025, reflecting this election result, has been updated.

### Quick Links

- [Register in the SBS](#)
- [Standards Project Prioritization \(November 2023\)](#)
- [Projected Standards Posting Schedule](#)
- [Project Tracking Spreadsheet](#)
- [2023-2025 Reliability Standards Development Plan \(Filed With FERC\)](#)
- [Quality Review Application](#)
- [One-Stop Shop \(Status, Purpose, Implementation Plans, FERC Orders, RSAWs\)](#)
- [Submit Questions or Feedback](#)

## GENERAL COMPLIANCE AND ENFORCEMENT NEWS

### **NEW Compliance Guidance Updates**

There are two updates to ERO Enterprise-endorsed Implementation Guidance documents on the [Compliance Guidance web page](#). The updates to previously endorsed Implementation Guidance are titled:

- CIP-013 Supply Chain Risk Management Plans (NATF)
- CIP-013 Using Independent Assessments of Vendors (NATF)

These documents are also posted on the [Supply Chain Risk Mitigation Program web page](#) under Endorsed Implementation Guidance.

### Quick Links

- [Compliance Monitoring & Enforcement Program One-Stop Shop](#)
- [ERO Enterprise Program Alignment Process](#)
- [Consistency Reporting Tool \(EthicsPoint\)](#)
- [Risk-Based Registration Initiative](#)
- [Reliability Standard Audit Worksheets](#)
- [Enforcement & Mitigation: Enforcement Actions](#)
- [Align and ERO SEL](#)

### **NEW Newly Effective Standards**

On January 1, 2024, the following standards become effective:

- [CIP-004-7 – Cyber Security – Personnel & Training](#)
- [CIP-011-3 – Cyber Security - Information Protection](#)

- [FAC-001-4 – Facility Interconnection Requirements | Implementation Plan](#)
- [FAC-002-4 – Facility Interconnection Studies | Implementation Plan](#)
- [TPL-007-4 – Transmission System Planned Performance for Geomagnetic Disturbance Events \(Requirements R7, 7.1–7.3, 7.3.1–7.3.2, 7.4, 7.4.1–7.4.3, 7.5, 7.5.1., R11, 11.1–11.3, 11.3.1–11.3.2, 11.4, 11.4.1–11.4.3, 11.5, and 11.5.1\)](#)

Please refer to the [U.S. Effective Dates page](#) for the most up-to-date information on effective dates and for more detail on the above.

## OTHER NEWS

### **NEW RSTC Approves Electric Vehicle Technical Reference Report**

The NERC Reliability and Security Technical Committee (RSTC) approved the [Technical Reference Report: EV Modeling and Parameterization](#) report at the December 2023 meeting. This report focuses on electrification in the transportation sector.

### **NEW Interregional Transfer Capability Study Q4 Quarterly Update Posted**

NERC continues to advance Interregional Transfer Capability Study activities as 2023 comes to a close. In particular, the ITCS Project Team:

- Finalized the ITCS Framework document.
- Developed two scoping documents for the transfer capability analysis and prudent additions to transfer capability.
- Began development data request to industry.

This information, and more, can be found in the [Q4 ITCS Quarterly Update](#) on NERC's website. This update was developed as part of NERC's ongoing efforts to keep industry; stakeholders; and federal, state and provincial partners updated on ITCS activities.

A strong, flexible transmission system capable of coping with a wide variety of conditions is key for the reliable supply and delivery of electricity. The congressionally directed ITCS is crucial to the future of the electric industry and NERC is uniquely positioned to conduct this highly complex study of North American transfer capability.

**STANDARDS SUBJECT TO FUTURE ENFORCEMENT**

Please refer to the [U.S. Effective Dates page](#) for the most up-to-date information on effective dates and for more detail on the below.

**U.S. Effective Dates for Reliability Standards**

U.S. Effective Date	Standard(s)
<b>January 1, 2024</b> <b>NEWLY EFFECTIVE</b>	<a href="#">CIP-004-7 – Cyber Security – Personnel &amp; Training</a> <a href="#">CIP-011-3 – Cyber Security - Information Protection</a> <a href="#">FAC-001-4 – Facility Interconnection Requirements   Implementation Plan</a> <a href="#">FAC-002-4 – Facility Interconnection Studies   Implementation Plan</a> <a href="#">TPL-007-4 – Transmission System Planned Performance for Geomagnetic Disturbance Events (Requirements R7, 7.1–7.3, 7.3.1–7.3.2, 7.4, 7.4.1–7.4.3, 7.5, 7.5.1., R11, 11.1–11.3, 11.3.1–11.3.2, 11.4, 11.4.1–11.4.3, 11.5, and 11.5.1)</a>
<b>April 1, 2024</b>	<a href="#">FAC-003-5 – Transmission Vegetation Management</a> <a href="#">FAC-011-4 – System Operating Limits Methodology for the Operations Horizon</a> <a href="#">FAC-014-3 – Establish and Communicate System Operating Limits</a> <a href="#">IRO-008-3 – Reliability Coordinator Operational Analyses and Real-time Assessments</a> <a href="#">PRC-002-4 – Disturbance Monitoring and Reporting Requirements   Implementation Plan</a> <a href="#">PRC-023-5 – Transmission Relay Loadability   Implementation Plan</a> <a href="#">PRC-026-2 – Relay Performance During Stable Power Swings   Implementation Plan</a> <a href="#">TOP-001-6 – Transmission Operations</a>
<b>October 1, 2024</b>	<a href="#">EOP-012-1 – Extreme Cold Weather Preparedness and Operations</a> (Requirements 1–2 effective 4/1/28; Requirement 4 effective 10/1/29)
<b>July 1, 2025</b>	<a href="#">IRO-010-5 – Reliability Coordinator Data and information Specification and Collection   Implementation Plan</a> <a href="#">TOP-003-6.1 – Transmission Operator and Balancing Authority Data and Information Specification and Collection   Implementation Plan</a>
<b>April 1, 2026</b>	<a href="#">CIP-003-9 - Cyber Security — Security Management Controls</a>

## BOARD OF TRUSTEES AND FERC ACTION

### **NEW NERC Filings**

On December 21, 2023, NERC submitted to FERC a [petition](#) for approval of revisions to the NERC Working Capital and Reserves Policy. All of NERC's 2023 filings to FERC are available [online](#).

## UPCOMING EVENTS

For information about other NERC events, such as meetings and conference calls for other standing committees, subcommittees, task forces, and working groups, please refer to the [NERC calendar](#).

### **Workshops and Conferences**

- 2024 NERC-NATF-EPRI Extreme Weather Transmission Planning and Modeling Workshop – 1:00–5:00 p.m. Eastern, January 17, 2024 | [Register](#) | [Draft Agenda](#)

### **Webinars**

- **NEW** Project 2023-03 – Internal Network Security Monitoring (INSM) – Part 1 Webinar – 1:00–3:00 p.m. Eastern, January 3, 2024 | [Register](#)
- **NEW** Project 2023-03 – Internal Network Security Monitoring (INSM) – Part 2 Webinar – 1:00–3:00 p.m. Eastern, January 5, 2024 | [Register](#)

### **Standing Committee Meetings and Conference Calls**

- Member Representatives Committee Informational Session – 1:00–2:30 p.m. Eastern, January 24, 2024 | [Register](#)

### **Standard Drafting Team Meetings**

Below are the upcoming SDT meetings for the next three weeks. For a full listing of upcoming meetings, please refer to the [Standards calendar](#).

- **NEW** Project 2022-03 – Energy Assurance with Energy-Constrained Resources Standard Drafting Team Meeting – 11:00 a.m.–1:00 p.m. Eastern, January 4, 2024 | [Register](#)
- Project 2021-04 Modifications to PRC-002 - Phase II Standard Drafting Team Meeting – 1:00–4:00 p.m. Eastern, January 4, 2024 | [Register](#)

- **NEW** Project 2023-06 – CIP-014 Risk Assessment Refinement Drafting Team Meeting – 10:00 a.m.–12:00 p.m. Eastern, January 5, 2024 | [Register](#)
- **NEW** Project 2020-02 – Modifications to PRC-024 (Generator Ride-through) Drafting Team Meeting – 1:00–3:00 p.m. Eastern, January 5, 2024 | [Register](#)
- **NEW** Project 2020-02 – Modifications to PRC-024 (Generator Ride-through) Drafting Team Meeting – 11:00 a.m.–1:00 p.m. Eastern, January 8, 2024 | [Register](#)
- **NEW** Project 2022-02 – Modifications to TPL-001 and MOD-032 Standard Drafting Team Meeting – January 9–11, 2024, San Diego, CA | [Register](#)
- **NEW** Project 2023-06 – CIP-014 Risk Assessment Refinement Drafting Team Meeting – 10:00 a.m.–12:00 p.m. Eastern, January 9, 2024 | [Register](#)
- **NEW** Project 2021-03 – CIP-002 Drafting Team Meeting – 12:00–2:00 p.m. Eastern, January 9, 2024 | [Register](#)
- **NEW** Project 2021-08 – Modifications to FAC-008 Standard Drafting Team Meeting – 12:00–4:00 p.m. Eastern, January 10, 2024 | [Register](#)
- **NEW** Project 2022-03 – Energy Assurance with Energy-Constrained Resources Standard Drafting Team Meeting – 12:00–3:00 p.m. Eastern, January 11, 2024 | [Register](#)
- **NEW** Project 2023-06 – CIP-014 Risk Assessment Refinement Drafting Team Meeting – 10:00 a.m.–12:00 p.m. Eastern, January 12, 2024 | [Register](#)
- **NEW** Project 2020-06 – Verifications of Models and Data for Generators Standard Drafting Team Meeting – 12:00–3:00 p.m. Eastern, January 12, 2024 | [Register](#)
- **NEW** Project 2020-02 – Modifications to PRC-024 (Generator Ride-through) Drafting Team Meeting – 1:00–3:00 p.m. Eastern, January 12, 2024 | [Register](#)

## ABOUT THE STANDARDS, COMPLIANCE, AND ENFORCEMENT BULLETIN

This weekly bulletin compiles a list of standards, compliance, and enforcement projects with actionable deadlines, as well as upcoming events, recently posted resources, other NERC documents posted for comment, and other relevant news and information. Please email [Amy Klagholz](mailto:amy.klagholz@nerc.gov) with feedback on this bulletin. The current bulletin and old bulletins are available under “Program News” on both the [Standards home page](#) and the [Compliance & Enforcement home page](#).

**REGISTRATION:** If you would like to receive this bulletin or be added to a NERC distribution list, you **must** first register an account in the [ERO Portal](#). Once you have registered your ERO Portal account, authenticated your credentials with DUO, and completed your profile, please submit a ticket through the [Help Desk](#) by selecting the “NERC Email Distribution List” option under the Applications menu. In the Description Box, please specify which lists you would like your email address to be added to. If you are unsure of which distribution list you would like to be added to, an excellent starting point is the “[Committee](#)” page. You can note the committee abbreviation, which represents the distribution list you would like to join, in the Description Box. If you have other NERC-related or specific Standards projects you’re interested in, you may request those as well. If you’re interested in general information, please request to be added to the NERC-info distribution list, which covers most NERC announcements. This bulletin is distributed to several lists, including the Standards distribution list (Standards-specific announcements) and the NERC-info distribution list.