

Standards, Compliance, and Enforcement Bulletin

April 15–21, 2024

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ACTIVE STANDARDS POSTINGS

Current and Upcoming Ballots (ballot periods close at 8:00 p.m. Eastern)

Project	Action	Start Date	End Date
Project 2023-03 – Internal Network Security Monitoring Draft 2 CIP-015-1	Additional Ballots and Non-Binding Poll	04/12/24	04/17/24
Project 2023-02 – Analysis and Mitigation of BES Inverter-Based Resource Performance Issues Draft 1	Initial Ballots and Non-Binding Poll	04/09/24	04/18/24
Project 2020-02 – Modifications to PRC-024 (Generator Ride-through) Draft 1 PRC-024-4 and PRC-029-1	Initial Ballots and Non-Binding Polls	04/12/24	04/22/24
Project 2023-07 – Transmission Planning Performance Requirements for Extreme Weather Draft 1	Initial Ballots and Non-Binding Poll	04/24/24	05/03/24
Project 2021-03 – CIP-002 Draft 2 CIP-002-Y	Additional Ballots and Non-Binding Poll	05/07/24	05/16/24

Join Ballot Pools			
Project	Action	Start Date	End Date
Project 2023-07 – Transmission Planning Performance Requirements for Extreme Weather Draft 1	Join Ballot Pools	03/20/24	04/18/24
Posted for Comment			
Project	Action	Start Date	End Date
Project 2023-03 – Internal Network Security Monitoring Draft 2 CIP-015-1	Comment Period	04/05/24	04/17/24
Project 2023-02 – Analysis and Mitigation of BES Inverter-Based Resource Performance Issues Draft 1	Comment Period	03/25/24	04/18/24
Project 2020-02 – Modifications to PRC-024 (Generator Ride-through) Draft 1 PRC-024-4 and PRC-029-1	Comment Period	03/28/24	04/22/24
Project 2023-07 – Transmission Planning Performance Requirements for Extreme Weather Draft 1	Comment Period	03/20/24	05/03/24
Project 2021-03 – CIP-002 Draft 2 CIP-002-Y	Comment Period	04/02/24	05/16/24
NEW Regional Reliability Standard BAL-004-WECC-4	Comment Period	04/10/24	05/28/24

Posted for Comment			
Posting	Action	Start Date	End Date
Comment Period Open for Draft SAR: The Inverter-Based Resource Performance Subcommittee (IRPS) has created a draft Standard Authorization Request (SAR) for revisions to FAC-001 and FAC-002 . The goal of this SAR is to improve FAC-001 and FAC-002 and help ensure that Transmission Operators (TOPs), Reliability Coordinators (RCs), and Balancing Authorities (BAs) can work with the relevant Generator Owner (GO) to address any abnormal performance issues. This includes seeking corrective actions, requesting improvements to the requirements developed by the TO, TP, or PC (Per FAC-	Submit comments via email using the comment form .	03/18/24	04/17/24

<p>001 or FAC-002), and reporting any abnormal performance to NERC for continued risk assessment.</p> <p>The Reliability and Security Technical Committee (RSTC) approved posting this draft for a 30-day industry comment period. A final version of the draft SAR will be submitted to NERC Standards Development, consistent with the Standards Development Process, to begin the process for developing, modifying, withdrawing or retired a Reliability Standard.</p>			
<p>Comment Period Open for Draft SAR: The NERC SPIDERWG has identified a SAR based on the approved White Paper: NERC Reliability Standards Review. The SPIDERWG has also provided a development plan for the associated SARs to the RSTC Executive Committee for addition into the SPIDERWG work plan. It is important to accurately represent the aggregate DER levels and allocate their connection points to the BPS. Failure to do so may adversely affect the outcomes of the Transmission Operator’s (TOP) Operational Planning Analysis (OPAs) and Real-Time Assessment (RTAs). The OPAs and RTAs provide the TOP with proper situational awareness of the operational risks to the system. Therefore, the definitions of OPA and RTA in the NERC Glossary of Terms need to be revised to include aggregate DERs as a component of both the OPA and RTA definitions. The assessments of OPAs and RTAs must, at a minimum, include inputs of “load,” “load forecast,” and “generation output levels.” As the penetration of DERs increases, net load quantities, BPS generation output, and their forecast levels are affected since DERs offset net loading. This affects the amount of on-line BPS generation to serve the remaining load and may mask ride-through considerations. Failure to account for the steady-state and dynamic behavior of DERs will likely have an increasingly adverse impact on the quality of OPAs and RTAs in the future. Therefore, this project aims to ensure clarity for current and future BPS operating states.</p>	<p>Submit comments via email using the comment form.</p>	<p>03/25/24</p>	<p>04/24/24</p>

<p>The Reliability and Security Technical Committee (RSTC) approved posting the draft SAR for a 30-day industry comment period. A final version of the draft SAR will be submitted to NERC Standards Development, consistent with the Standards Development Process, to begin the process for developing, modifying, withdrawing or retired a Reliability Standard.</p>			
<p>NEW Security Guideline Retirement Survey Now Available: The Security Working Group (SWG) has reviewed three existing Security Guidelines to determine if the Security Guidelines should be updated or retired. Internal SWG results favored the retirement of the three Security Guidelines (Control Systems Electronic Connectivity, Physical Security Considerations at High Impact Control Centers, and Physical Security Response). Per Reliability Security and Technical Committee (RSTC) process, this survey is being sent to industry for a 45-day comment period to validate the SWG’s internal finding that the three Security Guidelines listed below should be retired. Should they be retired, they will remain accessible on the RSTC Approved Documents Page but will be moved to the “Retired” section.</p> <p>Technology has continually evolved since these guidelines were published. It is essential that Security Guidelines be complete, accurate, impartial, and current. Thus, the industry is being asked to review these Security Guidelines and recommend the next steps by completing this survey. Any guideline(s) without strong support for an update will be moved to “Retired” status. If a guideline receives <i>no responses</i> from this inquiry, it will also be moved to “Retired” status.</p>	<p>Please respond to the survey here.</p>	<p>04/10/24</p>	<p>05/25/24</p>

STANDARDS NEWS

NEW Webinar Resources Posted

NERC has posted the [slide presentation](#) and [recording](#) from the April 10, 2024 Project 2023-03 Internal Network Security Monitoring (INSM) Industry Webinar.

NEW Additional Ballots and Non-Binding Polls Results for Project 2021-04

Additional ballots and non-binding polls of the associated Violation Risk Factors and Violation Severity Levels for Project 2021-04 – Modifications to PRC-002 - Phase II concluded April 11, 2024. The voting statistics are listed below, and the details can be accessed on the [Ballot Results](#) page. The drafting team will review all responses received during the comment period and determine the next steps of the project.

Quick Links

- [Register in the SBS](#)
- [Standards Project Prioritization \(November 2023\)](#)
- [Projected Standards Posting Schedule](#)
- [Project Tracking Spreadsheet](#)
- [2023-2025 Reliability Standards Development Plan \(Filed With FERC\)](#)
- [Quality Review Application](#)
- [One-Stop Shop \(Status, Purpose, Implementation Plans, FERC Orders, RSAWs\)](#)
- [Submit Questions or Feedback](#)

	Ballot	Non-binding Poll
Standard	Quorum / Approval	Quorum / Supportive Opinions
PRC-002-5	89.42% / 79.46%	84.96% / 77.96%
PRC-028-1	89.26% / 50.03%	86.59% / 44.83%
Implementation Plan	87.96% / 66.61%	N/A

NEW Additional Ballot Results for Project 2020-06

The formal comment period and additional ballots for Project 2020-06 – Verifications of Models and Data for Generators Inverter-based Resource Definitions concluded April 8, 2024. The voting statistics are listed below, and the details can be accessed on the [Ballot Results](#) page. The drafting team will review all responses received during the comment period and determine the next steps of the project.

Additional Ballot Results	
	Quorum / Approval
Inverter-Based Resource (IBR)	83.33% / 67.55%
IBR Unit	83.27% / 61.07%
IBR-related Definitions Implementation Plan	83.21% / 70.04%

GENERAL COMPLIANCE AND ENFORCEMENT NEWS

NEW Align Planned Maintenance

The ERO Enterprise has scheduled the Align system to be down for planned maintenance starting from April 20, 2024, at 8:00 a.m. Eastern (5:00 a.m. Pacific) through April 20, 2024, at 3:00 p.m. Eastern (12:00 p.m. Pacific). Return to online status time is subject to change pending maintenance activities. This planned outage only affects Align. Information regarding this outage will also be displayed on the My Align News & Update section of Align, and the [Align and SEL web page](#). This scheduled maintenance will include system changes that will be documented in the Align Release Notes 6.1.0 and published on April 22, 2024.

Quick Links

- [Compliance Monitoring & Enforcement Program One-Stop Shop](#)
- [ERO Enterprise Program Alignment Process](#)
- [Consistency Reporting Tool \(EthicsPoint\)](#)
- [Risk-Based Registration Initiative](#)
- [Reliability Standard Audit Worksheets](#)
- [Enforcement & Mitigation: Enforcement Actions](#)
- [Align and ERO SEL](#)

OTHER NEWS

NEW Webinar Resources Posted

NERC has posted the [presentation](#) and [streaming webinar](#) from the March 27, 2024 Event Analysis Process Version 5 Update webinar.

STANDARDS SUBJECT TO FUTURE ENFORCEMENT

Please refer to the [U.S. Effective Dates page](#) for the most up-to-date information on effective dates and for more detail on the below.

U.S. Effective Dates for Reliability Standards

U.S. Effective Date	Standard(s)
October 1, 2024	EOP-012-1 – Extreme Cold Weather Preparedness and Operations Note: In accordance with FERC’s February 2023 approval order, NERC submitted a revised implementation plan for the EOP-012 standard on February 16, 2024, and it is pending FERC approval.
July 1, 2025	IRO-010-5 – Reliability Coordinator Data and information Specification and Collection Implementation Plan TOP-003-6.1 – Transmission Operator and Balancing Authority Data and Information Specification and Collection Implementation Plan
October 1, 2025	TOP-002-5 – Operations Planning Implementation Plan
April 1, 2026	CIP-003-9 - Cyber Security — Security Management Controls

BOARD OF TRUSTEES AND FERC ACTION

NEW Draft 5-Year Performance Assessment Posted for Comment

NERC posted for comment a draft of its upcoming [ERO Performance Assessment](#). The draft is posted for public comment through April 30th. NERC plans to file its ERO Performance Assessment with the Federal Energy Regulatory Commission this summer. Please submit any comments [via email](#).

UPCOMING EVENTS

For a full accounting of upcoming events, please refer to the [NERC Calendar](#).

Workshops and Conferences

Event	Time	Date	Location	Registration
2024 NERC-NATF-EPRI Extreme Weather Transmission Planning and Modeling Workshop	All day	May 29–30	Dallas, TX	Details NEW Agenda
GridSecCon 2024	All day	October 22–25	Minneapolis, MN	Save the Date

Webinars

Event	Time	Date	Registration
Project 2020-02 – Modifications to PRC-024 (Generator Ride-through)	11:30 a.m.–1:00 p.m. Eastern	April 15	Details
Project 2021-03 – CIP-002	12:00–2:00 p.m. Eastern	April 26	Details
NEW Cold Weather Preparedness Small Group Advisory Session: General Session	1:00–3:00 p.m. Eastern	May 6	Details

Standing Committee Meetings and Conference Calls

Event	Time	Date	Registration
Reliability Issues Steering Committee	4:00–5:00 p.m. Eastern	April 17	Details
Member Representatives Committee Informational Session	2:00–3:30 p.m. Eastern	April 22	Details
Personnel Certification Governance Committee Meeting	8:00–9:00 a.m. Pacific	April 30	Details
Compliance and Certification Committee Meeting	Day 1: 1:30–5:00 p.m. Central Day 2: 9:00 a.m.–12:00 p.m. Central	May 1–2	Day 1 Virtual Registration Day 1 In-person Registration Day 2 Virtual Registration Day 2 In-person Registration
Q2 2024 Board and Board Committee Meetings	Schedule of Events	May 8–9	Washington, D.C. Virtual Details

Joint Reliability and Security Technical Committee/Standards Committee Meeting	See Details	June 11–12	Seattle, WA Virtual Details
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Standard Drafting Team Meetings

Please refer to the [Standards](#) calendar for the most up-to-date listing of upcoming meetings.

Project	Time	Date	Registration
Project 2021-04 – Modifications to PRC-002 - Phase II	See Details	April 16–17	Details
Project 2023-03 – Internal Network Security Monitoring	11:00 a.m.–4:00 p.m. Eastern	April 19	Details
Project 2023-03 – Internal Network Security Monitoring	11:00 a.m.–4:00 p.m. Eastern	April 22	Details
Project 2023-06 – Modifications to CIP-014	See Details	April 24–25	Details
NEW Project 2021-02 – Modifications to VAR-002-4.1	12:30–3:00 p.m. Eastern	April 30	Details
NEW Project 2023-07 – Transmission Planning Performance Requirements for Extreme Weather	1:00–2:00 p.m. Eastern	May 6	Details
NEW Project 2023-07 – Transmission Planning Performance Requirements for Extreme Weather	1:00–3:00 p.m. Eastern	May 7	Details
NEW Project 2023-07 – Transmission Planning Performance Requirements for Extreme Weather	12:00–1:00 p.m. Eastern	May 9	Details
NEW Project 2023-07 – Transmission Planning Performance Requirements for Extreme Weather	12:00–2:00 p.m. Eastern	May 10	Details

ERO Enterprise Events

Region	Event	Time	Date	Registration
Texas RE	2024 Spring Standards, Security, and Reliability Workshop	9:00 a.m.–3:30 p.m. Central	April 24	Details

ABOUT THE STANDARDS, COMPLIANCE, AND ENFORCEMENT BULLETIN

This weekly bulletin compiles a list of standards, compliance, and enforcement projects with actionable deadlines, as well as upcoming events, recently posted resources, other NERC documents posted for comment, and other relevant news and information. Please email [Amy Klagholz](mailto:amy.klagholz@nerc.gov) with feedback on this bulletin. The current bulletin and old bulletins are available under “Program News” on both the [Standards home page](#) and the [Compliance & Enforcement home page](#).

REGISTRATION: If you would like to receive this bulletin or be added to a NERC distribution list, you **must** first register an account in the [ERO Portal](#). Once you have registered your ERO Portal account, authenticated your credentials with DUO, and completed your profile, please submit a ticket through the [Help Desk](#) by selecting the “NERC Email Distribution List” option under the Applications menu. In the Description Box, please specify which lists you would like your email address to be added to. If you are unsure of which distribution list you would like to be added to, an excellent starting point is the “[Committee](#)” page. You can note the committee abbreviation, which represents the distribution list you would like to join, in the Description Box. If you have other NERC-related or specific Standards projects you’re interested in, you may request those as well. If you’re interested in general information, please request to be added to the NERC-info distribution list, which covers most NERC announcements. This bulletin is distributed to several lists, including the Standards distribution list (Standards-specific announcements) and the NERC-info distribution list.